

Two-particle response using parquet equations

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Introduction

- ▶ One-particle vs two-particle excitations
- ▶ Two-particle response functions
- ▶ Vertex corrections – when important?
- ▶ Vertex corrections – how to calculate?

Parquet equations

- ▶ Why parquet equations?
- ▶ Two-particle reducibility and parquet decomposition
- ▶ Optical excitations in systems with strong AFM/CDW fluctuations: π -tons

Challenges

- ▶ Vertex corrections in real materials?

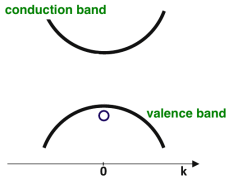
Solutions

- ▶ Tiling with triangles (SBE diagrams) and sparse modeling

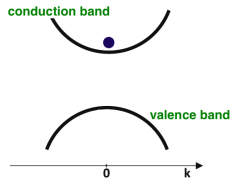
Summary and outlook

One-particle vs two-particle excitations

One-particle excitations – (inverse) photoemission



Feynman diagram

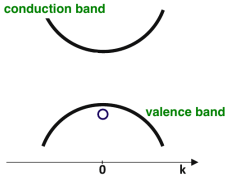


Feynman diagram

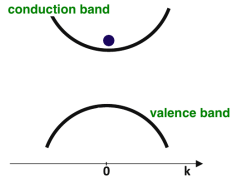


One-particle vs two-particle excitations

One-particle excitations – (inverse) photoemission



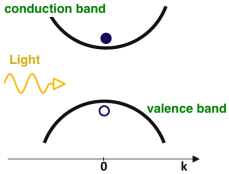
Feynman diagram



Feynman diagram



Two-particle excitations – optical conductivity

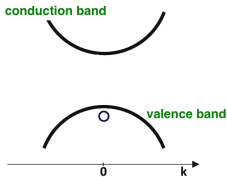


bubble diagram

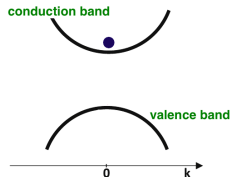


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One-particle excitations – (inverse) photoemission



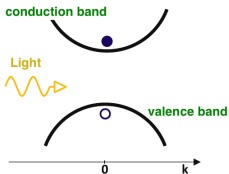
Feynman diagram



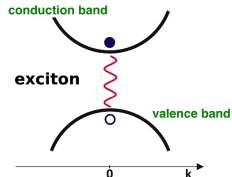
Feynman diagram



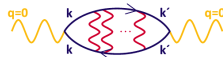
Two-particle excitations – optical conductivity



bubble diagram

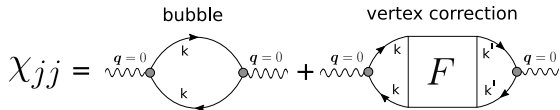


ph ladder diagram



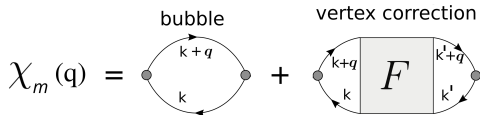
Two-particle response functions

- Optical conductivity $\sigma(\omega) = \frac{\text{Im}\chi_{jj}(\omega)}{\omega}$

$$\chi_{jj} = \text{bubble} + \text{vertex correction}$$


The diagram shows the optical conductivity response function χ_{jj} as a sum of two terms. The first term, labeled "bubble", consists of a wavy line with momentum $q=0$ entering a loop of two fermion lines with momenta k and k , and another wavy line with momentum $q=0$ exiting the loop. The second term, labeled "vertex correction", consists of a wavy line with momentum $q=0$ entering a loop. The loop is divided into two parts by a vertical line labeled F . The left part contains two fermion lines with momenta k and k . The right part contains two fermion lines with momenta k' and k' . A wavy line with momentum $q=0$ exits the loop from the right side.

- Magnetic susceptibility $\chi_m = \frac{\partial M}{\partial H}$

$$\chi_m(q) = \text{bubble} + \text{vertex correction}$$


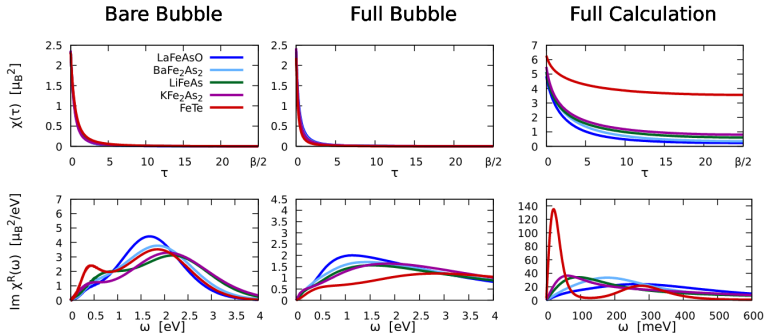
The diagram shows the magnetic susceptibility response function $\chi_m(q)$ as a sum of two terms. The first term, labeled "bubble", consists of a loop of two fermion lines with momenta $k+q$ and k . The second term, labeled "vertex correction", consists of a loop divided into two parts by a vertical line labeled F . The left part contains two fermion lines with momenta $k+q$ and k . The right part contains two fermion lines with momenta $k'+q$ and k' .

When are vertex corrections important?

When they are big

- Spin susceptibility of the 3d-Fe atoms and corresponding absorption spectra at $T \approx 232K$ (method: DFT + DMFT)

$$\chi_m(q) = \text{Bare Bubble} + \text{Full Bubble}$$

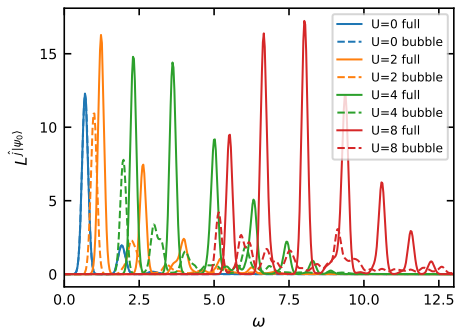


When are vertex corrections important?

When they are big

- ▶ Optical conductivity for 8-site chains for different values of the local Coulomb interaction U (method: exact diagonalization)

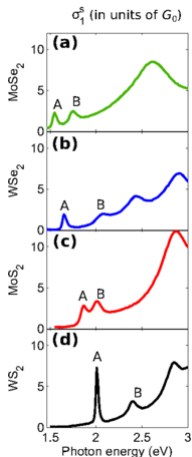
$$\chi_{jj} = \text{bubble} + \text{vertex correction}$$



When are vertex corrections important?

When they lead to new, qualitatively distinct, features

Excitons in TM dichalcogenides



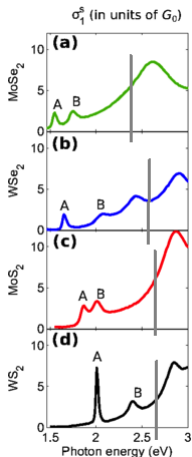
Li et al., PRB 90, 205422 (2014)

Theory: Ridolfi et al., PRB 97, 205409 (2018)

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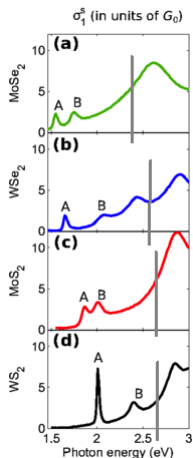
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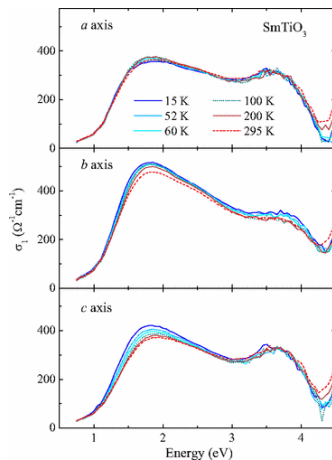
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Gap reduction in SmTiO_3



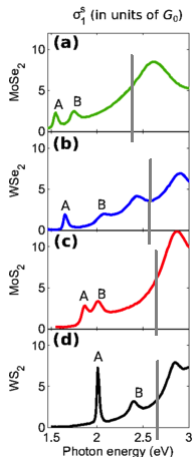
One-particle (ARPES) gap $\sim 1.8 - 2.5\text{eV}$

Gössling et al., PRB 78, 075122 (2008)

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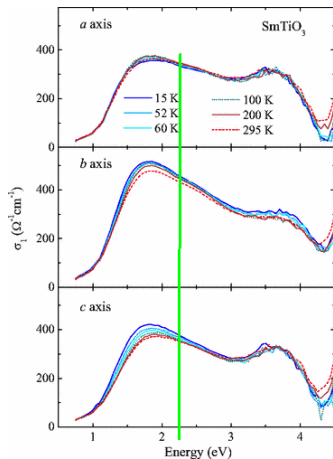
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How can we compute vertex corrections to response functions?

With numerically exact methods

- ▶ Exact diagonalization (small clusters)
- ▶ DMRG, Matrix Product States (1D or quasi-1D systems)
- ▶ Quantum Monte Carlo (small clusters or Anderson impurity model)

With diagrammatic methods

- ▶ RPA

$$\chi_m(q) = \chi_0(q) + \chi_0(q)U\chi_0(q) + \chi_0(q)U\chi_0(q)U\chi_0(q) + \dots = \frac{\chi_0(q)}{1 - U\chi_0(q)}$$

- ▶ GW + BSE, FLEX, TPSC, ...

With embedded impurity methods

- ▶ Impurity with a dynamical self-consistent bath represents the entire lattice system (DFT + DMFT)
- ▶ Impurity problem is solved with **exact methods**
- ▶ Impurity **vertices** are used in lattice response functions

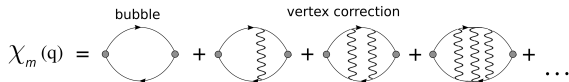
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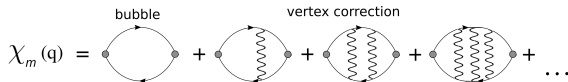
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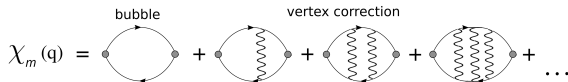
Calculation of vertex corrections

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With diagrammatic methods

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Calculation of vertex corrections

With diagrammatic methods

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$$\chi_m(q) = \text{bubble} + \text{vertex correction} + \text{vertex correction} + \text{vertex correction} + \dots$$

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- ▶ Impurity **vertices** are used in lattice response functions: **2P response in DMFT**

$$\chi_m(q) = \frac{\chi_0(q)}{1 - \Gamma_{imp}\chi_0(q)}$$

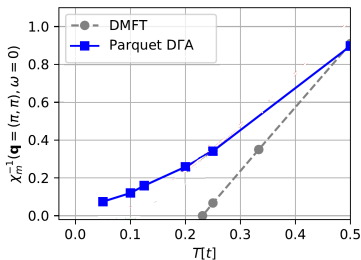
$$\chi_m(q) = \text{bubble} + \text{vertex correction} + \text{vertex correction} + \text{vertex correction} + \dots$$

Problems with $\Gamma^{ph} = \Gamma_{imp}$ (no momentum dependence in the vertex)

- ▶ Impurity **vertices** are used in lattice response functions

$$\chi_m(q) = \frac{\chi_0(q)}{1 - \Gamma_{imp}\chi_0(q)}$$

- ▶ Violation of Mermin-Wagner theorem for 2D lattice – divergence of **AFM susceptibility** $\chi_m(q = (\pi, \pi))$ for $T_c > 0$



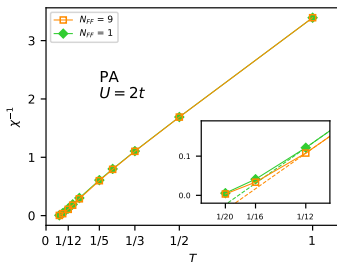
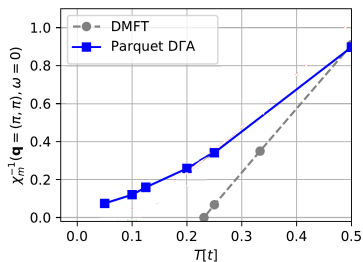
- ▶ Can be cured by using **parquet diagrams** instead of only ladders – **parquet DΓA**
C. Eckhardt et al. Phys. Rev. B 101, 155104 (2020)

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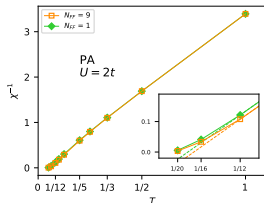
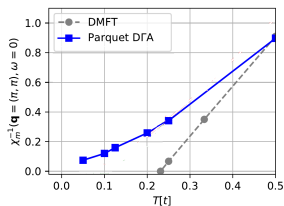
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- ▶ Can be cured by using **parquet diagrams** instead of only ladders – **parquet DGA**
C. Eckhardt et al. Phys. Rev. B 101, 155104 (2020)

- ▶ By using additionally a sum rule – **λ -corrected ladder DGA**
A. A. Katanin, A. Toschi, and K. Held Phys. Rev. B 80, 075104 (2009)

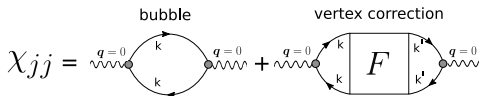
- ▶ By using $\chi_0(q)$ from a ladder DGA calculation (and not DMFT) –

self-consistent ladder DGA

Problems with $\Gamma^{ph} = \Gamma_{imp}$ (no momentum dependence in the vertex)

- ▶ Impurity **vertices** are used in lattice response functions

Vertex corrections to optical conductivity

$$\chi_{jj} = \text{bubble} + \text{vertex correction}$$


The diagram shows the optical conductivity χ_{jj} as a sum of two terms. The first term, labeled "bubble", consists of a wavy line with momentum $q=0$ entering a vertex, followed by a loop of two fermion lines with momenta k and k , and another wavy line with momentum $q=0$ exiting the vertex. The second term, labeled "vertex correction", consists of a wavy line with momentum $q=0$ entering a vertex, followed by a rectangular box labeled F with incoming momenta k and k' and outgoing momenta k and k' , and another wavy line with momentum $q=0$ exiting the vertex.

- ▶ **Vanish** for impurity vertex or for a ph -ladder constructed from impurity vertex (except for inter-orbital contributions)
- ▶ We need to construct a fully momentum dependent vertex F but **not from ladder** of $\Gamma^{ph} = \Gamma_{imp}$

Problems with $\Gamma^{ph} = \Gamma_{imp}$ (no momentum dependence in the vertex)

- Impurity **vertices** are used in lattice response functions

Vertex corrections to optical conductivity

$$\chi_{jj} = \text{bubble} + \text{vertex correction}$$

- **Vanish** for impurity vertex or for a *ph*-ladder constructed from impurity vertex (except for inter-orbital contributions)
- We need to construct a fully momentum dependent vertex F but **not from ladder** of $\Gamma^{ph} = \Gamma_{imp}$
- We use the **parquet equation** for F with $\Lambda = \Lambda_{imp}$ from DMFT

The concept of irreducibility

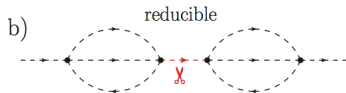
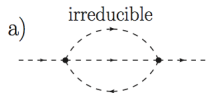
Self-energy Σ is one-particle irreducible

$$\begin{aligned} \text{---} \leftarrow &= \text{---} \leftarrow \text{---} + \text{---} \leftarrow \text{---} \textcircled{\Sigma} \text{---} \leftarrow + \\ &+ \text{---} \leftarrow \textcircled{\Sigma} \text{---} \leftarrow \textcircled{\Sigma} \text{---} \leftarrow + \dots \end{aligned}$$

Dyson equation:

$$\text{---} \leftarrow = \text{---} \leftarrow \text{---} + \text{---} \leftarrow \textcircled{\Sigma} \leftarrow \text{---}$$

One particle irreducibility – cutting one line

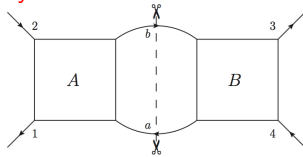


c)

$$\text{---} \leftarrow \textcircled{\Sigma} \leftarrow \text{---} = \text{---} \leftarrow \text{---} \textcircled{\Sigma} \leftarrow \text{---} + \text{---} \leftarrow \text{---} \textcircled{\Sigma} \text{---} \leftarrow \text{---} + \dots$$

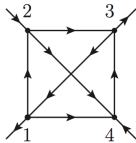
Two-particle irreducibility

– cutting two lines

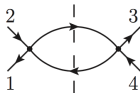


Low order diagrams

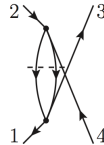
a) fully irreducible



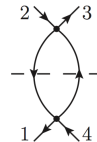
b) particle-hole (ph) reducible



c) particle-particle (pp) reducible



d) particle-hole vertical (\overline{ph}) reducible

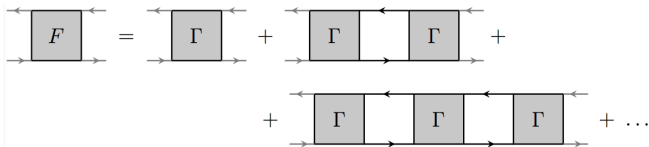


Ladder diagrams and the Bethe-Salpeter equation

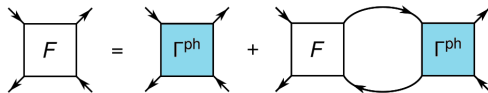
Γ_r – irreducible vertex in a given channel $r = \{ph, \overline{ph}, pp\}$

$$\underbrace{F}_{\text{full vertex}} = \Gamma_r + \underbrace{\Phi_r}_{\text{reducible vertex}}$$

Ladder diagrams in a given channel $r = \{ph, \overline{ph}, pp\}$ (here ph is shown)



Bethe-Salpeter equation:



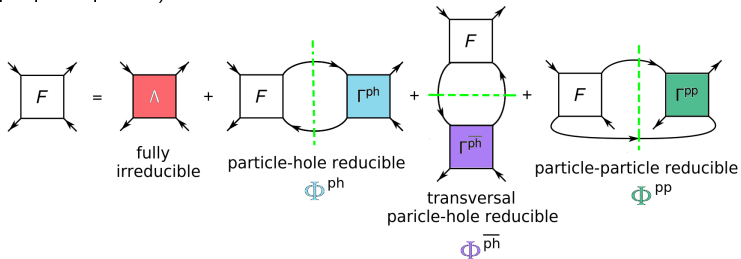
$$F = \Gamma_r + \Gamma_r(GG)_r F$$

– the reducible vertex is then

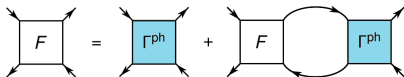
$$\implies \Phi_r = \Gamma_r(GG)_r F$$

Parquet equations

F is given by contributions from irreducible vertices Λ_{imp} , Γ^{ph} , $\Gamma^{\overline{ph}}$, Γ^{pp}
(parquet equation)

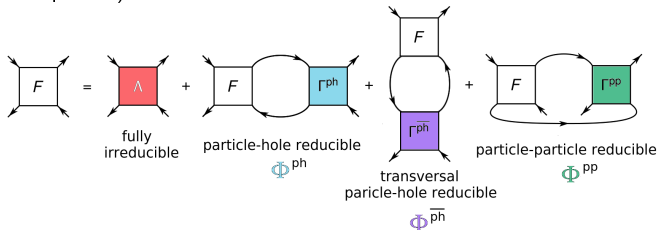


Bethe-Salpeter equation (BSE)

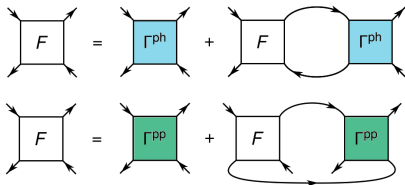


Parquet equations

F is given by contributions from irreducible vertices Λ_{imp} , Γ^{ph} , Γ^{ph} , Γ^{pp} (parquet equation)

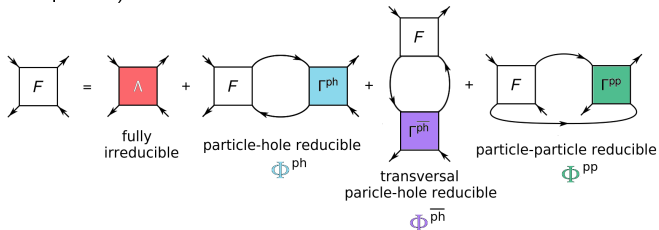


Bethe-Salpeter equations (BSE)

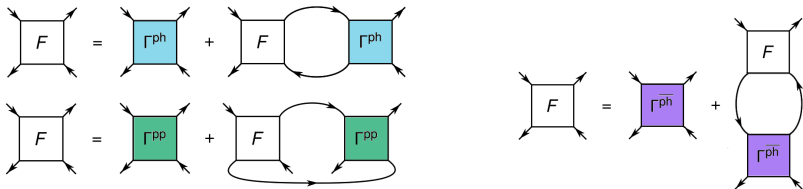


Parquet equations

F is given by contributions from irreducible vertices Λ_{imp} , Γ^{ph} , $\Gamma^{p\bar{h}}$, Γ^{pp} (parquet equation)

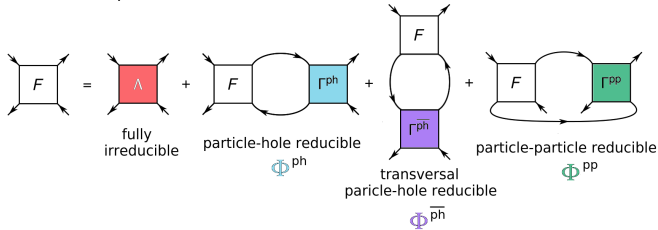


Bethe-Salpeter equations (BSE)

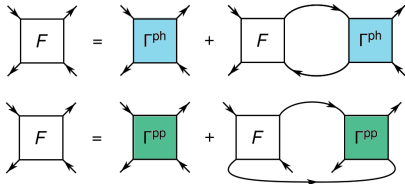


Full set of parquet equations

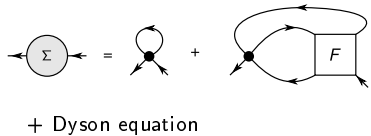
F is given by contributions from irreducible vertices Λ_{imp} , Γ^{ph} , $\Gamma^{p\bar{h}}$, Γ^{pp} (parquet equation)



Bethe-Salpeter equations (BSE)



Schwinger-Dyson equation (SDE)



Full set of parquet equations:

- ▶ Parquet equation

$$F = \Lambda + \Phi_{ph} + \Phi_{\overline{ph}} + \Phi_{pp}$$

- ▶ Bethe-Salpeter equation

$$\Phi_r = \Gamma_r(GG)_r F$$

- ▶ Schwinger-Dyson equation

$$\Sigma = \Sigma_{HF} + U GGG F$$

and Dyson equation $G = [G_0^{-1} - \Sigma]^{-1}$

Input:

- ▶ Fully irreducible vertex Λ , (e.g. from DMFT)
- ▶ Non-interacting Green's function G_0 (from a model Hamiltonian or DFT)

C. De Dominicis, P. C. Martin, J. Math. Phys. 5, 31 (1964)

N. Bickers, Int. J. Mod. Phys. B 05, 253–270 (1991)

K.-M. Tam et al. Phys. Rev. B 87, 013311 (2013)

G. Li, AK, P. Pudleiner, K. Held, Comp. Phys. Comm. 241, 146–154 (2019)

C. Eckhardt, C. Honerkamp, K. Held, and AK, PRB 101, 155104 (2020)

F. Krien, AK, and K. Held, PRR 3, 013149 (2021)

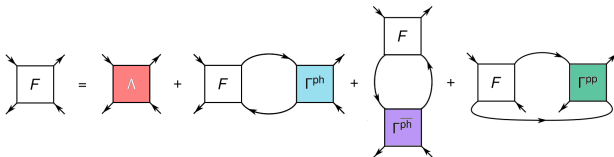
F. Krien, AK, EPJB 95, 69 (2022)

Contributions to optical conductivity

- ▶ Optical conductivity: $\sigma(\omega) = \frac{\text{Im}\chi_{jj}(\omega)}{\omega}$

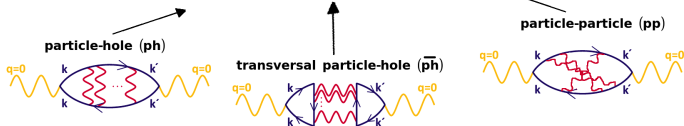
$$\chi_{jj} = \text{bubble} + \text{vertex correction}$$

- ▶ Parquet equation



- ▶ Parquet decomposition of χ_{jj}

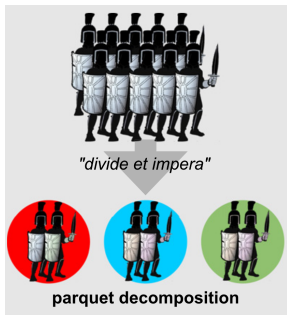
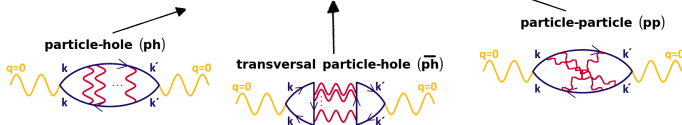
$$\chi_{jj} = \chi^0 + \chi^\Lambda + \chi^{ph} + \chi^{\bar{ph}} + \chi^{pp}$$



Contributions to optical conductivity

► Parquet decomposition of χ_{jj}

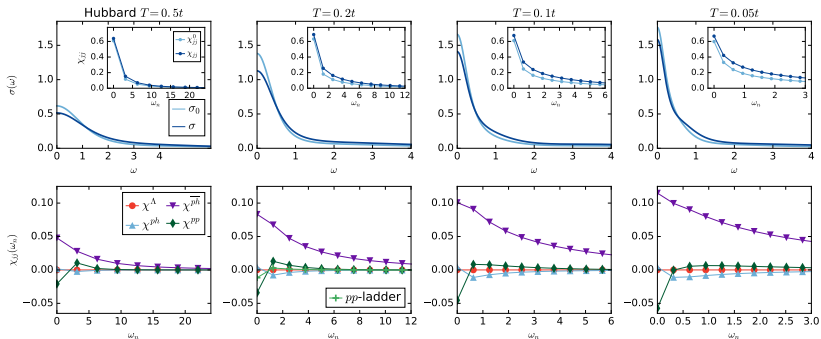
$$\chi_{jj} = \chi^0 + \chi^\Lambda + \chi^{ph} + \chi^{\overline{ph}} + \chi^{pp}$$



"Divide et impera", T. Schäfer, A.Toschi, *J.Phys.: Cond..Mat.* 33, 214001 (2021)

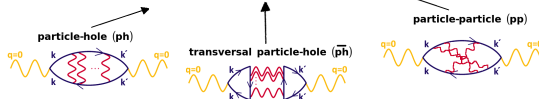
Vertex corrections to optical conductivity

- ▶ Hubbard model, square lattice, half-filling, $\sigma(\omega)$ for different T from parquet D Γ A



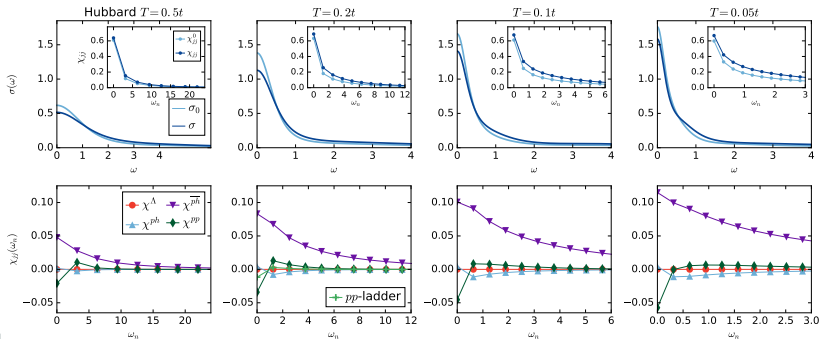
- ▶ Parquet decomposition of χ_{jj}

$$\chi_{jj} = \chi^0 + \chi^A + \chi^{ph} + \chi^{\bar{ph}} + \chi^{pp}$$

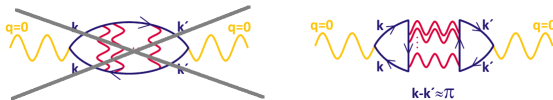


Vertex corrections to optical conductivity

- Hubbard model, square lattice, half-filling, $\sigma(\omega)$ for different T from parquet DfA



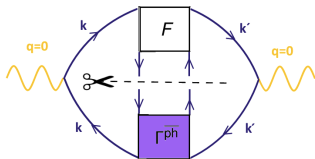
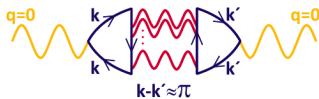
- What can we learn from **parquet equations**? Vertex corrections are dominated by contributions from transversal particle-hole diagrams at momentum (π, π)



Optical excitations in systems with strong AFM/CDW fluctuations

Interpretation:

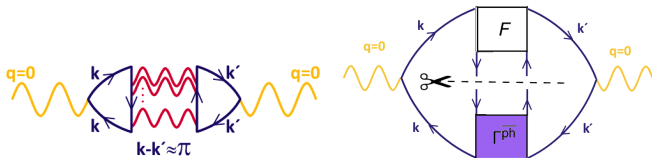
- ▶ Light at $q = 0$ couples to magnetic or density fluctuations at $q = (\pi, \pi)$



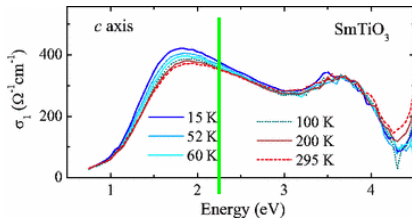
Optical excitations in systems with strong AFM/CDW fluctuations

Interpretation:

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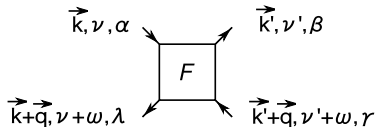


SmTiO₃ ???



Two-particle response for materials

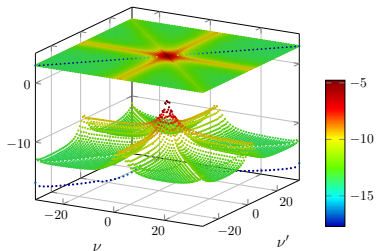
- ▶ **Challenge : Memory!** – scattering vertices of two particles depend on 4 spin-orbital indices, 3 momenta and 3 energies (frequencies)



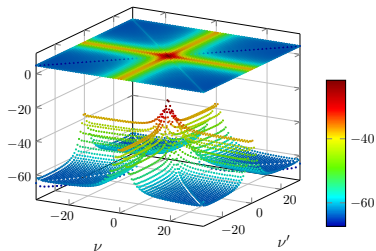
- ▶ For one orbital, 80 frequencies and 56×56 momentum slices a single vertex needs **240 Petabytes!**

Full vertex F_m in the 2D Hubbard model on square lattice

$F_m(\nu, \nu', \omega = 0)$ at $\mathbf{k} = \mathbf{k}' = (0, 0)$ and $\mathbf{q} = (0, 0)$



$F_m(\nu, \nu', \omega = 0)$ at $\mathbf{k} = \mathbf{k}' = (0, 0)$ and $\mathbf{q} = (\pi, \pi)$



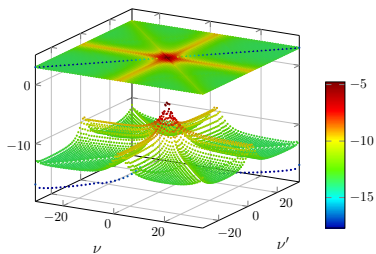
- ▶ Each vertex is a function of 3 frequencies and 3 momenta
- ▶ There are also 4 spin indices. We use here so-called spin diagonal notation, i.e.

$$F_m = F_{\uparrow\uparrow\uparrow\uparrow} - F_{\uparrow\uparrow\downarrow\downarrow}$$

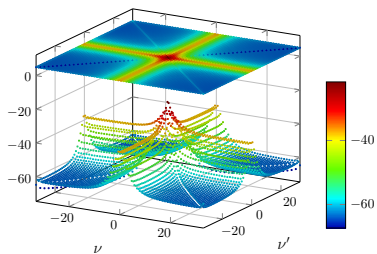
- ▶ The parameters are $U = 4t$, $T = 0.1t$, $n = 1$
- ▶ Approximation for the fully irreducible vertex is $\Lambda = U$, i.e. parquet approx.
- ▶ The results were obtained with *victory* code for 6×6 momenta

Full vertex F_m in the 2D Hubbard model on square lattice

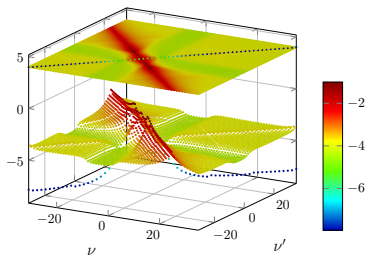
$F_m(\nu, \nu', \omega = 0)$ at $\mathbf{k} = \mathbf{k}' = (0, 0)$ and $\mathbf{q} = (0, 0)$



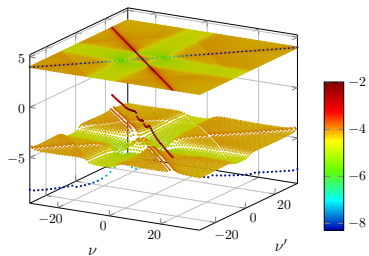
$F_m(\nu, \nu', \omega = 0)$ at $\mathbf{k} = \mathbf{k}' = (0, 0)$ and $\mathbf{q} = (\pi, \pi)$



$F_m(\nu, \nu', \omega = 20\pi T)$ at $\mathbf{k} = \mathbf{k}' = (0, 0)$ and $\mathbf{q} = (0, 0)$

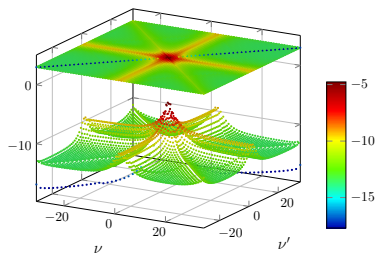


$F_m(\nu, \nu', \omega = 20\pi T)$ at $\mathbf{k} = \mathbf{k}' = (0, 0)$ and $\mathbf{q} = (\pi, \pi)$

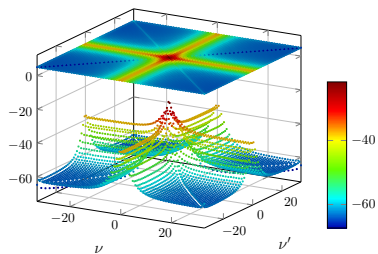


Vertices in the 2D Hubbard model on square lattice

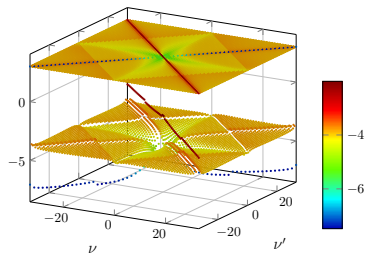
$F_m(\nu, \nu', \omega = 0)$ at $\mathbf{k} = \mathbf{k}' = (0, 0)$ and $\mathbf{q} = (0, 0)$



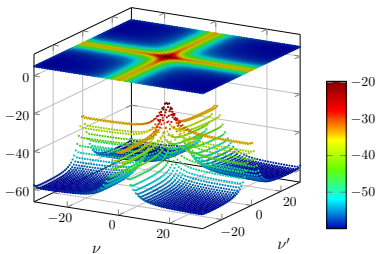
$F_m(\nu, \nu', \omega = 0)$ at $\mathbf{k} = \mathbf{k}' = (0, 0)$ and $\mathbf{q} = (\pi, \pi)$



$\Gamma_m(\nu, \nu', \omega = 0)$ at $\mathbf{k} = \mathbf{k}' = (0, 0)$ and $\mathbf{q} = (\pi, \pi)$

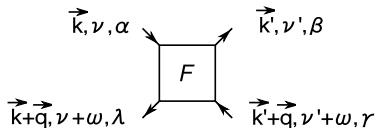


$\Phi_m(\nu, \nu', \omega = 0)$ at $\mathbf{k} = \mathbf{k}' = (0, 0)$ and $\mathbf{q} = (\pi, \pi)$



Two-particle response for materials

- ▶ **Challenge : Memory!** – scattering vertices of two particles depend on 4 spin-orbital indices, 3 momenta and 3 energies (frequencies)



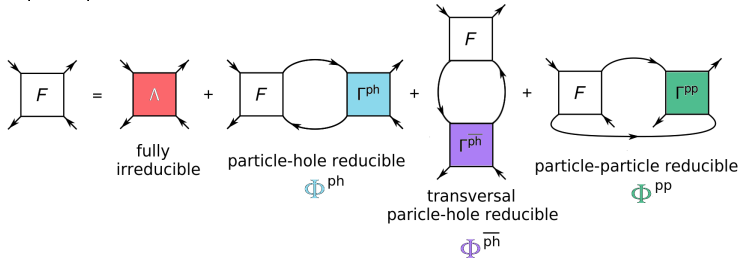
- ▶ For one orbital, 80 frequencies and 56×56 momentum slices a single vertex needs **240 Petabytes!**

SOLUTIONS:

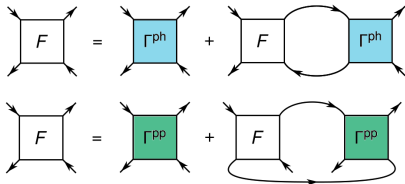
- ▶ Momentum dependence reduced to only a few functions: **form factors**
C. Eckhardt, C. Honerkamp, K. Held, and AK, PRB 101, 155104 (2020),
T. Schäfer et al, PRX 11, 011058 (2021)
- ▶ Smart reformulation with smaller frequency range (single-boson exchange)
F. Krien, AK, and K. Held, PRR 3, 013149 (2021),
F. Krien, AK, EPJB 95, 69 (2022)
- ▶ **Dimensionality reduction** by using IR and QTTs (work in progress)
M. Wallerberger et al, PRR 3, 033168 (2021),
H. Shinaoka et al, PRX 13, 021015 (2023)

Parquet equations

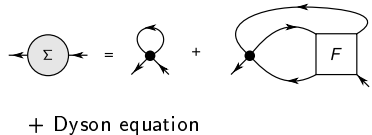
Parquet equation



Bethe-Salpeter equations (BSE)

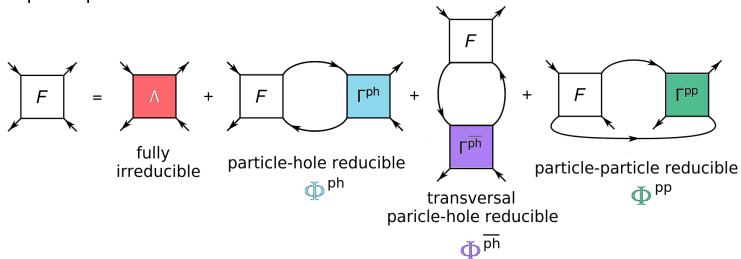


Schwinger-Dyson equation (SDE)



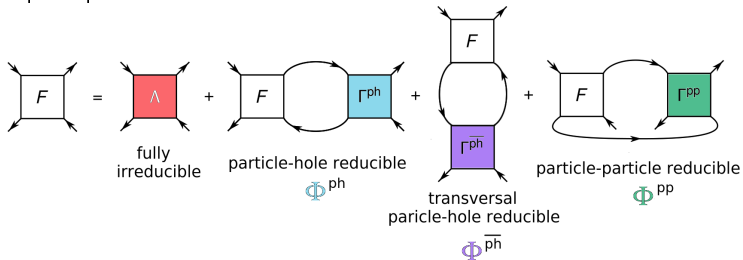
Parquet equations

Parquet equation



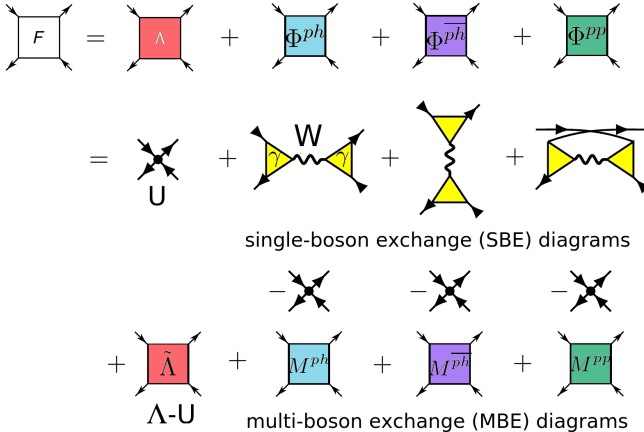
Parquet equations

Parquet equation



Tiling with triangles

Parquet equation

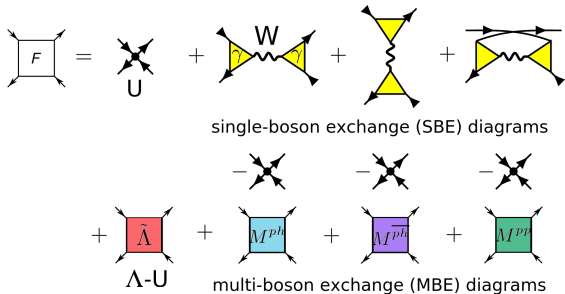


F. Krien, AK, K. Held, Phys. Rev. Res. 3, 013149 (2021),

F. Krien, AK, EPJ B 95, 69 (2022)

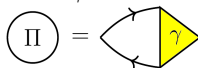
Tiling with triangles

Parquet equation

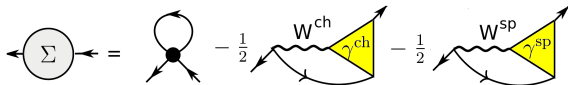


- ▶ Effective interaction W is given by polarisation $\Pi = GG\gamma$

$$W = \frac{U}{1 - U\Pi} \quad \text{and}$$



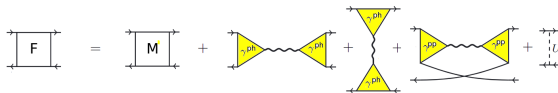
- ▶ Schwinger-Dyson equation modifies to: $\Sigma = \Sigma_{HF} + GW\gamma$



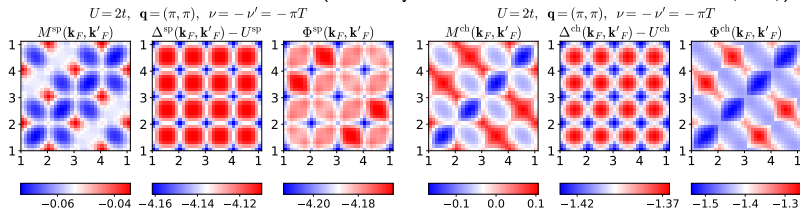
Tiling with triangles – unifies parquet equations with $GW\gamma$

Advantages of **triangles** reformulation

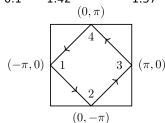
- ▶ Clear connection to $GW\gamma$ method
- ▶ Physical interpretation in terms of **boson-exchange** diagrams: SBE vs MBE



- ▶ MBE diagrams (M 's) require **much smaller frequency boxes**, which allows for better momentum resolution (currently 16×16 , *F. Krien, AK, EPJ B 95, 69 (2022)*)

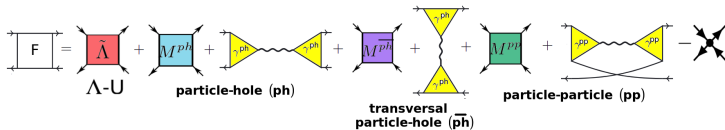


Δ is here the SBE contribution
and the momenta are along the Fermi surface:



Boson-exchange decomposition of optical conductivity

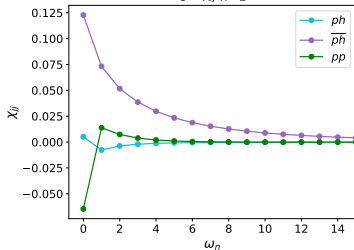
- M 's are the **multi-boson** contributions (MBE), $\gamma W \gamma$'s are the **single-boson** contributions (SBE) in each channel (ph , \overline{ph} , pp)



$$\chi_{jj} = \chi^0 + \chi^\Lambda + \chi^{ph} + \chi^{\overline{ph}} + \chi^{pp}$$

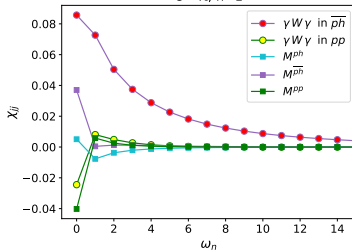
Parquet decomposition

$U=4t, n=1$



Boson-exchange decomposition

$U=4t, n=1$



Vertex corrections

- ▶ Two-particle response often requires taking into account vertex corrections
- ▶ DFT + DMFT or ladder DGA are very successful in computing two-particle response for materials
- ▶ For optical conductivity **parquet equations** are important

We found with parquet equations

- ▶ Important vertex corrections: π -tons
- ▶ π -ton contributions to optical conductivity should be universally present in materials with strong π -fluctuations (AFM, CDW)
- ▶ Still open question: Can simpler methods describe π -ton vertex corrections?

Outlook: New advances in the parquet method

- ▶ **Dimensionality reduction** by using sparse modeling and/or tensor decomposition