

LQSGW+DMFT and fully self-consistent GW+EDMFT

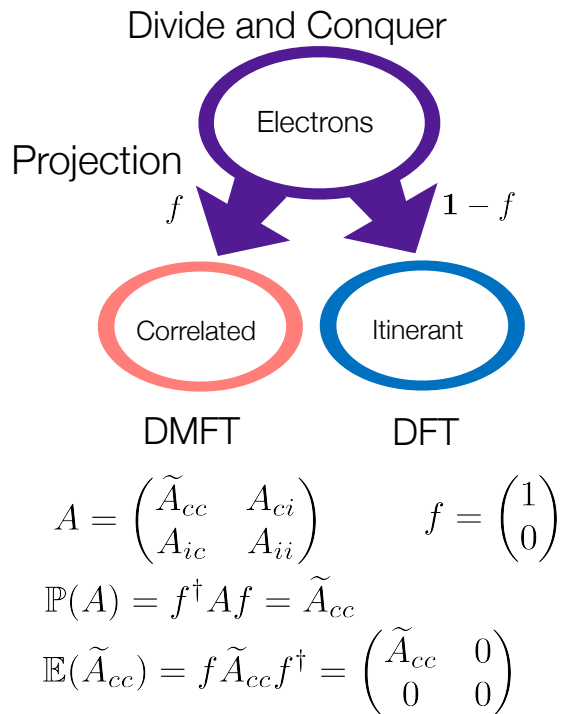
Sangkook Choi

Outline

1. Successes and challenges of DFT+DMFT
2. Introduction of GW+EDMFT
3. Approximation to GW+EDMFT: multitier scheme
4. Approximation to GW+EDMFT: partial self-consistency scheme
5. Full GW+EDMFT
6. Examples: SrVO₃, NiO

1. Successes and challenges of DFT+DMFT

DFT + DMFT is a work horse methodology for correlated quantum materials



$$G(\mathbf{r}, \mathbf{r}, \mathbf{k}, \tau = 0^+) \rightarrow \rho(\mathbf{r}) \rightarrow v_H + v_{xc}$$

$$G(\mathbf{k}, i\omega_n) = \left(G_0(\mathbf{k}, i\omega_n)^{-1} - v_{Hxc} - \mathbb{E} \left(\tilde{\Sigma}_{imp}(i\omega_n) - \tilde{\Sigma}_{DC} \right) \right)^{-1}$$

$$\tilde{\Sigma}_{imp} = \tilde{\mathcal{G}}^{-1} - \tilde{G}_{imp}^{-1}$$

$$\tilde{G}_{loc}(i\omega_n) = \mathbb{P}(G(\mathbf{k}, i\omega_n))$$

$$S_{eff}(\tilde{U}) \rightarrow \tilde{G}_{imp} \leftarrow \tilde{\mathcal{G}}^{-1} = \tilde{G}_{loc}^{-1} + \tilde{\Sigma}_{imp}$$

\tilde{U} and $\tilde{\Sigma}_{DC}$ should be determined by the choice of the projectors (\mathbb{P}, \mathbb{E})

[1] V. I. Anisimov, A. I. Poteryaev, M. A. Korotin, A. O. Anokhin, and G. Kotliar, J. Phys.: Condens. Matter 9, 7359 (1997). [2] A. I. Lichtenstein and M. I. Katsnelson, Phys. Rev. B 57, 6884 (1998). [3] G. Kotliar, S. Y. Savrasov, K. Haule, V. S. Oudovenko, O. Parcollet, and C. A. Marianetti, Rev. Mod. Phys. 78, 865 (2006). [4] G. Kotliar and S. Y. Savrasov, arXiv:Cond-Mat/0208241 (2002). [5] R. M. Martin, L. Reining, and D. M. Ceperley, Interacting Electrons (Cambridge University Press, 2016). [6] R. Chitra and G. Kotliar, Phys. Rev. B 63, 115110 (2001). [7] S. Y. Savrasov and G. Kotliar, Spectral Density Functionals for Electronic Structure Calculations, Phys. Rev. B 69, 245101 (2004).

Numerous implementation (incomplete list)

DFT + embedded DMFT Functional*

Developed by Kristjan Haule at Rutgers University, ©Copyright 2007-2020.

TRIQS/DFTTools: A TRIQS application for *ab initio* calculations of correlated materials ☆

DCore

DCore = Integrated DMFT software for Correlated electrons.

RSPt

RSPt is a code for electronic structure calculations and its acronym stands for Relativistic Spin Polarized toolkit. RSPt offers a robust and flexible set of tools to calculate total energies, magnetic moments, band structures, Fermi surfaces and densities of states for all elements, and combinations thereof, over a wide range of volumes and structures.

DMFTwDFT: An open-source code combining Dynamical Mean Field Theory with various density functional theory packages ☆☆☆

Vijay Singh^{a,b,*}, Uthpala Herath^b, Benny Wah^a, Xingyu Liao^a, Aldo H. Romero^b, Hyowon Park^a

ONETEP + TOSCAM: Uniting Dynamical Mean Field Theory and Linear-Scaling Density Functional Theory

Edward B. Linscott, Daniel J. Cole, Nicholas D. M. Hine, Michael C. Payne, and Cédric Weber*



Cite This: *J. Chem. Theory Comput.* 2020, 16, 4899–4911

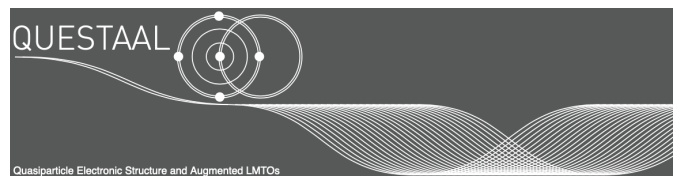


Read Online



1. COMSUIE

A computational materials physics code for simulating correlated quantum materials using Dynamic Mean Field Theory (DMFT) and its extension. It can calculate the electronic structure within three different methods:



AMULET

Advanced Materials simULATION Ekaterinburg's Toolbox

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Why it is special

DOCUMENTA

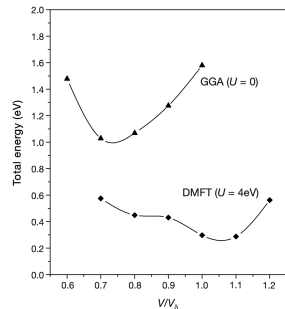
Inputs and HOWT

AMULET

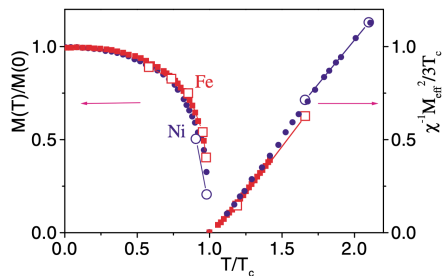
The AMULET is a free software for scientific and/or educational purposes and it is distributed under [FreeBSD License](#). To description of a planned research has to be submitted to [AMULET Developers Team](#). In the description one needs to indicate and explain shortly why conventional band structure methods do not work for your problems/compounds and what is expected from DMFT. The source code will be e-mailed to you if it is suitable for your study.

Numerous successes of DFT+DMFT (very incomplete list)

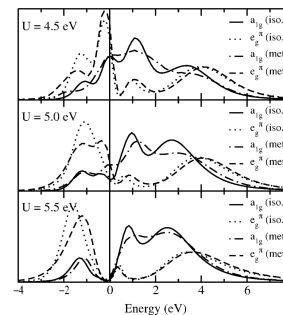
Volume collapse in Pu



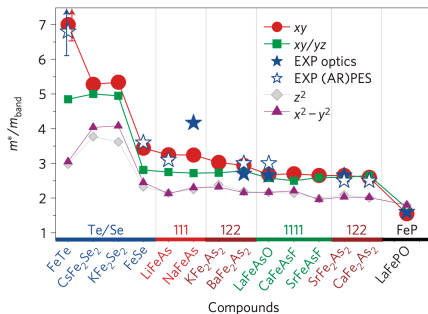
Finite T ferromagnetism



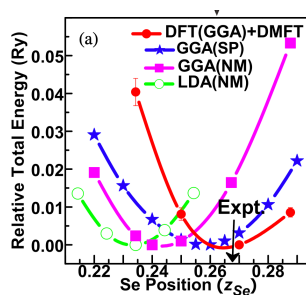
MIT in V2O3



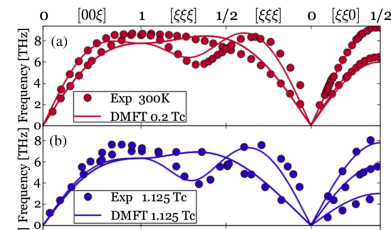
Hund metal physics



Structure prediction (FeSe)



Phonon

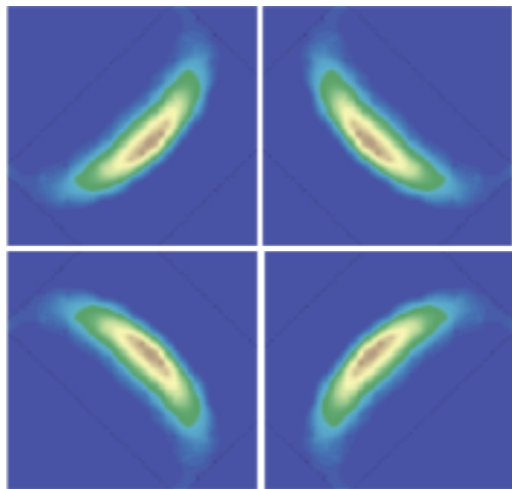


What if nonlocal correlation is essential?

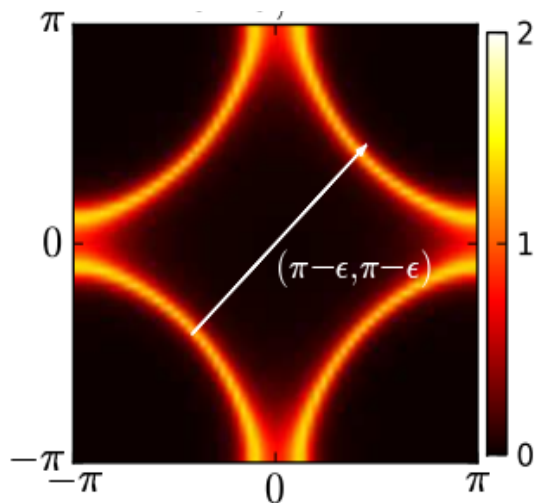
DFT + single-site DMFT: local (no k dependence) and dynamical (frequency-dependent) self-energy

$$\tilde{\Sigma}(\mathbf{k}, i\omega_n) \simeq \tilde{\Sigma}(i\omega_n)$$

Pseudo-gap in Cuprates



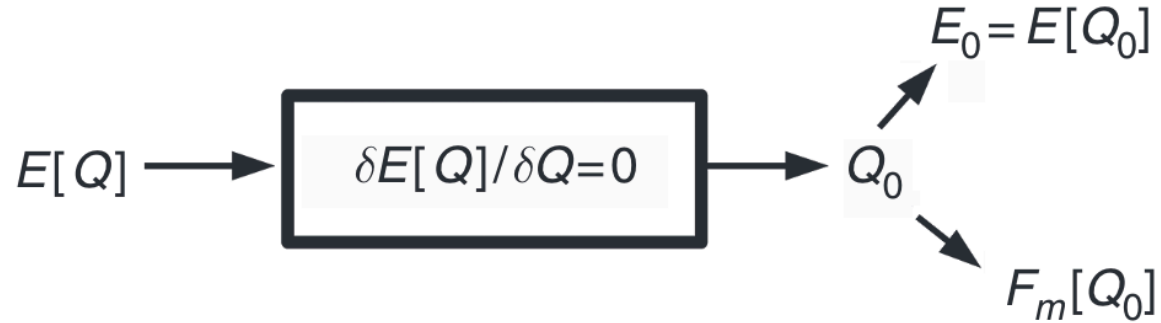
Single-site DMFT, Hubbard model



For the system where non-local (k -dependent) correlation is important, we need a tool beyond DFT + single site DMFT

2. Introduction of GW+EDMFT

Functional approaches to quantum many-body problems



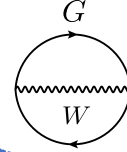
Brachistochrone curve



$$\frac{\delta T[y(x)]}{\delta y(x)} = 0$$

Free energy functional and Ψ functional (instead of density functional theory)

Any two particle irreducible diagram in G and W , e.g.



- Free Energy Functional

$$\Gamma[G, \Sigma, W, \Pi] = \underbrace{-\text{Tr}[\log(-G_H^{-1})] - \text{Tr}[\log(1 - G_H \Sigma)] - \text{Tr}[G \Sigma]}_{\text{Fermionic}} + \underbrace{\frac{1}{2} \text{Tr}[\log(1 - V \Pi)] + \frac{1}{2} \text{Tr}[\Pi W]}_{\text{Bosonic}} + \underbrace{\Psi[G, W]}_{\text{Fermion-boson coupling}}$$

- Stationary Condition

$$\frac{\delta \Gamma}{\delta G} = 0 \rightarrow \Sigma = \frac{\delta \Psi}{\delta G}$$

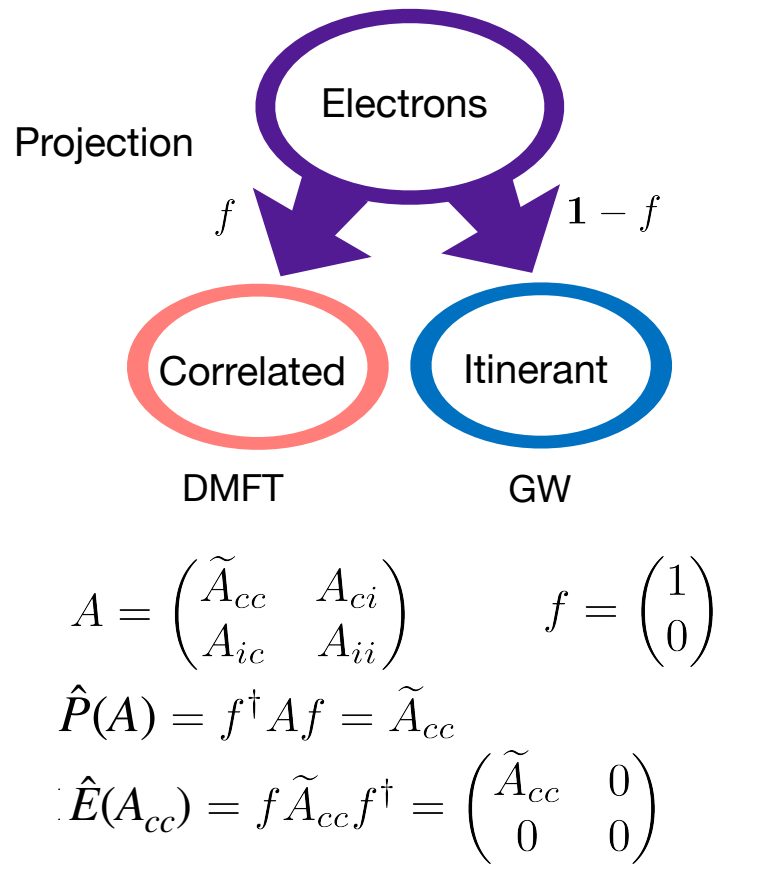
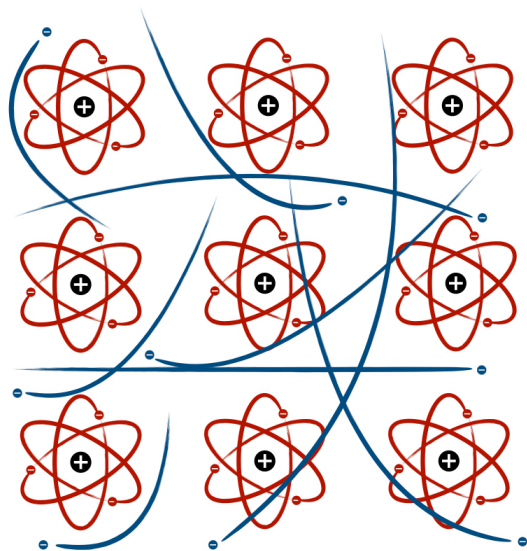
$$\frac{\delta \Gamma}{\delta W} = 0 \rightarrow \Pi = -2 \frac{\delta \Psi}{\delta W}$$

$$\frac{\delta \Gamma}{\delta \Sigma} = 0 \rightarrow G = (G_H^{-1} - \Sigma)^{-1}$$

$$\frac{\delta \Gamma}{\delta \Pi} = 0 \rightarrow W = (V^{-1} - \Pi)^{-1}$$

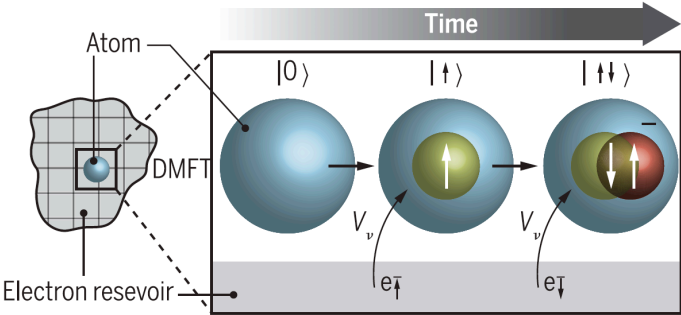
Quantum embedding and projection

Divide and Conquer



Dynamical mean field theory provides a reference frame for correlated electrons

Mapping quantum many electron problem to quantum impurity problem in an effective field



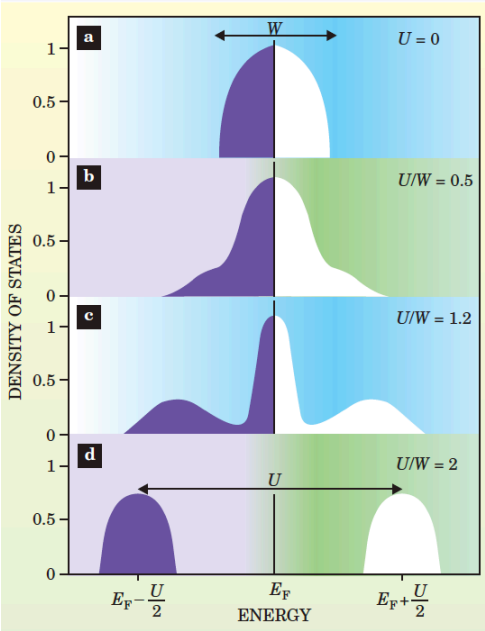
$$H = \sum_{ij,\sigma} t_{ij} c_{i\sigma}^\dagger c_{j\sigma} + \sum_i U n_{i\uparrow} n_{i\downarrow}$$

$$\rightarrow S_{eff} = - \int_0^\beta d\tau d\tau' d_{o\sigma}^\dagger(\tau) \mathcal{G}_0^{-1}(\tau, \tau') d_{o\sigma}(\tau') + \int_0^\beta d\tau U n_{o\uparrow}(\tau) n_{o\downarrow}(\tau)$$

Electron hopping
Band-like

Multiplets
Atom-like

Single Band Hubbard Model

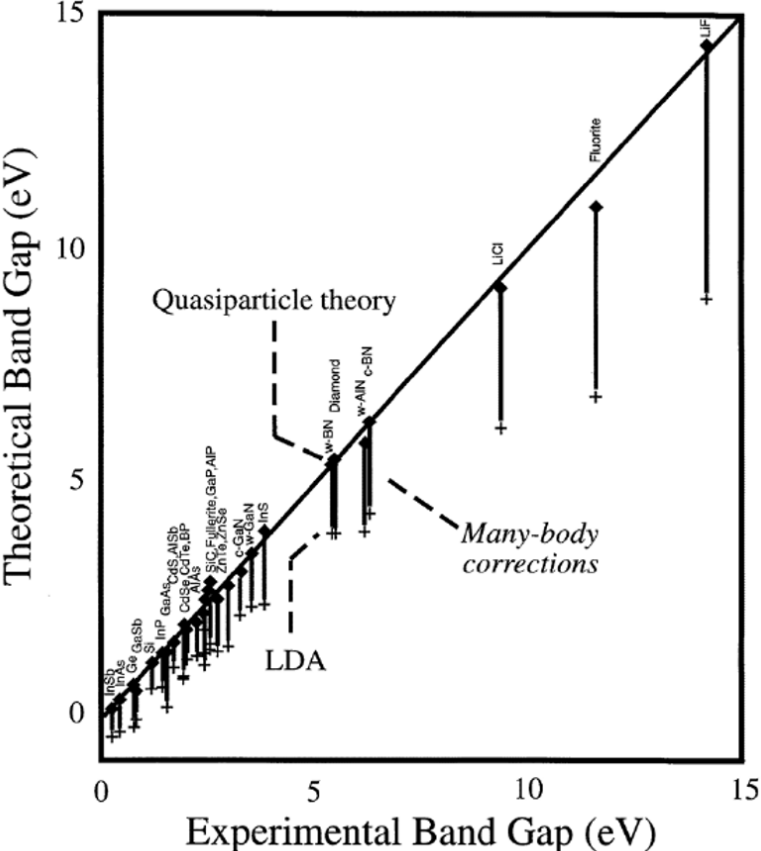
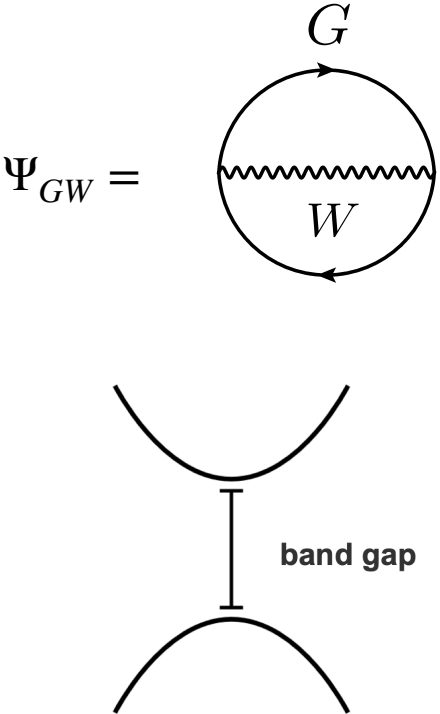


Band-like

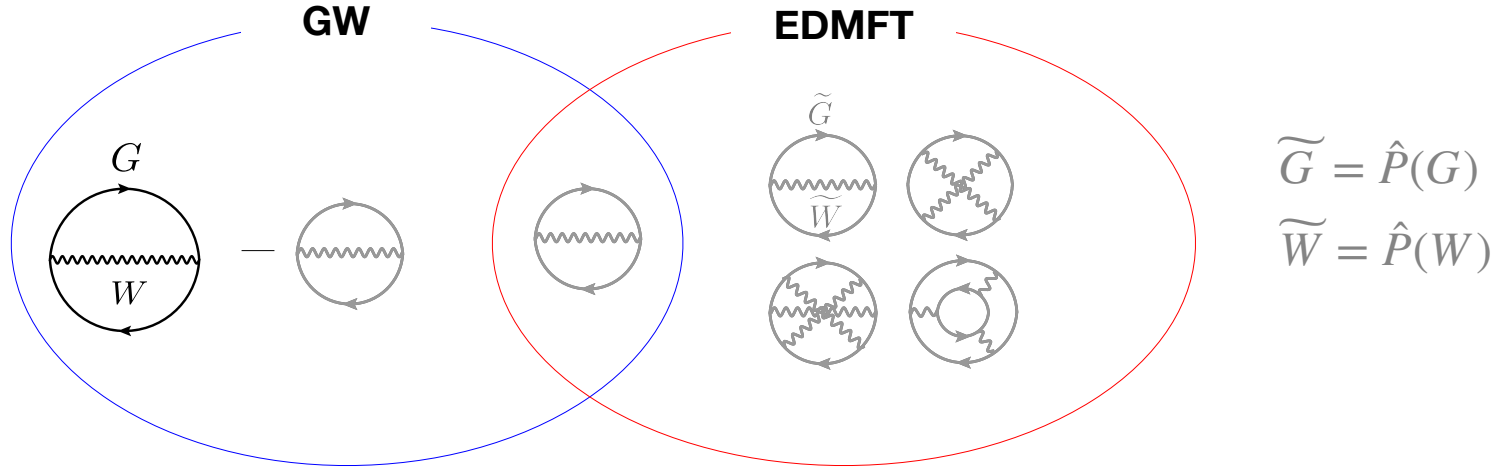
Atom-like

[1] A. Georges, G. Kotliar, W. Krauth, and M. J. Rozenberg, Rev. Mod. Phys. 68, 13 (1996) [2] G. Kotliar and D. Vollhardt, Physics Today 57, 53 (2004).

GW approximation provides a reference frame for itinerant electrons



$\Psi_{GW+EDMFT}$



$$\Psi_{GW+EDMFT}(G, W) = -\frac{1}{2} \text{Tr} GWG + \Psi_{EDMFT}(\tilde{G}, \tilde{W}) + \frac{1}{2} \text{Tr} \tilde{G}\tilde{W}\tilde{G}.$$

$$\Sigma(1, 2) = -G(1, 2)W(1, 2) + \frac{\delta\Psi_{EDMFT}}{\delta\tilde{G}(2, 1)} + \tilde{G}(1, 2)\tilde{W}(1, 2).$$

$$\Pi(1, 2) = G(1, 2)G(2, 1) - 2\frac{\delta\Psi_{EDMFT}}{\delta\tilde{W}(2, 1)} - \tilde{G}(1, 2)\tilde{G}(2, 1).$$

Three different self-consistent loop in GW+EDMFT

Non-local correlation within GW
Local correlation within EDMFT

$$\Sigma_H = GV$$

$$\Sigma_{GW} = -GW$$

$$\Pi_{GW} = GG$$

$$G = \{G_H^{-1} - \Sigma_{GW} - \hat{E}^f(\Sigma_{EDMFT} - \Sigma_{DC})\}^{-1}$$

$$W = \{V^{-1} - \Pi_{GW} - \hat{E}^b(\Pi_{EDMFT} - \Pi_{DC})\}^{-1}$$

$$\tilde{G}_{loc} = \hat{P}^f(G)$$

$$\tilde{W}_{loc} = \hat{P}^b(W)$$

$$\tilde{\mathcal{G}} = \tilde{G}_{loc}^{-1} + \tilde{\Sigma}_{EDMFT}$$

$$\tilde{\mathcal{U}} = \tilde{W}_{loc}^{-1} + \tilde{\Pi}_{EDMFT}$$

$$S_{eff}[\tilde{\mathcal{G}}, \tilde{\mathcal{U}}] \rightarrow \tilde{\Sigma}_{EDMFT}, \tilde{\Pi}_{EDMFT}$$

$$\frac{\delta\Gamma_{GW+EDMFT}}{\delta W} = 0 \rightarrow P = 2GG + \frac{\delta\Psi_{EDMFT}}{\delta W} - 2\mathbb{E}(\tilde{G}\tilde{G})$$

$$\frac{\delta\Gamma_{GW+EDMFT}}{\delta P} = 0 \rightarrow W^{-1} = v^{-1} - P$$

$$\frac{\delta\Gamma_{GW+EDMFT}}{\delta G} = 0 \rightarrow \Sigma_{xc} = -GW + \frac{\delta\Psi_{EDMFT}}{\delta G} + \mathbb{E}(\tilde{G}\tilde{W})$$

$$\frac{\delta\Gamma_{GW+EDMFT}}{\delta\Sigma} = 0 \rightarrow G^{-1} = G_0^{-1} - \Sigma_H - \Sigma_{xc}$$

$$\tilde{\Sigma}_{DC} = -\tilde{G}_{loc}\tilde{W}_{loc}$$

$$\tilde{\Pi}_{DC} = \tilde{G}_{loc}\tilde{G}_{loc}$$

3. Approximation to GW+EDMFT: multitier scheme

Simplification-I: multitier GW+EDMFT

$$\Sigma_H = GV$$

$$\Sigma_{GW} = -GW$$

$$\Pi_{GW} = GG$$

GW self-consistency
in the low-energy Hilbert space

$$G = \{G_H^{-1} - \Sigma_{GW} - \hat{E}^f(\Sigma_{EDMFT} - \Sigma_{DC})\}^{-1}$$

$$W = \{V^{-1} - \Pi_{GW} - \hat{E}^b(\Pi_{EDMFT} - \Pi_{DC})\}^{-1}$$

$$\tilde{G}_{loc} = \hat{P}^f(G)$$

$$\tilde{W}_{loc} = \hat{P}^b(W)$$

$$\tilde{\mathcal{G}} = \tilde{G}_{loc}^{-1} + \tilde{\Sigma}_{EDMFT}$$

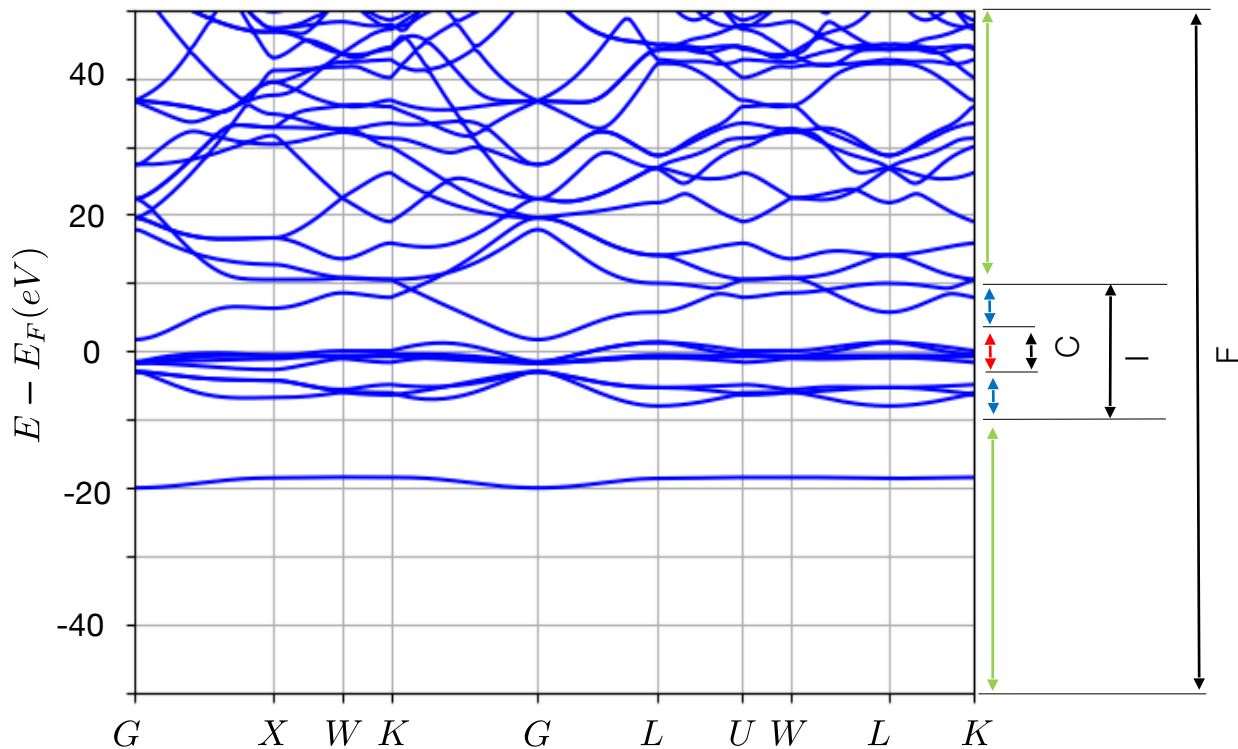
$$\tilde{\mathcal{U}} = \tilde{W}_{loc}^{-1} + \tilde{\Pi}_{EDMFT}$$

$$S_{eff}[\tilde{\mathcal{G}}, \tilde{\mathcal{U}}] \rightarrow \tilde{\Sigma}_{EDMFT}, \tilde{\Pi}_{EDMFT}$$

$$\tilde{\Sigma}_{DC} = -\tilde{G}_{loc}\tilde{W}_{loc}$$

$$\tilde{\Pi}_{DC} = \tilde{G}_{loc}\tilde{G}_{loc}$$

Simplification I: Multitier scheme GW+EDMFT in the intermediate space



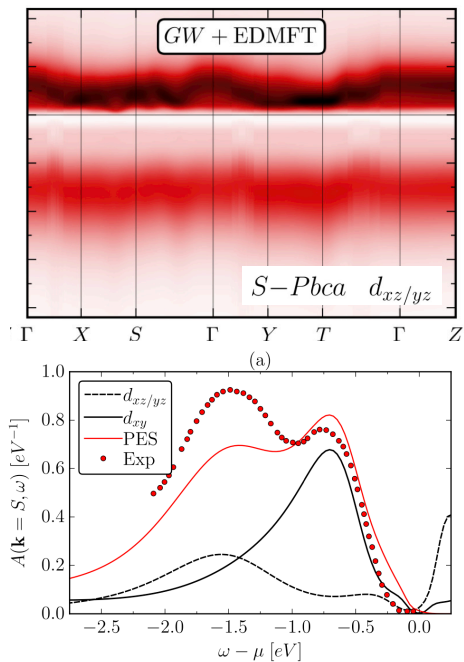
C: correlated subspace
I: intermediate subspace (5-8 orbital)
F: full space

C = Tier-I
I = Tier-I \oplus Tier-II
F = Tier-I \oplus Tier-II \oplus Tier-III

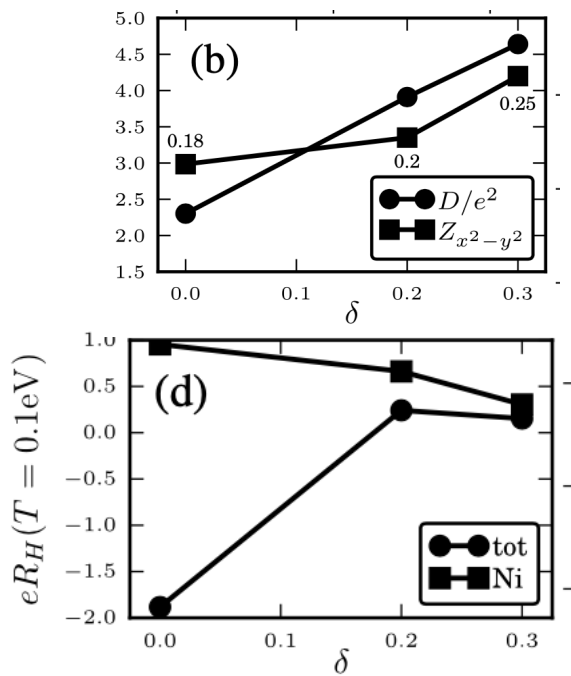
Tier-I: GW+EDMFT
Tier-II: GW
Tier-III: one-shot GW, not GW

Application of multitier GW+EDMFT to real materials

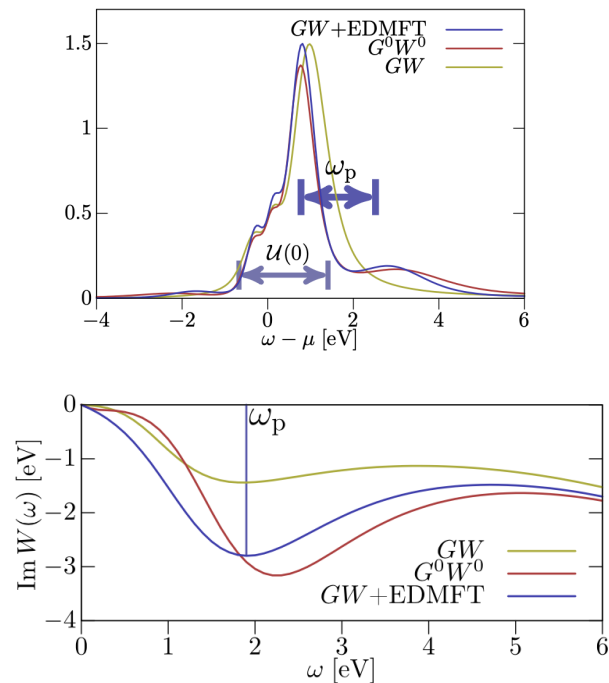
MIT in Ca_2RuO_4



Multiorbital nature of infinite-layer nickelates



Plasmon satellites in SrVO_3



- [1] F. Petocchi, V. Christiansson, and P. Werner, Phys. Rev. B 104, 195146 (2021). [2] F. Petocchi, V. Christiansson, F. Nilsson, F. Aryasetiawan, and P. Werner, Phys. Rev. X 10, 041047 (2020). [3] F. Petocchi, F. Nilsson, F. Aryasetiawan, and P. Werner, Phys. Rev. Research 2, 013191 (2020). [4] L. Boehnke, F. Nilsson, F. Aryasetiawan, and P. Werner, Phys. Rev. B 94, 201106 (2016).

4. Approximation to GW+EDMFT: partial self-consistency scheme

Important ansatz for simplification II: separable self-energy

$$\tilde{\Sigma}(\mathbf{k}, i\omega_n) \simeq \tilde{\Sigma}^{non-local}(\mathbf{k}) + \tilde{\Sigma}^{dyn}(i\omega_n) \rightarrow G^{-1}(\mathbf{k}, i\omega_n) = i\omega_n - \left(\frac{H_0 + \mathbb{E}(\tilde{\Sigma}^{non-local}(\mathbf{k})) + \mathbb{E}(\tilde{\Sigma}^{dyn}(i\omega_n))}{= H^{non-local}(\mathbf{k})} \right)$$

Validation on Fe-based superconductors quasiparticle bands

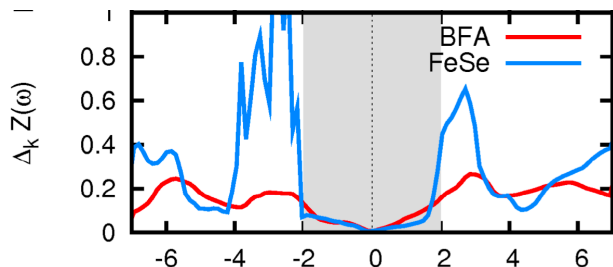
- Up to linear term in frequency

$$\tilde{\Sigma}(\mathbf{k}, i\omega_n) \simeq \tilde{\Sigma}(\mathbf{k}, 0) + \left(1 - \tilde{Z}^{-1}(\mathbf{k})\right) i\omega_n$$

$$\tilde{Z}^{-1}(\mathbf{k}) = 1 - \left. \frac{\partial \tilde{\Sigma}(\mathbf{k}, i\omega_n)}{\partial (i\omega_n)} \right|_{i\omega_n=0}$$

- If $\tilde{Z}(\mathbf{k})$ is k-independent, self-energy is separable

Within QSGW

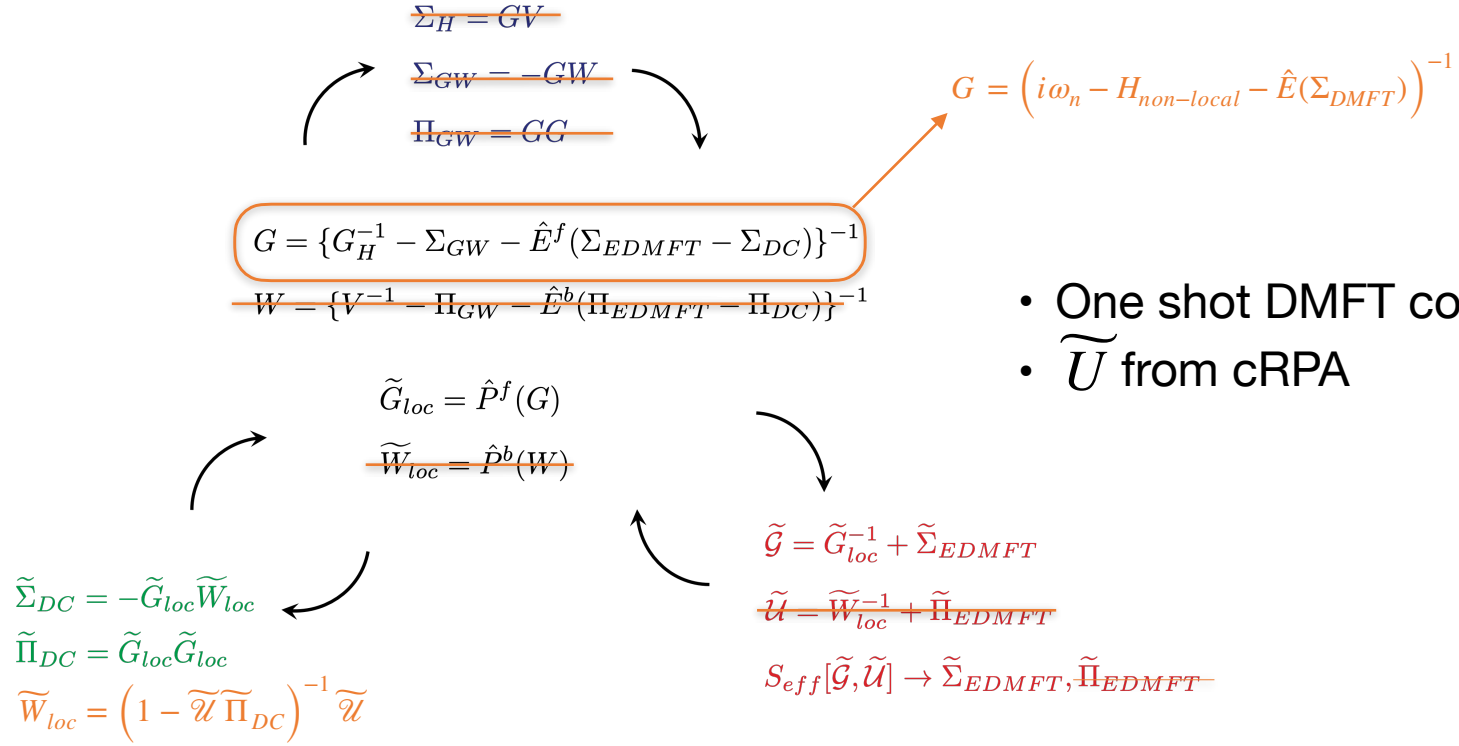


1) $H^{non-local}(\mathbf{k}) = H^{LQSGW}(\mathbf{k})$

2) Determine $Z(\mathbf{k})$ by fitting ARPES spectra of LiFeAs

	$Z_m(\Gamma)$	$Z_m(M)$
xy	0.21 ± 0.01	0.18 ± 0.01
xz/yz	0.38 ± 0.01	0.30 ± 0.04

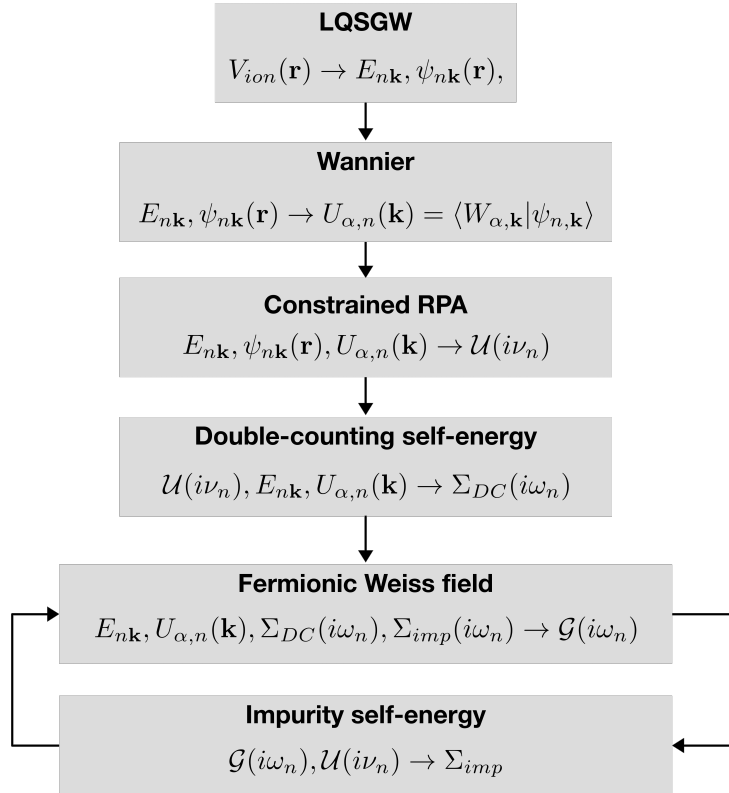
Simplification-I: partial self-consistency scheme



- One shot DMFT correction to $H_{non-local}$
- \tilde{U} from cRPA

[1] F. Aryasetiawan et al., Phys. Rev. B 70, 195104 (2004). [2] S. Choi, et al., Npj Quantum Materials 1, 16001 (2016). [3] A. van Roekeghem, et al., Phys. Rev. Lett. 113, 266403 (2014). [4] L. Sponza et al., Phys. Rev. B 95, 041112 (2017). [5] D. Pashov et al., Computer Physics Communications 249, 107065 (2020). [6] J. M. Tomczak, J. Phys.: Conf. Ser. 592, 012055 (2015). [7] J. M. Tomczak et al., Phys. Rev. B 90, 165138 (2014). [8] C. Taranto et al., Phys. Rev. B 88, 165119 (2013). [9] F. Nilsson et al., Phys. Rev. Materials 1, 043803 (2017).

Ab initio LQSGW+DMFT



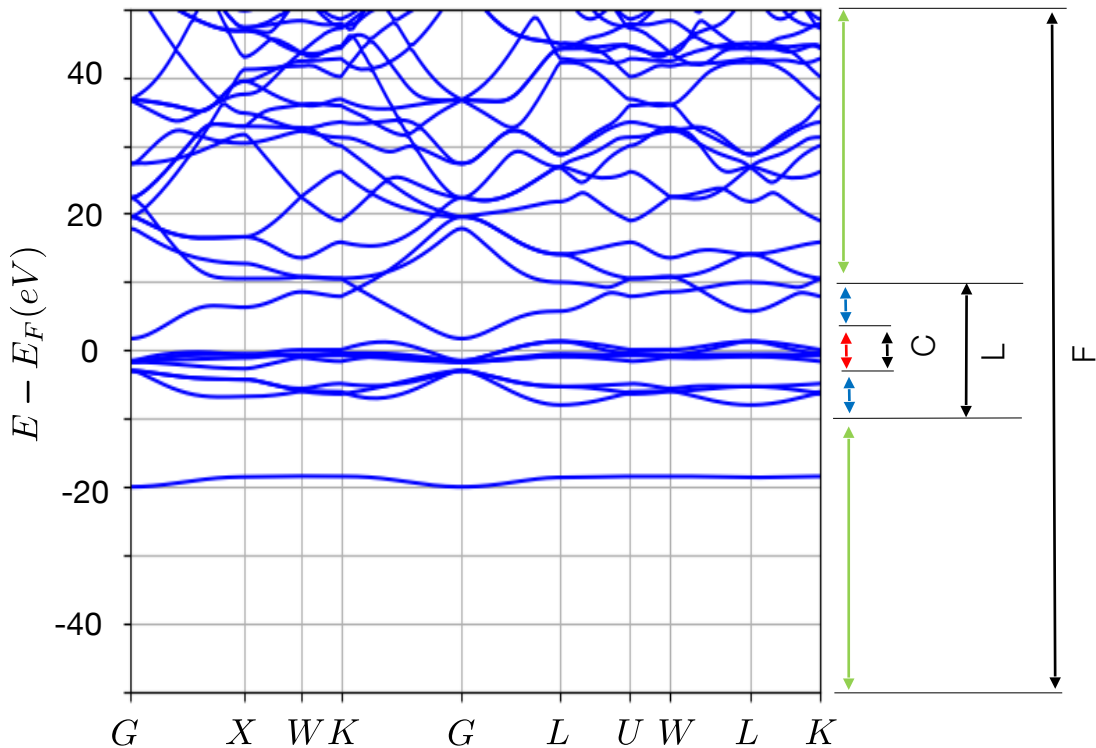
- A simplification of full GW+EDMFT
- One-shot DMFT correction to ab initio LQSGW
- Interaction tensor and double-counting energy are calculated (within cRPA and local-GW)
- a parameter-free method
- Validation on charge transfer insulators, Fe-based superconductors, and narrow-gap correlated insulators

[1] J. M. Tomczak, J. Phys.: Conf. Ser. 592, 012055 (2015).

[2] **S. Choi**, A. Kutepov, K. Haule, M. van Schilfhaarde, and G. Kotliar, npj Quantum Materials 1, 16001 (2016).

[3] **S. Choi**, P. Semon, B. Kang, A. Kutepov, and G. Kotliar, Computer Physics Communications 244, 277 (2019).

Hilbert space and its subspaces for LQSGW+DMFT



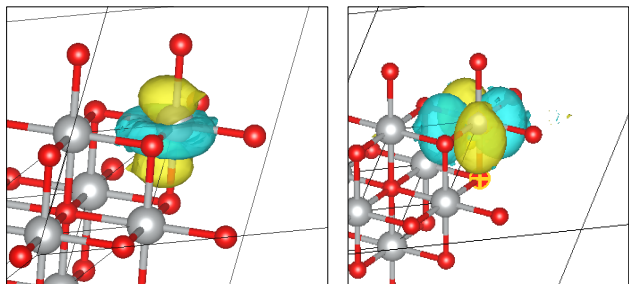
- F: full space
 - F = Tier-I \oplus Tier-II \oplus Tier-III
 - notation: $\bar{A}(r, r')$
- L: Low-energy subspace defined by Wannier functions spanning an energy window ($E_F \pm 10eV$)
 - L = Tier-I \oplus Tier-II
 - notation: $\bar{A}_{ij}, i, j \Rightarrow$ Wannier functions
- C: correlated subspace
 - C = Tier-I
 - notation: $\tilde{A}_{ij}, i, j \Rightarrow$ Wannier functions

Tier-I: one-shot DMFT correction to LQSGW

Tier-II: LQSGW

Tier-III: LQSGW

Basis set in the low-energy space



$$|n\mathbf{k}\rangle = \frac{1}{\sqrt{N_{\mathbf{k}}}} \sum_{\mathbf{R}, \tau} U_{n\tau}(\mathbf{k}) e^{i\mathbf{k}\cdot\mathbf{R}} |\tau\mathbf{R}\rangle$$

$$|\tau\mathbf{R}\rangle = \frac{1}{\sqrt{N_{\mathbf{k}}}} \sum_{\mathbf{k}, n} U_{n\tau}^*(\mathbf{k}) e^{-i\mathbf{k}\cdot\mathbf{R}} |n\mathbf{k}\rangle$$

- One way to construct orthonormal basis set of $|\tau\mathbf{R}\rangle$ from $|n\mathbf{k}\rangle$, or to determine $U_{n\tau}(\mathbf{k})$

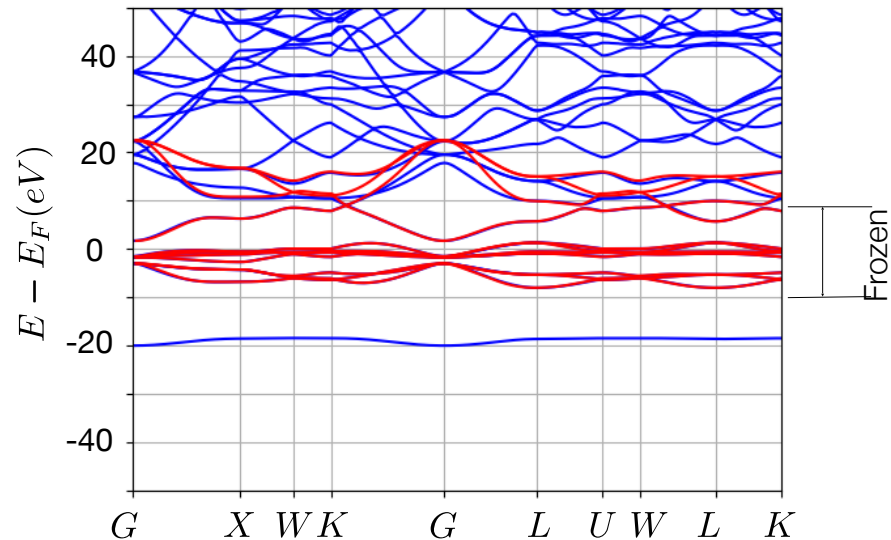
→ by minimizing total spread

$$\Omega = \sum_{\tau\mathbf{R}} \langle \mathbf{r}^2 - \langle \mathbf{r} \rangle_{\tau\mathbf{R}}^2 \rangle_{\tau\mathbf{R}} \quad , \quad \text{where} \quad \langle A \rangle_{\tau\mathbf{R}} = \langle \mathbf{R}\tau | A | \mathbf{R}\tau \rangle$$

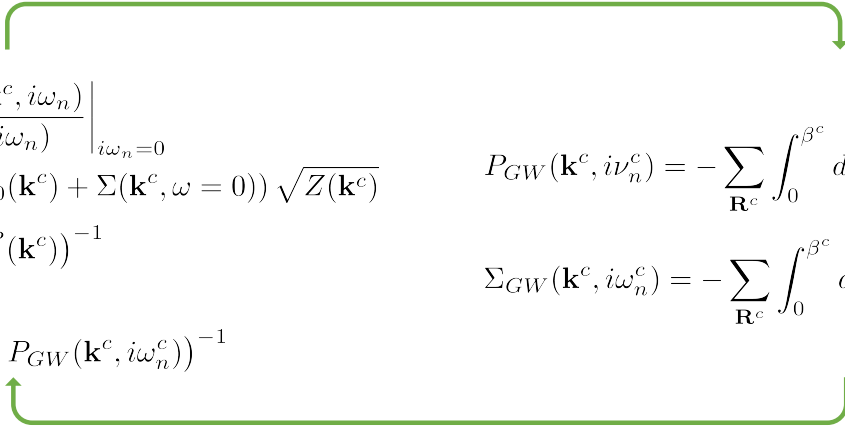
→ Under the constraint that it preserves band eigenvalues in the inner (frozen) window $E_{n\mathbf{k}}$

- Our default choice of inner (frozen) window: $E_F \pm 10\text{eV}$
- then a projector to correlated orbitals

$$f_{\mathbf{k}} = \langle \mathbf{r} | \tau\mathbf{k} \rangle = \sum_n U_{n\tau}^*(\mathbf{k}) \langle \mathbf{r} | n\mathbf{k} \rangle$$



Nonlocal Hamiltonian 1: Linearized quasiparticle self-consistent GW (LQSGW)



$$Z^{-1}(\mathbf{k}^c) = 1 - \left. \frac{\partial \Sigma(\mathbf{k}^c, i\omega_n)}{\partial (i\omega_n)} \right|_{i\omega_n=0}$$

$$H^{QP}(\mathbf{k}^c) = \sqrt{Z(\mathbf{k}^c)} (H_0(\mathbf{k}^c) + \Sigma(\mathbf{k}^c, \omega = 0)) \sqrt{Z(\mathbf{k}^c)}$$

$$G^{QP}(\mathbf{k}^c, i\omega_n^c) = (i\omega_n^c - H^{QP}(\mathbf{k}^c))^{-1}$$

$$W_{GW}(\mathbf{k}^c, i\omega_n^c) = (V^{-1}(\mathbf{k}^c) - P_{GW}(\mathbf{k}^c, i\omega_n^c))^{-1}$$

$$P_{GW}(\mathbf{k}^c, i\nu_n^c) = - \sum_{\mathbf{R}^c} \int_0^{\beta^c} d\tau G^{QP}(\mathbf{R}^c, \tau) \circ G^{QP}(-\mathbf{R}^c, -\tau) e^{-i(\mathbf{k}^c \cdot \mathbf{R}^c - \nu_n^c \tau)}$$

$$\Sigma_{GW}(\mathbf{k}^c, i\omega_n^c) = - \sum_{\mathbf{R}^c} \int_0^{\beta^c} d\tau G^{QP}(\mathbf{R}^c, \tau) \circ W(-\mathbf{R}^c, -\tau) e^{-i(\mathbf{k}^c \cdot \mathbf{R}^c - \omega_n^c \tau)}$$

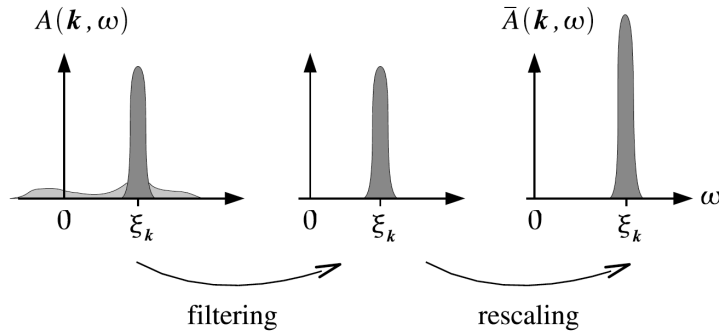
- The developer: Andrey Kutepov
- LAPW basis set
- space-time methods (to avoid convolution)
- calculation in a coarse Matsubara frequency grid (typical simulation temperature $\sim 1000\text{K}$)
- calculation in a coarse momentum space grid (e.g. NiO: $6 \times 6 \times 6$)

[1] A. L. Kutepov, V. S. Oudovenko, and G. Kotliar, Computer Physics Communications 219, 407 (2017).

[2] A. Kutepov, S. Y. Savrasov, and G. Kotliar, Phys. Rev. B 80, 041103 (2009).

[3] A. Kutepov, K. Haule, S. Y. Savrasov, and G. Kotliar, Phys. Rev. B 85, 155129 (2012).

Linearized self-energy and quasiparticle Hamiltonian



$$\begin{aligned}
 G(i\omega_n) &= \frac{1}{i\omega_n - \varepsilon_0 - \Sigma(i\omega_n)} && \text{filtering} \\
 &\simeq \frac{1}{i\omega_n - \varepsilon_0 - \Sigma(0) - i\omega_n \left. \frac{\partial \Sigma(i\omega_n)}{\partial (i\omega_n)} \right|_{\omega=0}} \\
 &= \frac{1}{i\omega_n \left(1 - \left. \frac{\partial \Sigma(i\omega_n)}{\partial (i\omega_n)} \right|_{\omega=0} \right) - \varepsilon_0 - \Sigma(0)} \\
 &= \frac{Z}{i\omega_n - \sqrt{Z} (\varepsilon_0 + \Sigma(0)) \sqrt{Z}} && \text{rescaling} \\
 &\simeq \frac{1}{i\omega_n - \sqrt{Z} (\varepsilon_0 + \Sigma(0)) \sqrt{Z}}
 \end{aligned}$$

Quasiparticle weight:
$$Z = \frac{1}{1 - \left. \frac{\partial \Sigma(i\omega_n)}{\partial (i\omega_n)} \right|_{\omega=0}}$$

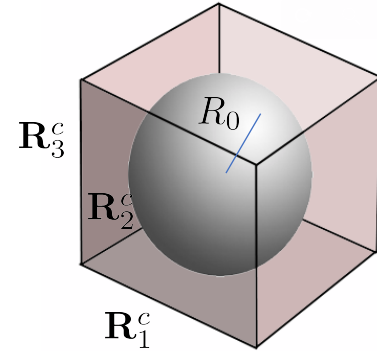
Quasiparticle Hamiltonian:
$$H_{QP} = \sqrt{Z} (\varepsilon_0 + \Sigma(0)) \sqrt{Z}$$

Nonlocal Hamiltonian II: Wannier-interpolation of H^{QP}

- The more localized orthonormal basis set \rightarrow the sparser H matrix in the R space
- With localized basis set, hopping energy are essentially 0 beyond a few neighbours.
- If the supercell defined by k-grid is larger than the hopping range (R_0), we can interpolate the bands at an arbitrary k point

$$H_{\tau,\tau'}(\mathbf{R}^c) = \frac{1}{N_{\mathbf{k}}} \sum_{\mathbf{k}^c} H_{\tau,\tau'}(\mathbf{k}^c) e^{-i\mathbf{k}^c \cdot \mathbf{R}^c}$$

$$\begin{aligned} & H_{\tau,\tau'}(\mathbf{k}^f) \\ &= \sum_{\mathbf{R}^f} H_{\tau,\tau'}(\mathbf{R}^f) e^{i\mathbf{k}^f \cdot \mathbf{R}^f} \\ &= \sum_{|\mathbf{R}^f| \leq R_0} H_{\tau,\tau'}(\mathbf{R}^f) e^{i\mathbf{k}^f \cdot \mathbf{R}^f} + \sum_{|\mathbf{R}^f| > R_0} H_{\tau,\tau'}(\mathbf{R}^f) e^{i\mathbf{k}^f \cdot \mathbf{R}^f} \\ &= \sum_{|\mathbf{R}^c| \leq R_0} H_{\tau,\tau'}(\mathbf{R}^c) e^{i\mathbf{k}^f \cdot \mathbf{R}^c} \end{aligned}$$



Nonlocal Hamiltonian III: Nonlocal LQSGW

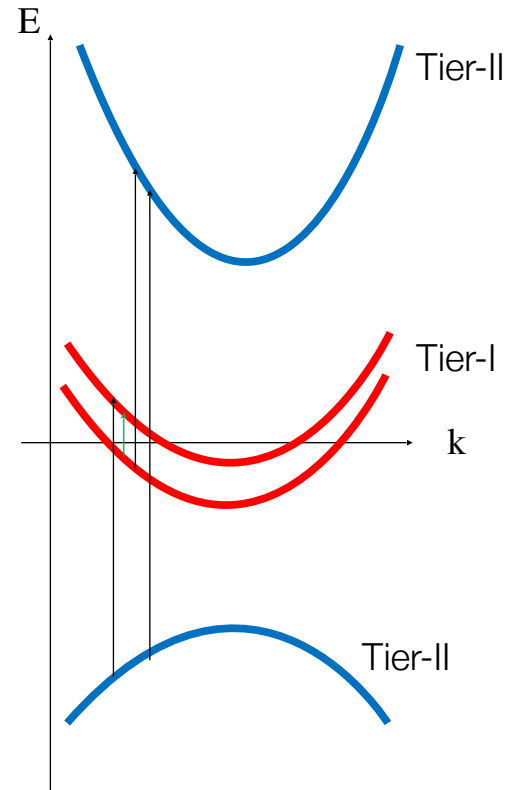
$$\tilde{\Sigma}(\mathbf{k}, i\omega_n) \simeq \tilde{\Sigma}^{non-local}(\mathbf{k}) + \tilde{\Sigma}^{dyn}(i\omega_n) \rightarrow G^{-1}(\mathbf{k}, i\omega_n) = i\omega_n - \left(\frac{H_0 + \mathbb{E}(\tilde{\Sigma}^{non-local}(\mathbf{k})) + \mathbb{E}(\tilde{\Sigma}^{dyn}(i\omega_n))}{= H^{non-local}(\mathbf{k})} \right)$$

We choose nonlocal Hamiltonian by requiring that G is G_{LQSGW} when $\Sigma^{dyn} = \Sigma^{imp} = \Sigma^{DC}$

$$\begin{aligned} G &\simeq \frac{1}{i\omega_n - \left(H^{non-local}(\mathbf{k}) + \mathbb{E}(\Sigma^{DC}(\omega = 0)) + \mathbb{E}((1 - \tilde{Z}_{DC}^{-1})i\omega_n) \right)} & \tilde{Z}_{DC}^{-1} &= 1 - \left. \frac{\partial \tilde{\Sigma}_{DC}(i\omega_n)}{\partial (i\omega_n)} \right|_{i\omega_n=0} \\ &= \frac{1}{Z_{DC}^{-1}i\omega_n - (H^{non-local}(\mathbf{k}) + \mathbb{E}(\Sigma^{DC}(\omega = 0)))} & Z_{DC}^{-1} &= \mathbb{E}(\tilde{Z}_{DC}^{-1}) + (1 - \mathbb{E}(\tilde{1})) \\ &= \frac{Z_{DC}}{i\omega_n - \sqrt{Z_{DC}} (H^{non-local}(\mathbf{k}) + \mathbb{E}(\Sigma^{DC}(\omega = 0))) \sqrt{Z_{DC}}} \\ &\simeq \frac{1}{i\omega_n - \sqrt{Z_{DC}} (H^{non-local}(\mathbf{k}) + \mathbb{E}(\Sigma^{DC}(\omega = 0))) \sqrt{Z_{DC}}} \\ &= \frac{1}{i\omega_n - H^{LQSGW}(\mathbf{k})} \end{aligned}$$

$$H^{non-local}(\mathbf{k}) = Z_{DC}^{-1/2} H^{LQSGW}(\mathbf{k}) Z_{DC}^{-1/2} - \mathbb{E}(\Sigma^{DC}(\omega = 0))$$

Bosonic Weiss Field: constrained random phase approximation



Partially screened Coulomb interaction by neglecting transition between correlated bands

$$P_{QP} = P_{QP}^{low} + P_{QP}^{high}$$

$$P_{QP}^{low}(\mathbf{r}, \mathbf{r}', \mathbf{k}^c, i\omega_n^c) = -N_s \sum_{\mathbf{k}^\ell} \sum_{n \text{ in the space}}^{\text{unocc}} \sum_{m \text{ in the space}}^{\text{occ}}$$

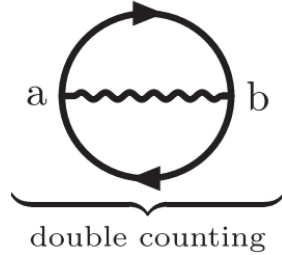
$$\psi_{n\mathbf{k}^\ell}(\mathbf{r}) \psi_{m\mathbf{k}^\ell + \mathbf{k}}^*(\mathbf{r}) \psi_{n\mathbf{k}^\ell}(\mathbf{r}') \psi_{m\mathbf{k}^\ell + \mathbf{k}}(\mathbf{r}') \frac{2(E_{n\mathbf{k}^\ell}^c - E_{n\mathbf{k}^\ell + \mathbf{k}}^c)}{\omega_n^2 - (E_{n\mathbf{k}^\ell}^c - E_{n\mathbf{k}^\ell + \mathbf{k}}^c)^2},$$

$$W_r^{-1}(\mathbf{k}^c, i\omega_n^c) = W^{-1}(\mathbf{k}^c, i\omega_n^c) + P_{QP}^{low}(\mathbf{k}^c, i\omega_n^c)$$

$$\tilde{U}_{ijkl}(i\omega_n^c) = \int d\mathbf{r} d\mathbf{r}' W_{\mathbf{R}^c=0,i}^*(\mathbf{r}) W_{\mathbf{R}^c=0,j}^*(\mathbf{r}') W_r(\mathbf{r}, \mathbf{r}', \mathbf{R}^c=0, i\omega_n^c) W_{\mathbf{R}^c=0,k}(\mathbf{r}') W_{\mathbf{R}^c=0,l}(\mathbf{r})$$

$$\tilde{U}_{ijkl}(i\omega_n^c) \rightarrow \tilde{U}_{ijkl}(i\omega_n^f)$$

Double counting self-energy



$$\begin{aligned} \tilde{\Sigma}_{i,j}^{DC}(i\omega_n) = & - \sum_{k,l} 2\tilde{G}_{k,l}(\tau = -\delta)\tilde{U}_{i,j,k,l}(i\nu_n = 0) \\ & - \sum_{k,l} \int d\tau \tilde{G}_{k,l}(\tau)\tilde{W}_{loc,i,k,l,j}(\tau)e^{i\omega_n\tau}, \end{aligned}$$

$$\tilde{W}_{i,j,k,l}(i\nu_n) = \tilde{U}_{i,j,k,l}(i\nu_n) + \sum_{m,n,p,q} \tilde{U}_{i,j,m,n}(i\nu_n)\tilde{P}_{m,n,p,q}(i\omega_n)\tilde{W}_{p,q,k,l}(i\omega_n)$$

$$\tilde{P}_{i,j,k,l}(i\omega_n) = 2 \int d\tau \tilde{G}_{i,l}(\tau)\tilde{G}_{j,k}(-\tau)e^{i\omega\tau}$$

LQSGW+DMFT self-consistent equation

$$G^{-1}(\mathbf{k}, i\omega_n) = i\omega_n - \left(H^{non-local}(\mathbf{k}) + \mathbb{E}(\tilde{\Sigma}^{imp}(i\omega_n)) \right)$$

$$H^{non-local}(\mathbf{k}) = Z_{DC}^{-1/2} H^{LQSGW}(\mathbf{k}) Z_{DC}^{-1/2} - \mathbb{E}(\tilde{\Sigma}^{DC}(\omega = 0))$$

$$\tilde{Z}_{DC}^{-1} = 1 - \left. \frac{\partial \tilde{\Sigma}_{DC}(i\omega_n)}{\partial (i\omega_n)} \right|_{i\omega_n=0}$$

$$Z_{DC}^{-1} = \mathbb{E}(\tilde{Z}_{DC}^{-1}) + \left(1 - \mathbb{E}(\tilde{1}) \right)$$

$$\begin{array}{c} \uparrow \\ S_{eff}(\tilde{\mathcal{G}}, \tilde{\mathcal{U}}) \rightarrow \tilde{\Sigma}_{imp} \end{array}$$

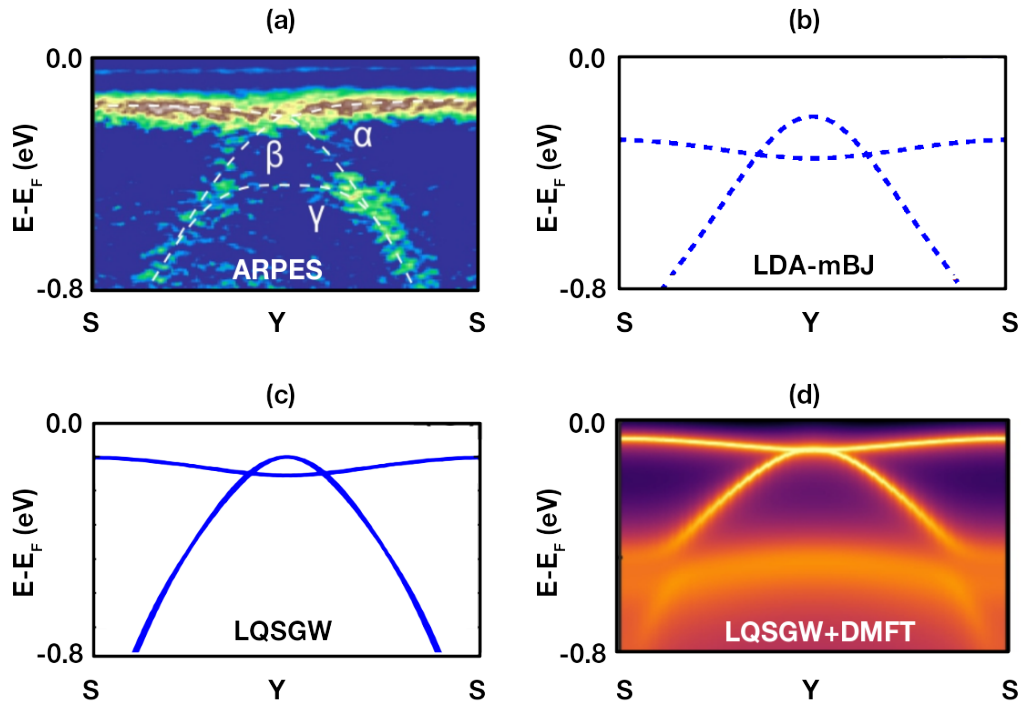


$$\tilde{G}_{loc} = \mathbb{P}(G)$$



$$\tilde{\mathcal{G}}^{-1} = \tilde{G}_{loc}^{-1} + \tilde{\Sigma}_{imp}$$

Validation: FeSb₂ bandstructure

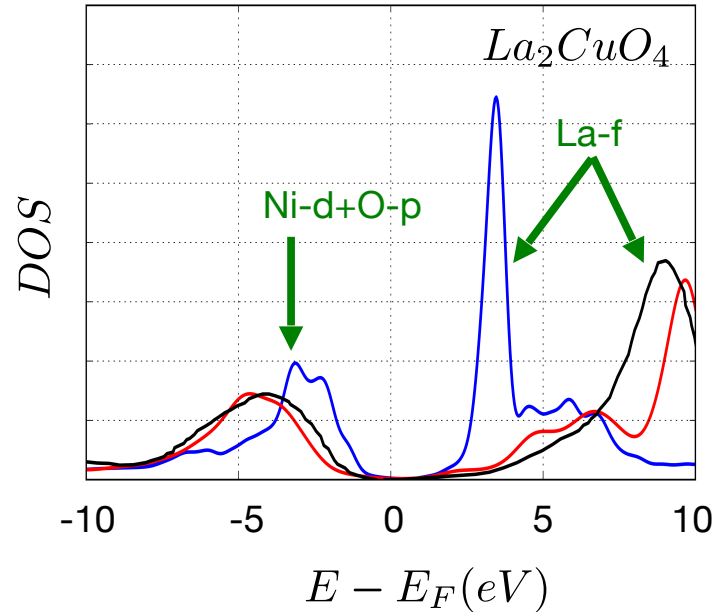


- FeSb₂: narrow-gap correlated semiconductor
- Colossal thermopower up to 45mV/K at 10K and a record-high thermoelectric power factor of 2300 μ W/K²cm

[1] A. Chikina, ... S.Choi, et al., Phys. Rev. Research 2, 023190 (2020).

Validation: La₂CuO₄

— *LDA + DMFT* — *LQSGW + DMFT* — *EXP*



[1] N. Nucker, et al., Z. Physik B – Condensed Matter 67, 9 (1987).

[2] R. Zimmermann, et al., J. Phys.: Condens. Matter 11, 1657 (1999).

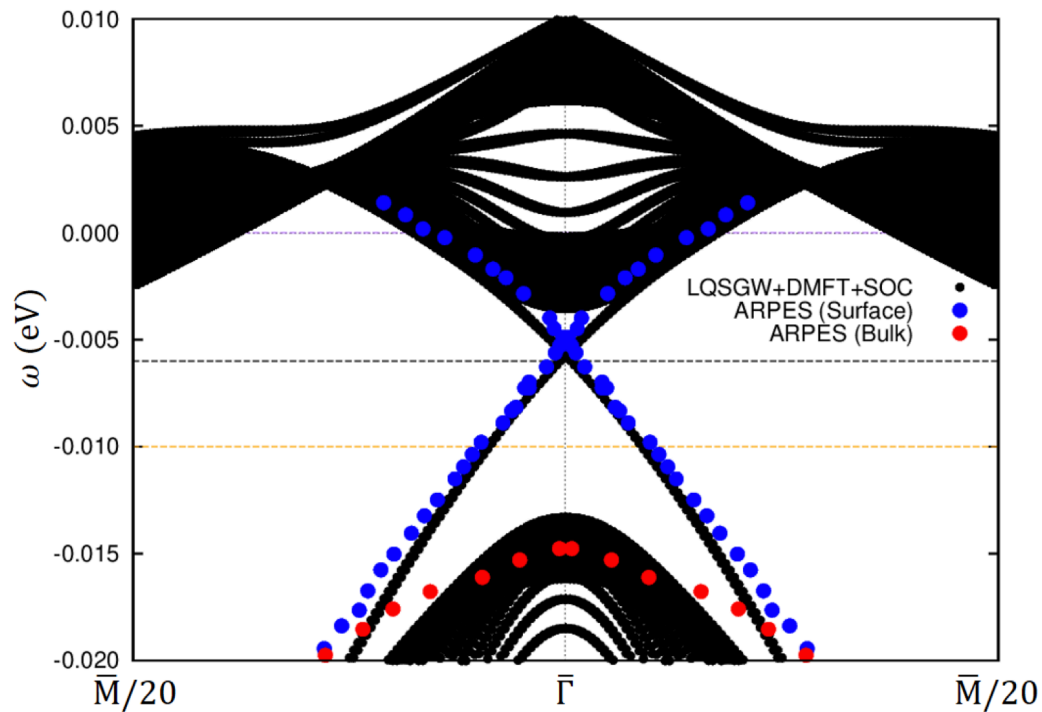
[3] S. Choi, et al., npj Quantum Materials 1, 16001 (2016).

Validation: Fe-based superconductors

KIAS

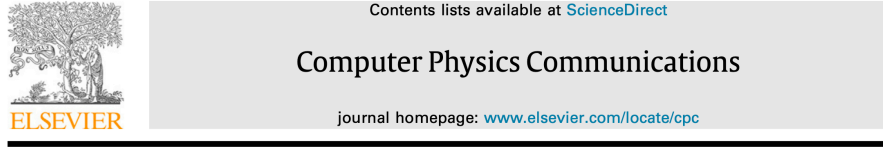


Minjae Kim



[1] M. Kim, S. Choi, W. H. Brito, and G. Kotliar, Phys. Rev. Lett. 132, 136504 (2024).

We released open-source ab initio package for correlated quantum materials

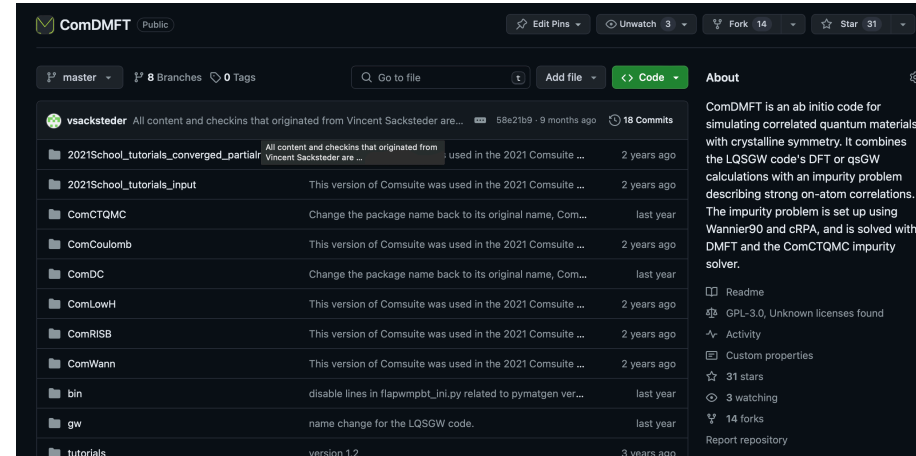


ComDMFT: A massively parallel computer package for the electronic structure of correlated-electron systems[☆]

Sangkook Choi^{a,*}, Patrick Semon^a, Byungkyun Kang^a, Andrey Kutepov^a, Gabriel Kotliar^{a,b}

^a Condensed Matter Physics and Materials Science Department, Brookhaven National Laboratory, Upton, NY 11973, USA

^b Department of Physics and Astronomy, Rutgers University, NJ 08854, USA



- Various flavors (DFT+DMFT and LQSGW+DMFT, tradeoff between speed and accuracy)
- GPU-accelerated
- For the GW/LDA part of the GW+DMFT/LDA+DMFT scheme, the code FlapwMBPT was used.

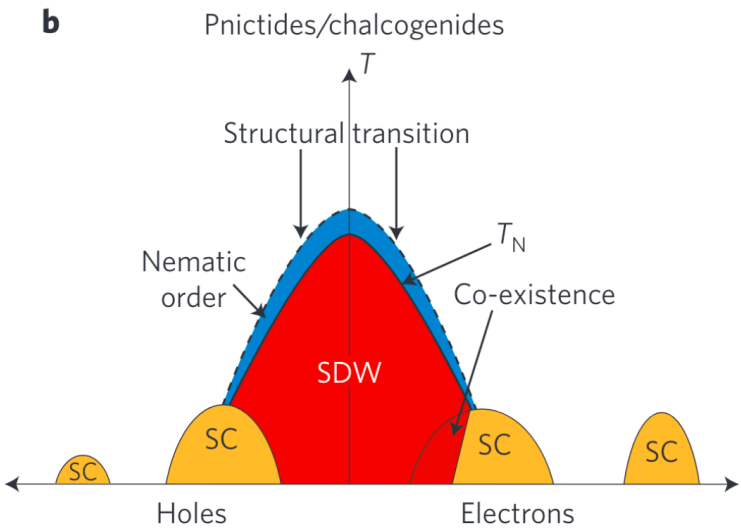
[1] S. Choi+, P. Semon, B. Kang, A. Kutepov, and G. Kotliar, CPC. 244, 277 (2019) [2] A. L. Kutepov, V. S. Oudovenko, and G. Kotliar, CPC 219, 407 (2017). [3] A. Kutepov, S. Y. Savrasov, and G. Kotliar, PRB 80, 041103 (2009). [4] A. Kutepov, K. Haule, S. Y. Savrasov, and G. Kotliar, PRB 85, 155129 (2012).[4] B. Kang, P. Semon, C. Melnick, G. Kotliar, and S. Choi, arXiv:2310.04613.

5. Full GW+EDMFT

Demonstration using model Hamiltonian: Charge Order and Hund Metal Physics



Charged ordered phase could be a common neighbor of a SC phase



Extended Hund-Hubbard model (3 orbital and 2 electron)

Hund metal physics

$$\mathcal{H} = -t \sum_{\langle ij \rangle, \gamma, \sigma} \left(c_{i\gamma\sigma}^\dagger c_{j\gamma\sigma} + \text{H.c.} \right) - \mu \sum_{i, \gamma, \sigma} n_{i\gamma\sigma} + H_{\text{loc}} + H_{\text{nonloc}}$$

Charge order physics

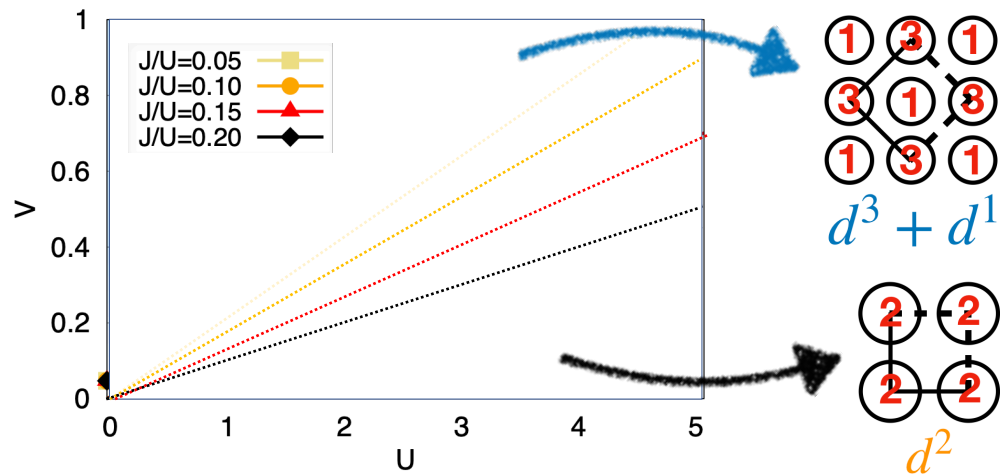
$$H_{\text{loc}} = U \sum_{i, \gamma, \sigma} n_{i\gamma\uparrow} n_{i\gamma\downarrow} + (U - 2J) \sum_{i, \gamma, \gamma'}^{y \neq y'} n_{i\gamma\uparrow} n_{i\gamma'\downarrow} + (U - 3J) \sum_{i, \gamma, \gamma', \sigma}^{y < y'} n_{i\gamma\sigma} n_{i\gamma'\sigma}$$

$$- J \sum_{i, \gamma, \gamma'}^{y \neq y'} \left(c_{i\gamma\uparrow}^\dagger c_{i\gamma\downarrow} c_{i\gamma'\downarrow}^\dagger c_{i\gamma'\uparrow} + c_{i\gamma\uparrow}^\dagger c_{i\gamma\downarrow}^\dagger c_{i\gamma'\uparrow} c_{i\gamma'\downarrow} \right).$$

$$H_{\text{nonloc}} = \sum_{\langle ij \rangle, \gamma, \gamma', \sigma, \sigma'} V n_{i\gamma\sigma} n_{j\gamma'\sigma'}$$

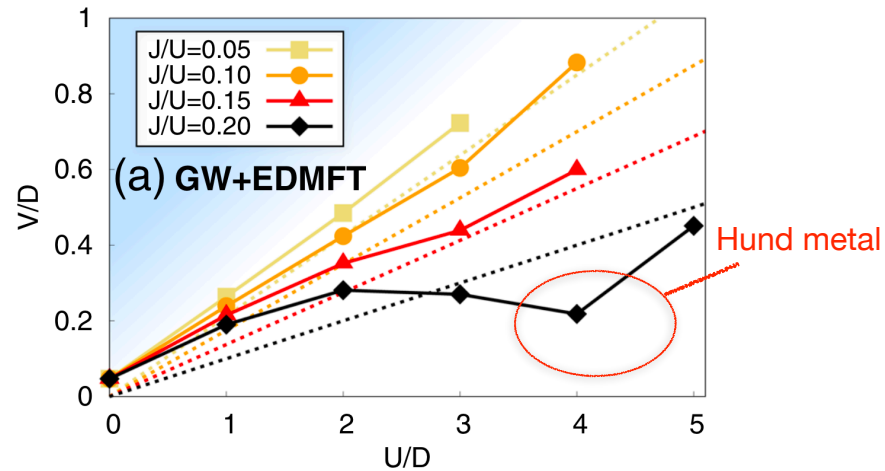
[1] S. Ryee, P. Sémon, M. J. Han, and **S. Choi**, Npj Quantum Mater. **5**, 1 (2020).

Phase boundary of H_{int}



Valence-skipping charge order
- negative effective U
- Implication for unconventional superconductor pairing

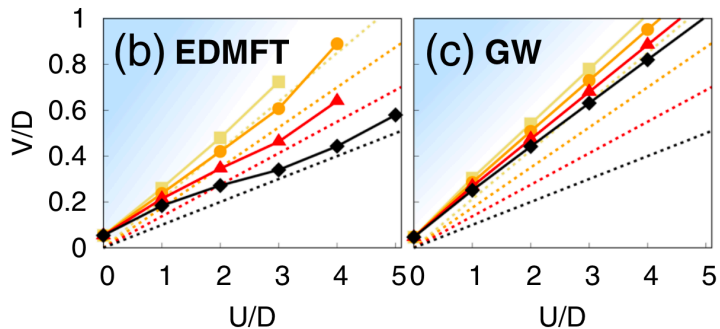
Phase boundary of full Hamiltonian within GW+EDMFT



Enhancement of the charge-order instability due to Hund metal physics

only observable within GW+EDMFT

Importance of treating local and non-local correlation on equal footing.



Charge-order=> nonlocal correlation
Hund metal=> local correlation

Three different self-consistent loops in GW+EDMFT

$$\begin{aligned} \Sigma_H &= GV \\ \Sigma_{GW} &= -GW \\ \Pi_{GW} &= GG \end{aligned}$$

$$G = \{G_H^{-1} - \Sigma_{GW} - \hat{E}^f(\Sigma_{EDMFT} - \Sigma_{DC})\}^{-1}$$

$$W = \{V^{-1} - \Pi_{GW} - \hat{E}^b(\Pi_{EDMFT} - \Pi_{DC})\}^{-1}$$

$$\tilde{G}_{loc} = \hat{P}^f(G)$$

$$\tilde{W}_{loc} = \hat{P}^b(W)$$

$$\tilde{\Sigma}_{DC} = -\tilde{G}_{loc}\tilde{W}_{loc}$$

$$\tilde{\Pi}_{DC} = \tilde{G}_{loc}\tilde{G}_{loc}$$

$$\tilde{\mathcal{G}} = \tilde{G}_{loc}^{-1} + \tilde{\Sigma}_{EDMFT}$$

$$\tilde{\mathcal{U}} = \tilde{W}_{loc}^{-1} + \tilde{\Pi}_{EDMFT}$$

$$S_{eff}[\tilde{\mathcal{G}}, \tilde{\mathcal{U}}] \rightarrow \tilde{\Sigma}_{EDMFT}, \tilde{\Pi}_{EDMFT}$$

Fully self-consistent GW+EDMFT is here, finally!!!

ComDMFT v.2.0: Fully Self-Consistent *ab initio* GW+EDMFT for the Electronic Structure of Correlated Quantum Materials



Byungkyun Kang^{a,b}, Patrick Semon^a, Corey Melnick^a, Gabriel Kotliar^{a,c},
Sangkook Choi^{a,d,*}

^a*Condensed Matter Physics and Materials Science Department, Brookhaven National
Laboratory, Upton, NY 11973, USA*

^b*College of Arts and Sciences, University of Delaware, Newark, DE 19716, USA*

^c*Department of Physics and Astronomy, Rutgers University, NJ 08854, USA*

^d*School of Computational Sciences, Korea Institute for Advanced Study, Seoul 02455,
Republic of Korea*

[1] B. Kang, P. Semon, C. Melnick, G. Kotliar, and S. Choi, ComDMFT v.2.0: Fully Self-Consistent Ab Initio GW+EDMFT for the Electronic Structure of Correlated Quantum Materials, arXiv:2310.04613.

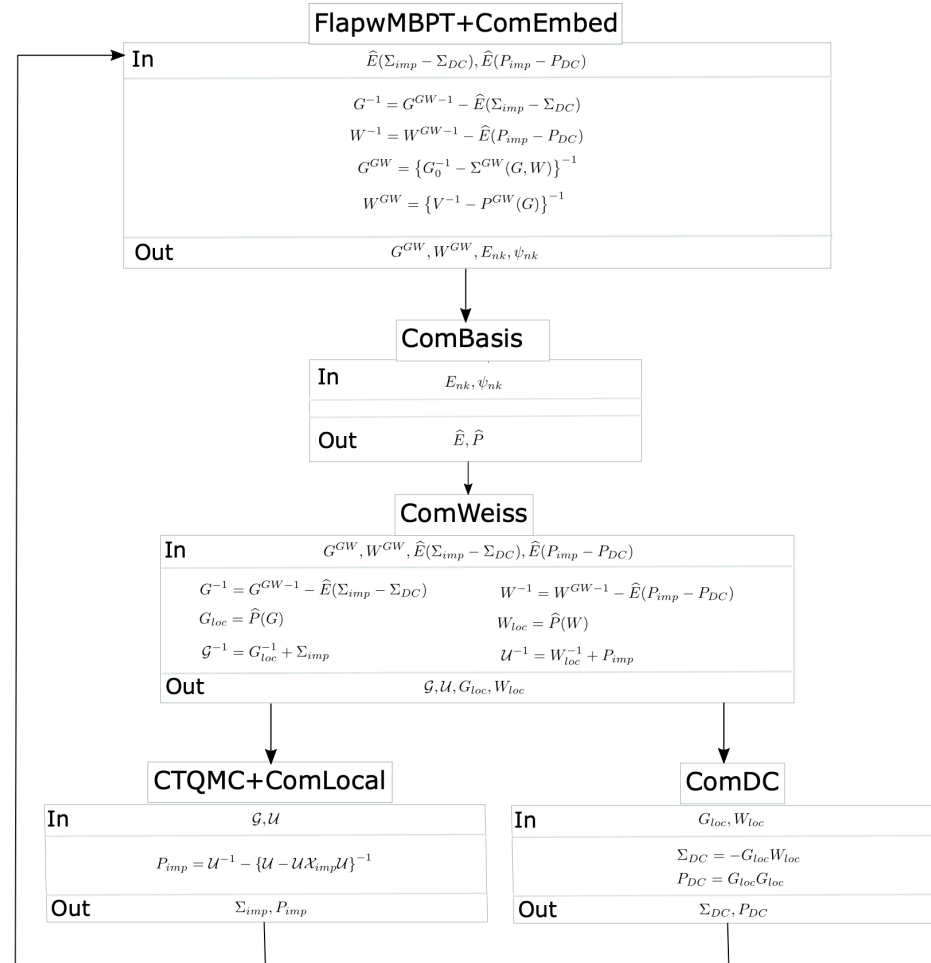
Full GW+EDMFT

- Full self-consistency
- No adjustable parameters
- G and W interpolation
- Wannier functions and their orthonormalized product basis
- Causal optimization on \widetilde{P}_{imp} and \widetilde{U}
- Built on top of FlapwMBPT, Wannier90 and ComCTQMC

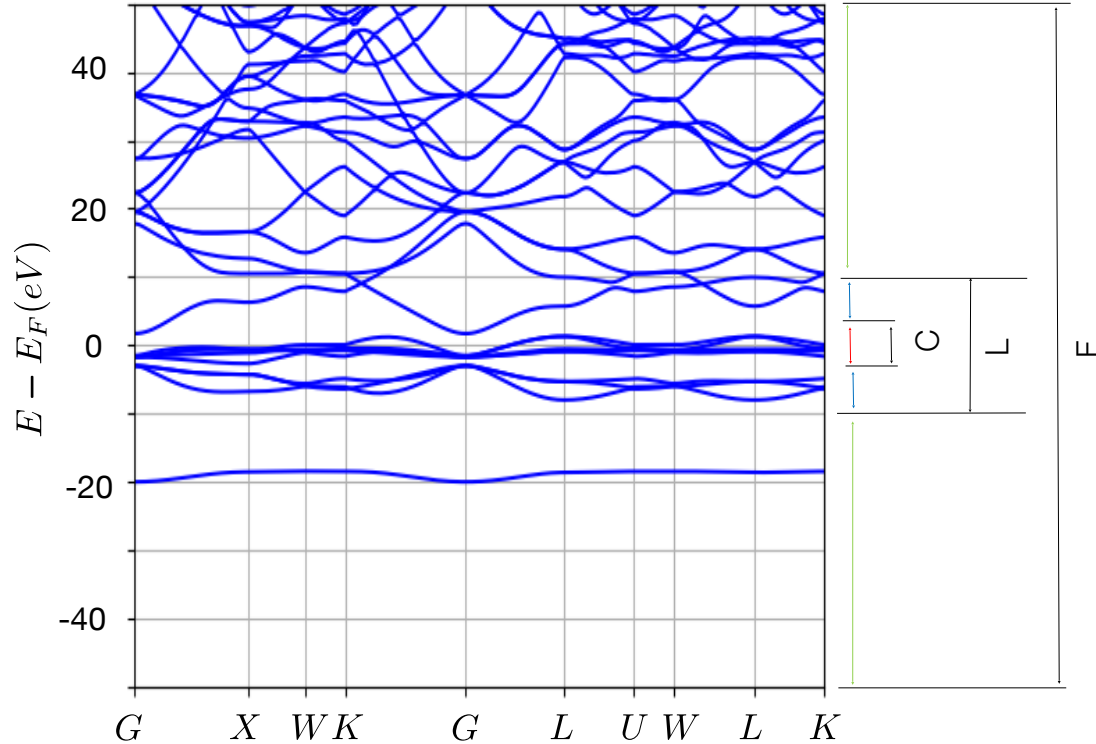
[1] A. L. Kutepov, V. S. Oudovenko, and G. Kotliar, *Comp. Phys. Comm.* **219**, 407 (2017).

[2] C. Melnick, P. Sémon, K. Yu, N. D'Imperio, A.-M. Tremblay, and G. Kotliar, *Comp. Phys. Comm.* **267**, 108075 (2021).

[3] M. Han and H. J. Choi, *Phys. Rev. B* **104**, 115112 (2021).



Hilbert space and its subspaces for Full GW+EDMFT



- F: full space
 - $F = \text{Tier-I} \oplus \text{Tier-II} \oplus \text{Tier-III}$
 - notation: $\bar{A}(r, r')$
- L: Low-energy subspace defined by Wannier function spanning an energy window ($E_F \pm 10eV$)
 - $L = \text{Tier-I} \oplus \text{Tier-II}$
 - notation: $\bar{A}_{ij}, i, j \Rightarrow$ Wannier functions
- C: correlated subspace
 - $C = \text{Tier-I}$
 - notation: $\tilde{A}_{ij}, i, j \Rightarrow$ Wannier functions

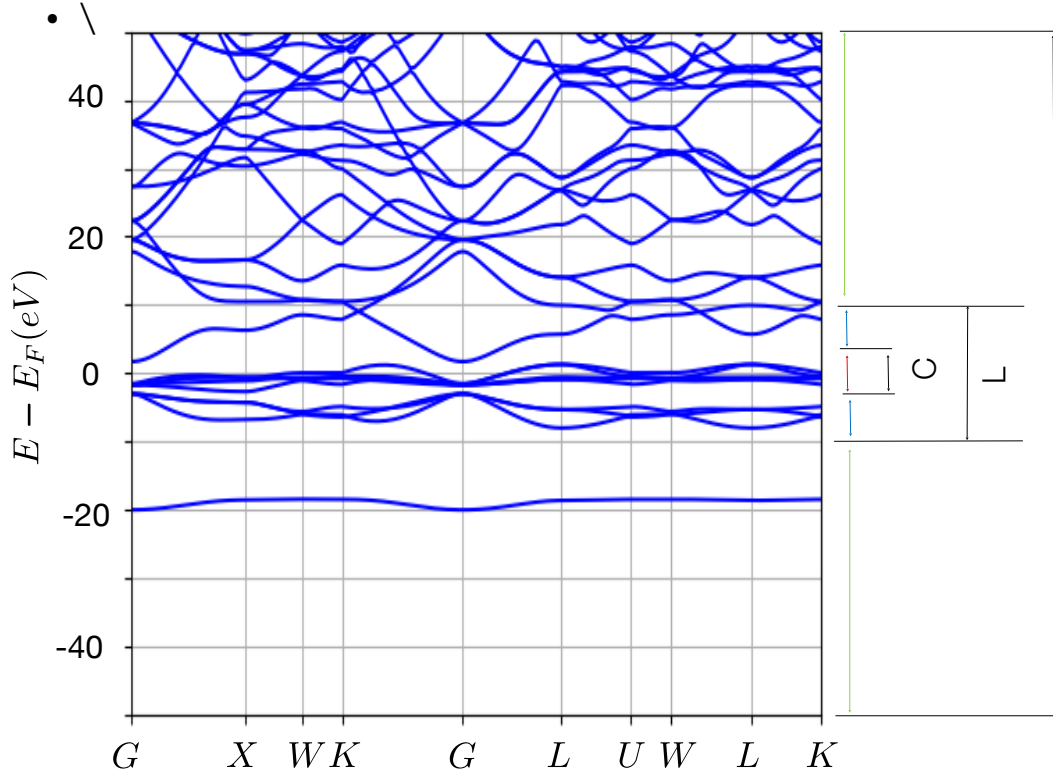
Tier-I: GW+EDMFT

Tier-II: GW

Tier-III: GW

Frequency interpolation

- To study materials properties at temperature $< 1000\text{K}$, we use two different simulation temperatures



- Calculation in Tier-I and Tier-II will be done at temperature $< 1000\text{K}$

- Calculation in Tier-III will be done at temperature $\sim 1000\text{K}$

G_{GW} and W_{GW} in coarse k-grid

$$G(\mathbf{k}^c, i\omega_n^c) = (G_0^{-1}(\mathbf{k}^c, i\omega_n^c) - \Sigma(\mathbf{k}^c, i\omega_n^c))^{-1}$$

$$G_{GW}(\mathbf{k}^c, i\omega_n^c) = (G_0^{-1}(\mathbf{k}^c, i\omega_n^c) - \Sigma_{GW}(\mathbf{k}^c, i\omega_n^c))^{-1}$$

$$P(\mathbf{k}^c, i\nu_n^c) = P_{GW}(\mathbf{k}^c, i\nu_n^c) + \mathbb{E} \left(\tilde{P}_{imp}(i\nu_n^c) - \tilde{P}_{DC}(i\nu_n^c) \right)$$

$$P_{GW}(\mathbf{k}^c, i\nu_n^c) = - \sum_{\mathbf{R}^c} \int_0^{\beta^c} d\tau G(\mathbf{R}^c, \tau) \circ G(-\mathbf{R}^c, -\tau) e^{-i(\mathbf{k}^c \cdot \mathbf{R}^c - \nu_n^c \tau)}$$

$$\Sigma_{GW}(\mathbf{k}^c, i\omega_n^c) = - \sum_{\mathbf{R}^c} \int_0^{\beta^c} d\tau G(\mathbf{R}^c, \tau) \circ W(-\mathbf{R}^c, -\tau) e^{-i(\mathbf{k}^c \cdot \mathbf{R}^c - \omega_n^c \tau)}$$

$$\Sigma(\mathbf{k}^c, i\omega_n^c) = \Sigma_{GW}(\mathbf{k}^c, i\omega_n^c) + \mathbb{E} \left(\tilde{\Sigma}_{imp}(i\omega_n^c) - \tilde{\Sigma}_{DC}(i\omega_n^c) \right)$$

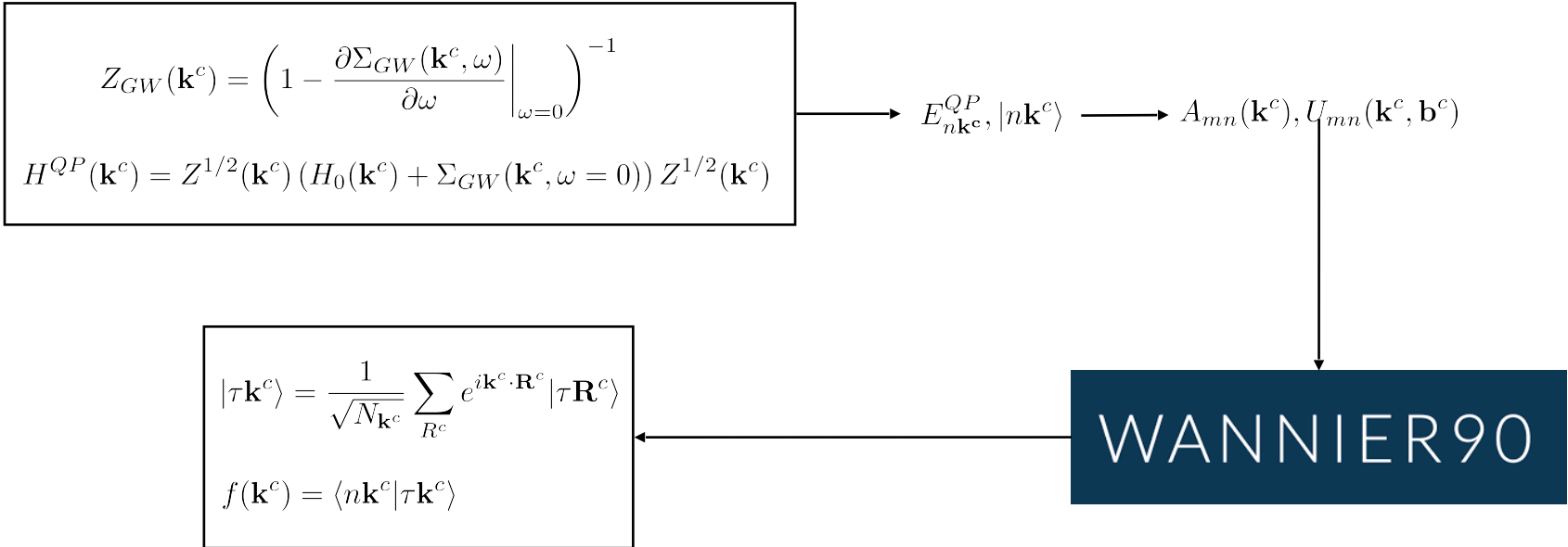
$$W_{GW}(\mathbf{k}^c, i\omega_n^c) = (V^{-1}(\mathbf{k}^c) - P_{GW}(\mathbf{k}^c, i\omega_n^c))^{-1}$$

$$W(\mathbf{k}^c, i\omega_n^c) = (V^{-1}(\mathbf{k}^c) - P(\mathbf{k}^c, i\omega_n^c))^{-1}$$

- Modification on FlapwMBPT (Andrey Kutepov)
- LAPW basis set
- space-time methods (to avoid convolution)
- calculation in a coarse Matsubara frequency grid (typical simulation temperature $\sim 1000\text{K}$)
- calculation in a coarse momentum space grid (e.g. NiO: $6 \times 6 \times 6$)

Fermionic projection operator

- The formulation within Wannier90 package is based on one-particle picture
- We construct quasiparticle Hamiltonian by linearizing GW self-energy



Bosonic projection operator

- With products of Wannier functions for correlated orbitals

$$D_{\tau,\tau'}(\mathbf{r}) = W_{R^c=0,\tau}(\mathbf{r})W_{R^c=0,\tau'}^*(\mathbf{r}) \quad W_{R^c,\tau}(\mathbf{r}) = \langle \mathbf{r} | \tau \mathbf{R}^c \rangle$$

- Orthonormalized product basis can be represented as a linear combination of the product C

$$\langle \mathbf{r} | B_I \rangle = \sum_{\tau,\tau'} X_{\tau,\tau';I} D_{\tau,\tau'}(\mathbf{r})$$

- The coefficient X can be calculated by diagonalizing the overlap matrix of C

$$O_{\tau_1,\tau_2;\tau_3,\tau_4} = \langle D_{\tau_1,\tau_2} | D_{\tau_3,\tau_4} \rangle$$

$$\sum_{\tau_3,\tau_4} O_{\tau_1,\tau_2;\tau_3,\tau_4} V_{\tau_3,\tau_4;I} = F_I V_{\tau_1,\tau_2;I}$$

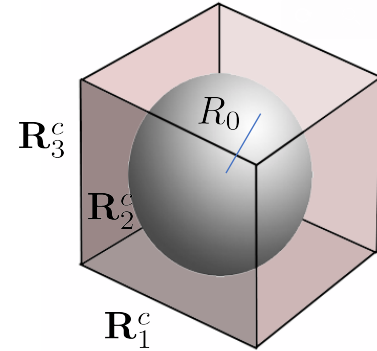
$$X_{\tau_1,\tau_2;I} = \frac{1}{\sqrt{F_I}} V_{\tau_1,\tau_2;I}$$

GW Green's functions-II: fine k-grid

- To improve the momentum space resolution, we interpolate G_{GW} and W_{GW} obtained from FlapwMBPT
- With localized basis set, hopping energy and screened Coulomb interaction are essentially 0 beyond a few neighbors.

$$G_{\tau,\tau'}^{-1}(\mathbf{R}^c, i\omega_n^c) = \frac{1}{N_{\mathbf{k}}} \sum_{\mathbf{k}^c} G_{\tau,\tau'}^{-1}(\mathbf{k}^c, i\omega_n^c) e^{-i\mathbf{k}^c \cdot \mathbf{R}^c}$$

$$\begin{aligned} & G_{\tau,\tau'}^{-1}(\mathbf{k}^f, i\omega_n^c) \\ &= \sum_{\mathbf{R}^f} G_{\tau,\tau'}^{-1}(\mathbf{R}^f, i\omega_n^c) e^{i\mathbf{k}^f \cdot \mathbf{R}^f} \\ &= \sum_{|\mathbf{R}^f| \leq R_0} G_{\tau,\tau'}^{-1}(\mathbf{R}^f, i\omega_n^c) e^{i\mathbf{k}^f \cdot \mathbf{R}^f} + \sum_{|\mathbf{R}^f| > R_0} G_{\tau,\tau'}^{-1}(\mathbf{R}^f, i\omega_n^c) e^{i\mathbf{k}^f \cdot \mathbf{R}^f} \\ &= \sum_{|\mathbf{R}^c| \leq R_0} G_{\tau,\tau'}^{-1}(\mathbf{R}^c, i\omega_n^c) e^{i\mathbf{k}^f \cdot \mathbf{R}^c} \end{aligned}$$



Quantum Embedding

For Green's function

$$\bar{G}_{GW}^{-1}(\mathbf{k}^c, i\omega_n^c) = \bar{f}^\dagger(\mathbf{k}^c) G_{GW}^{-1}(\mathbf{k}^c, i\omega_n^c) \bar{f}(\mathbf{k}^c)$$

$$\bar{G}_{GW}^{-1}(\mathbf{k}^c, i\omega_n^c) \rightarrow \bar{G}_{GW}^{-1}(\mathbf{k}^f, i\omega_n^f)$$

$$\bar{G}(\mathbf{k}^f, i\omega_n^f) = \left(\bar{G}_{GW}^{-1}(\mathbf{k}^f, i\omega_n^f) + \tilde{f}(\mathbf{k}^c) \left(\tilde{\Sigma}_{imp}(i\omega_n^f) - \tilde{\Sigma}_{DC}(i\omega_n^f) \right) \tilde{f}^\dagger(\mathbf{k}^c) \right)^{-1}$$

$$\tilde{G}_{loc}(i\omega_n^f) = \frac{1}{N_{\mathbf{k}^f}} \sum_{\mathbf{k}^f} \tilde{f}^\dagger(\mathbf{k}^f) \bar{G}(\mathbf{k}^f, i\omega_n^f) \tilde{f}(\mathbf{k}^f)$$

For screened
Coulomb interaction

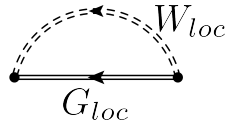
$$\bar{W}_{GW}(\mathbf{k}^c, i\nu_n^c) = \bar{b}^\dagger(\mathbf{k}^c) W_{GW}(\mathbf{k}^c, i\nu_n^c) \bar{b}(\mathbf{k}^c)$$

$$\bar{W}_{GW}(\mathbf{k}^c, i\nu_n^c) \rightarrow \bar{W}_{GW}(\mathbf{k}^f, i\nu_n^f)$$

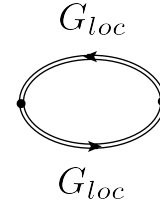
$$\bar{W}(\mathbf{k}^f, i\nu_n^f) = \left(\bar{W}_{GW}^{-1}(\mathbf{k}^f, i\nu_n^f) + \tilde{b}(\mathbf{k}^c) \left(\tilde{P}_{imp}(i\nu_n^f) - \tilde{P}_{DC}(i\nu_n^f) \right) \tilde{b}^\dagger(\mathbf{k}^c) \right)^{-1}$$

$$\tilde{W}_{loc}(i\nu_n^f) = \frac{1}{N_{\mathbf{k}^f}} \sum_{\mathbf{k}^f} \tilde{b}^\dagger(\mathbf{k}^f) \bar{W}(\mathbf{k}^f, i\nu_n^f) \tilde{b}(\mathbf{k}^f)$$

Double Counting



$$\tilde{\Sigma}_{DC}(i\omega_n^f) = - \int_0^{\beta^f} d\tau \tilde{G}_{loc}(\tau) \circ \tilde{W}_{loc}(-\tau) e^{i\omega_n^f \tau}$$



$$\tilde{P}_{DC}(i\omega_n^f) = - \int_0^{\beta^f} d\tau \tilde{G}_{loc}(\tau) \circ \tilde{G}_{loc}(-\tau) e^{i\omega_n^f \tau}$$

DMFT effective action

$$\tilde{\mathcal{G}}(i\omega_n^f) = \left(\tilde{G}_{loc}^{-1}(i\omega_n^f) + \tilde{\Sigma}_{imp}(i\omega_n^f) \right)^{-1}$$

$$\tilde{\mathcal{U}}(i\nu_n^f) = \left(\tilde{W}_{loc}^{-1}(i\nu_n^f) + \tilde{P}_{imp}(i\nu_n^f) \right)^{-1}$$

ComCTQMC

$$S_{eff} \rightarrow \tilde{\Sigma}_{imp}(i\omega_n^f), \tilde{\chi}_{imp}(i\nu_n^f)$$

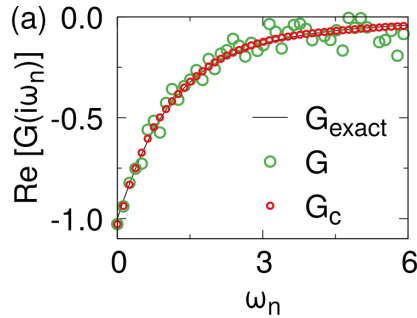
$$\tilde{W}_{imp}(i\nu_n^f) = \tilde{\mathcal{U}}(i\nu_n^f) - \tilde{\mathcal{U}}(i\nu_n^f) \tilde{\chi}_{imp}(i\nu_n^f) \tilde{\mathcal{U}}(i\nu_n^f)$$

$$\tilde{P}_{imp}(i\nu_n^f) = \tilde{\mathcal{U}}^{-1}(i\nu_n^f) - \tilde{W}_{imp}^{-1}(i\nu_n^f)$$

- The lead developer: Corey Melnick
- The first developer: Patrick Semon
- continuous-time Monte Carlo solver (hybridization-expansion)
- GPU-accelerated

Causal Optimization of Bosonic Quantities

Statistical noise



Local self-energy assumption breakdown

$$\mathcal{U}^{-1}(i\nu_n) = [\langle W \rangle^{-1} + \langle \Pi \rangle] + \tilde{\mathcal{U}}_{\text{cor}}^{-1},$$

Causal Bosonic functions

$$G(i\omega_n) = \int \frac{B(x)x}{i\omega_n - x} dx, \quad B(x) \geq 0, \quad B(x) = B(-x)$$

$$G(\tau) = - \int B(x) x n_B(x) e^{(\beta-\tau)x} dx, \quad B(x) \geq 0,$$

$$G^{(2k)}(\tau) \leq 0 \text{ for } k = 0, 1, 2, \dots \quad G(\tau) = G(\beta - \tau)$$

For a given non-causal $G(i\omega_n)$, search causal $G_c(i\omega_n)$ which minimize the distance defined as

$$d = \frac{1}{\beta} \int_0^\beta [G(\tau) - G_c(\tau)]^2 d\tau$$

Feedback to GW Green's functions

$$\begin{aligned} \tilde{\Sigma}_{imp}(i\omega_n^f) &\rightarrow \tilde{\Sigma}_{imp}(i\omega_n^c) & \tilde{\Sigma}_{DC}(i\omega_n^f) &\rightarrow \tilde{\Sigma}_{DC}(i\omega_n^c) \\ \tilde{P}_{imp}(i\omega_n^f) &\rightarrow \tilde{P}_{imp}(i\omega_n^c) & \tilde{P}_{DC}(i\omega_n^f) &\rightarrow \tilde{P}_{DC}(i\omega_n^c) \end{aligned}$$

$$G(\mathbf{k}^c, i\omega_n^c) = (G_0^{-1}(\mathbf{k}^c, i\omega_n^c) - \Sigma(\mathbf{k}^c, i\omega_n^c))^{-1}$$

$$G_{GW}(\mathbf{k}^c, i\omega_n^c) = (G_0^{-1}(\mathbf{k}^c, i\omega_n^c) - \Sigma_{GW}(\mathbf{k}^c, i\omega_n^c))^{-1}$$

$$\Sigma_{GW}(\mathbf{k}^c, i\omega_n^c) = - \sum_{\mathbf{R}^c} \int_0^{\beta^c} d\tau G(\mathbf{R}^c, \tau) \circ W(-\mathbf{R}^c, -\tau) e^{-i(\mathbf{k}^c \cdot \mathbf{R}^c - \omega_n^c \tau)}$$

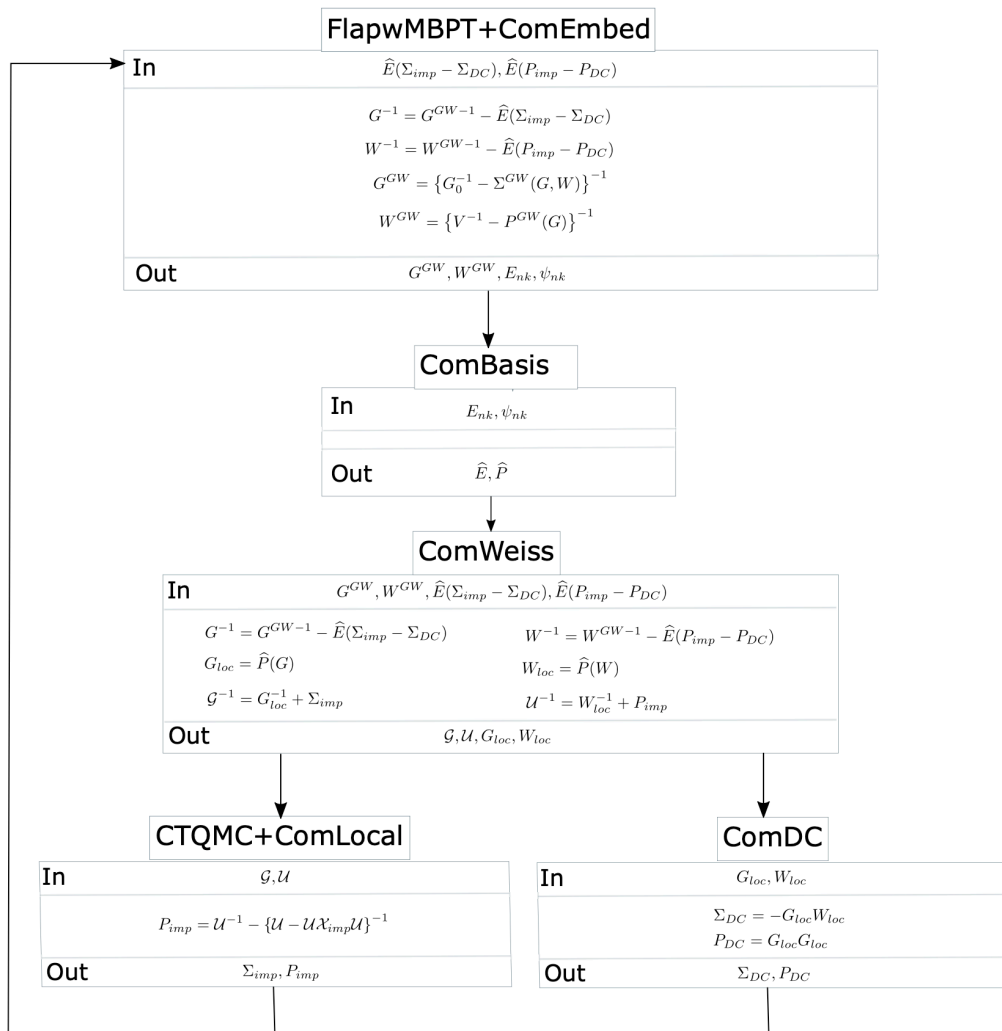
$$\Sigma(\mathbf{k}^c, i\omega_n^c) = \Sigma_{GW}(\mathbf{k}^c, i\omega_n^c) + \mathbb{E} \left(\tilde{\Sigma}_{imp}(i\omega_n^c) - \tilde{\Sigma}_{DC}(i\omega_n^c) \right)$$

$$P(\mathbf{k}^c, i\nu_n^c) = P_{GW}(\mathbf{k}^c, i\nu_n^c) + \mathbb{E} \left(\tilde{P}_{imp}(i\nu_n^c) - \tilde{P}_{DC}(i\nu_n^c) \right)$$

$$P_{GW}(\mathbf{k}^c, i\nu_n^c) = - \sum_{\mathbf{R}^c} \int_0^{\beta^c} d\tau G(\mathbf{R}^c, \tau) \circ G(-\mathbf{R}^c, -\tau) e^{-i(\mathbf{k}^c \cdot \mathbf{R}^c - \nu_n^c \tau)}$$

$$W_{GW}(\mathbf{k}^c, i\omega_n^c) = (V^{-1}(\mathbf{k}^c) - P_{GW}(\mathbf{k}^c, i\omega_n^c))^{-1}$$

$$W(\mathbf{k}^c, i\omega_n^c) = (V^{-1}(\mathbf{k}^c) - P(\mathbf{k}^c, i\omega_n^c))^{-1}$$



Validation-SrVO3

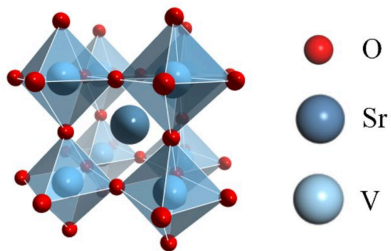
T: 1000K

Correlated space: V-3d

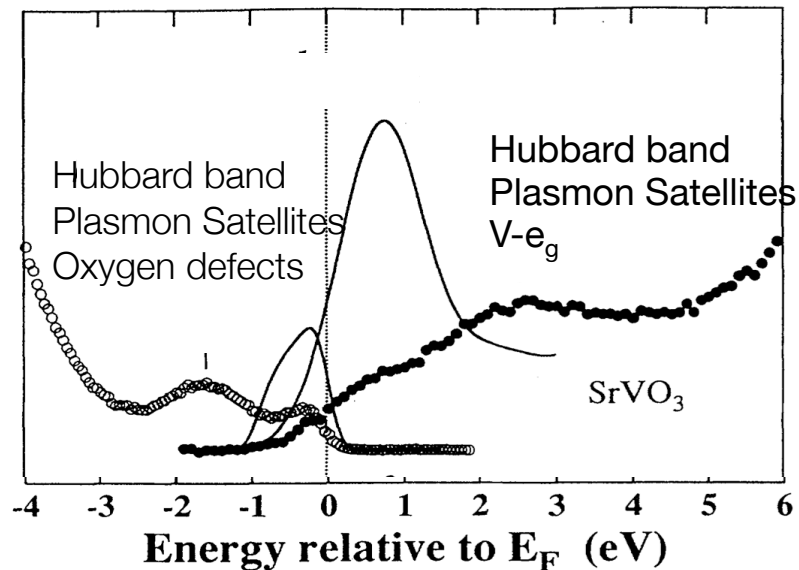
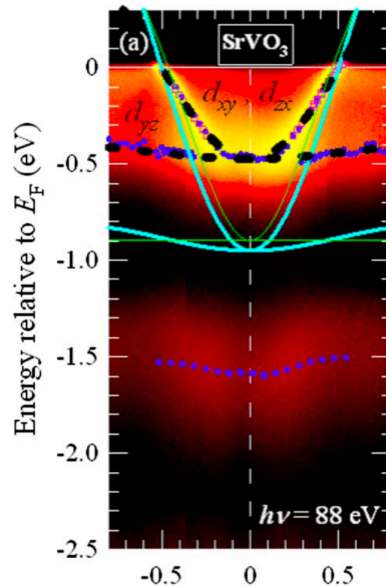
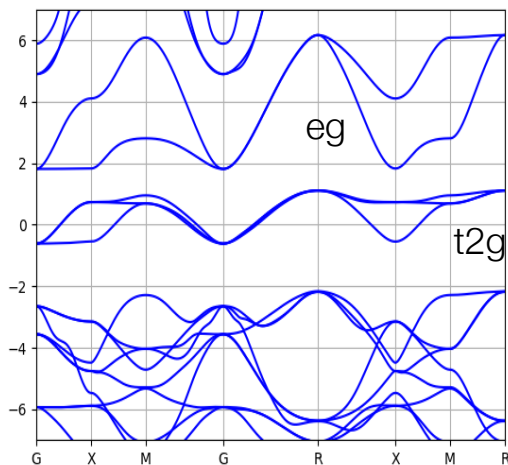
Low-energy space: $E_F \pm 10$ eV (20 orbitals)

K-grid: $10 \times 10 \times 10$

SrVO₃, a classical test material for electron correlation

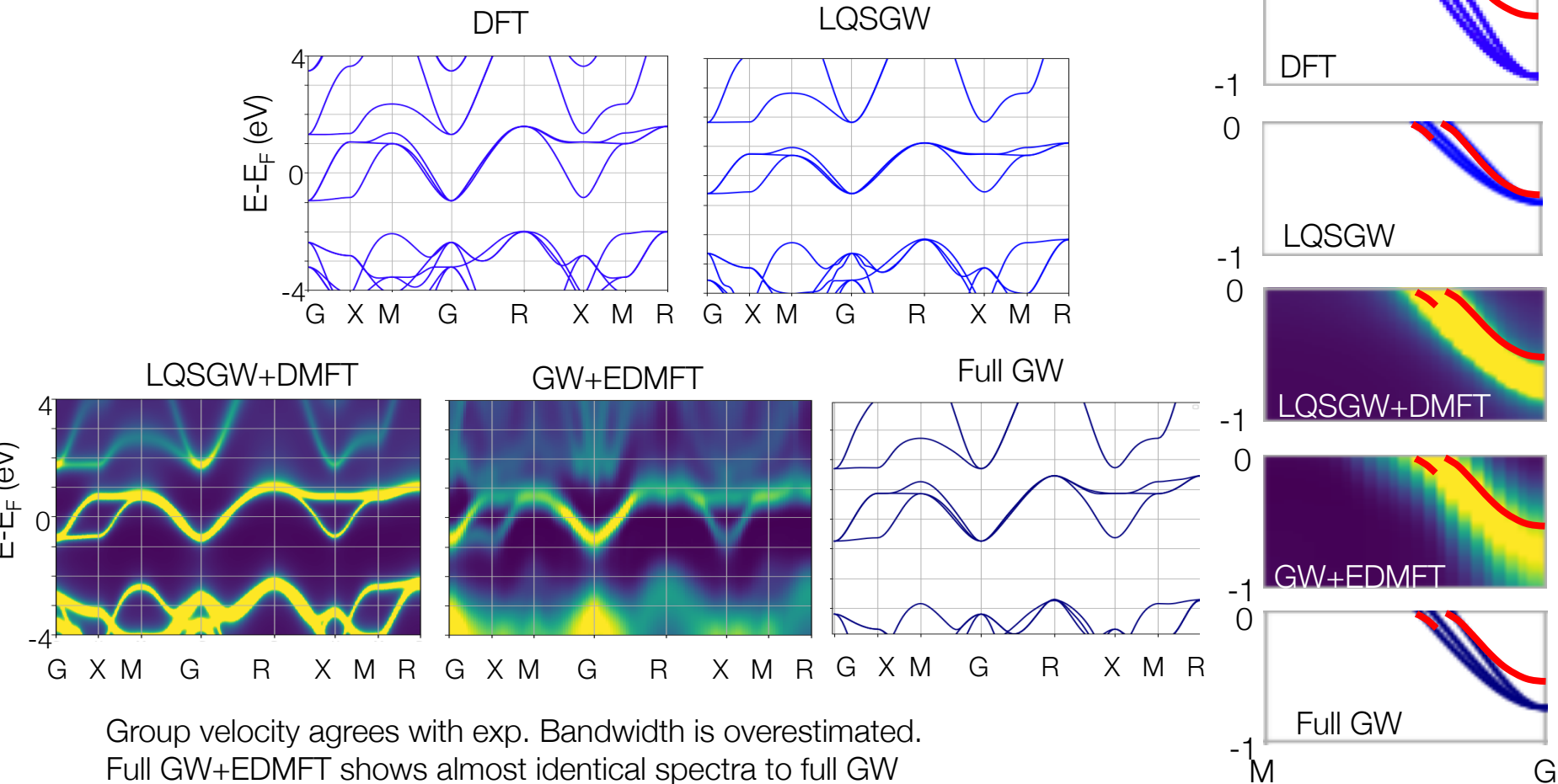


$$\frac{\gamma}{\gamma^{LDA}} = 2$$



- [1] Europhys. Lett. 100, 67001 (2012). [2] Phys. Rev. B 90, 165138 (2014). [3] Phys. Rev. B 94, 201106(R) (2016). [4] Phys. Rev. Mater. 1, 043803 (2017). [5] Phys. Rev. B 52, 13711 (1995). [6] Phys. Rev. Lett. 93, 156402 (2004). [7] Phys. Rev. B 82, 085119 (2010). [8] Phys. Rev. Lett. 92, 176403 (2004). [9] Phys. Rev. B 73, 155112 (2006). [10] Phys. Rev. B 74, 125120 (2006). [11] Phys. Rev. B 94, 241110 (2016). [12] Phys. Rev. B 88, 235110 (2013). [13] Phys. Rev. B 87, 155147 (2013). [14] Phys. Rev. Research 2, 013191 (2020).

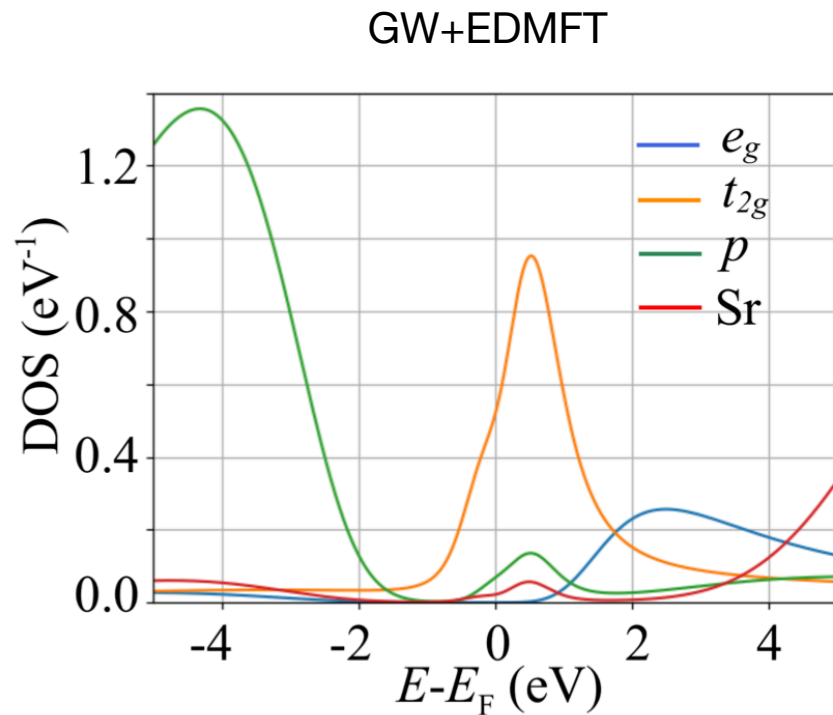
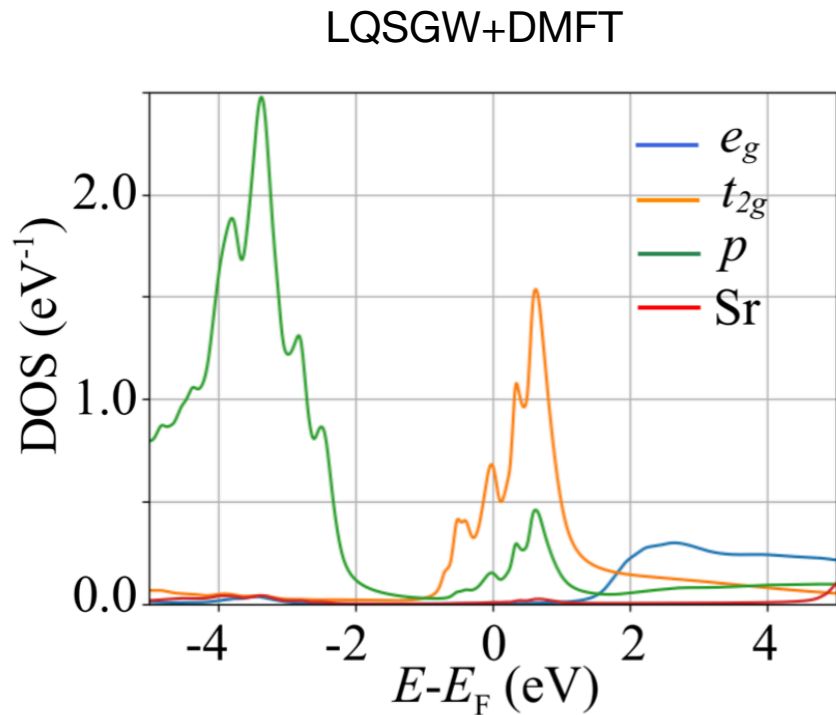
Spectral Function



Group velocity agrees with exp. Bandwidth is overestimated.

Full GW+EDMFT shows almost identical spectra to full GW

PDOS

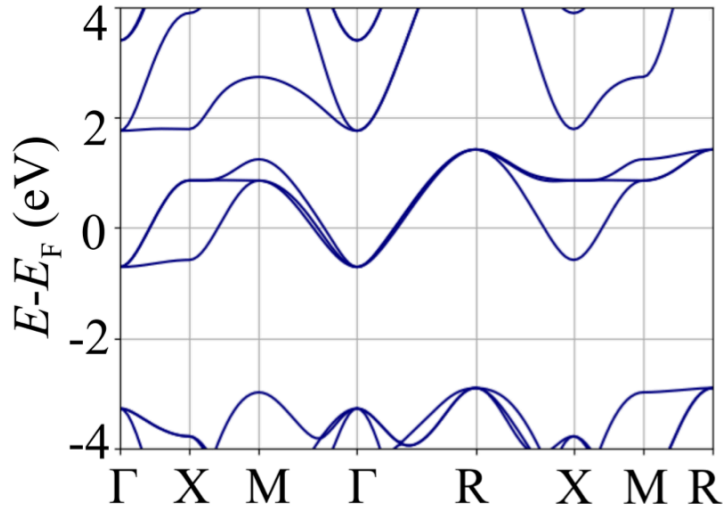


LQSGW+DMFT and GW+EDMFT show quantitatively similar results

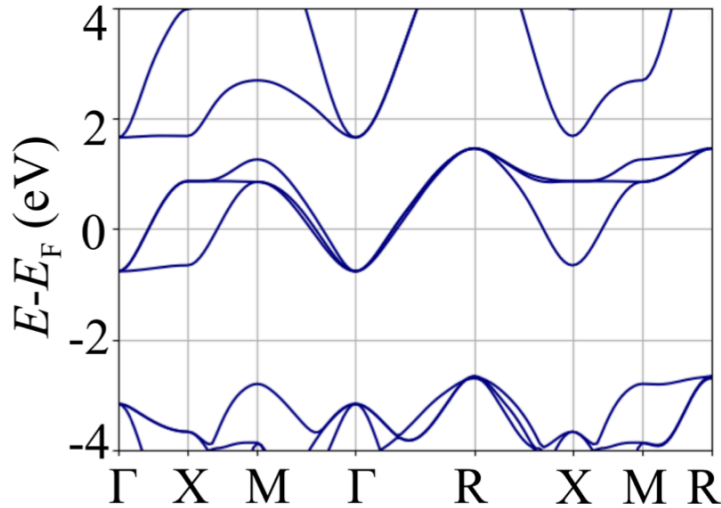
Within GW+EDMFT, no sign of low-energy t_{2g} subpeak and no sign of high-energy t_{2g} subpeak

Effect of GW self-consistency

GW band at 1st iteration



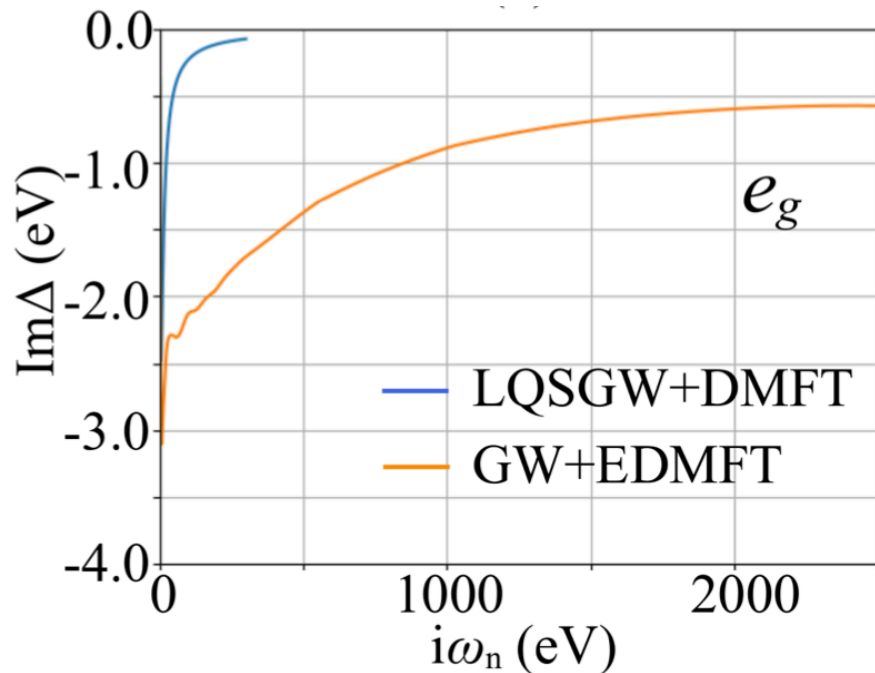
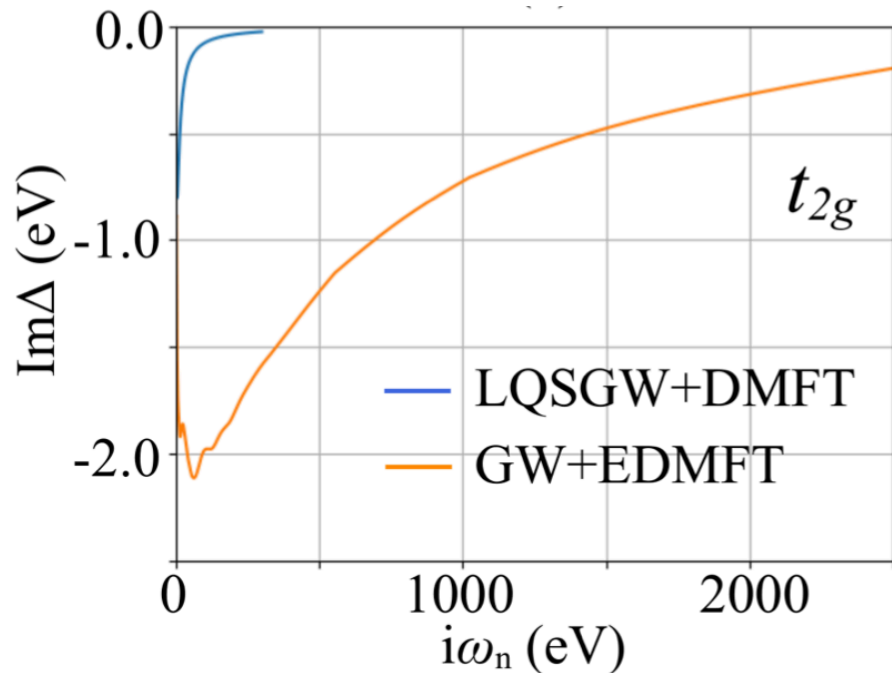
GW band at the last iteration



$$H^{QP}(\mathbf{k}^c) = Z^{1/2}(\mathbf{k}^c) (H_0(\mathbf{k}^c) + \Sigma_{GW}(\mathbf{k}^c, \omega = 0)) Z^{1/2}(\mathbf{k}^c)$$

G^{GW} didn't change much from the first iteration \Rightarrow The effect of GW self-consistency is small

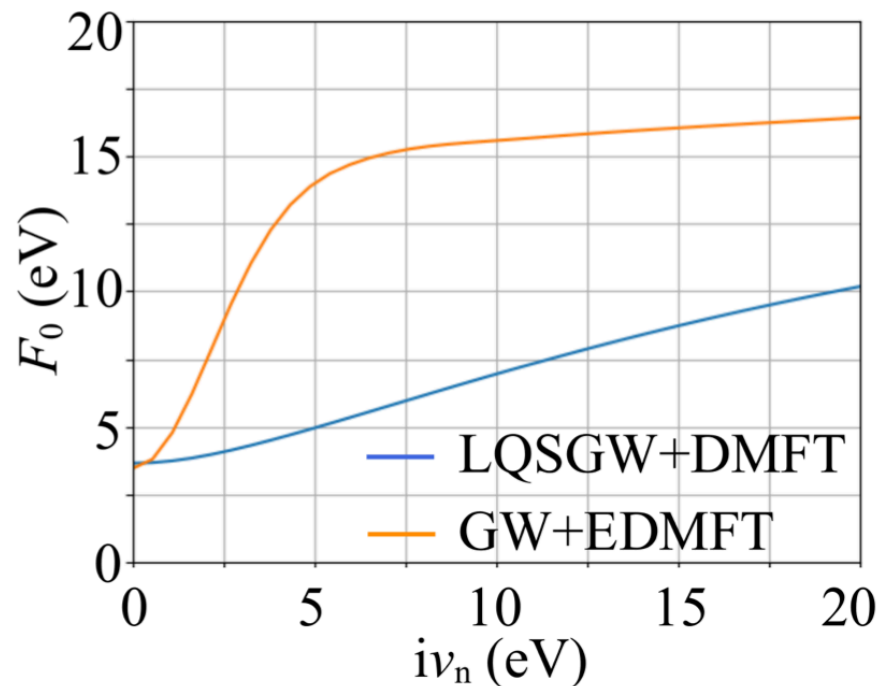
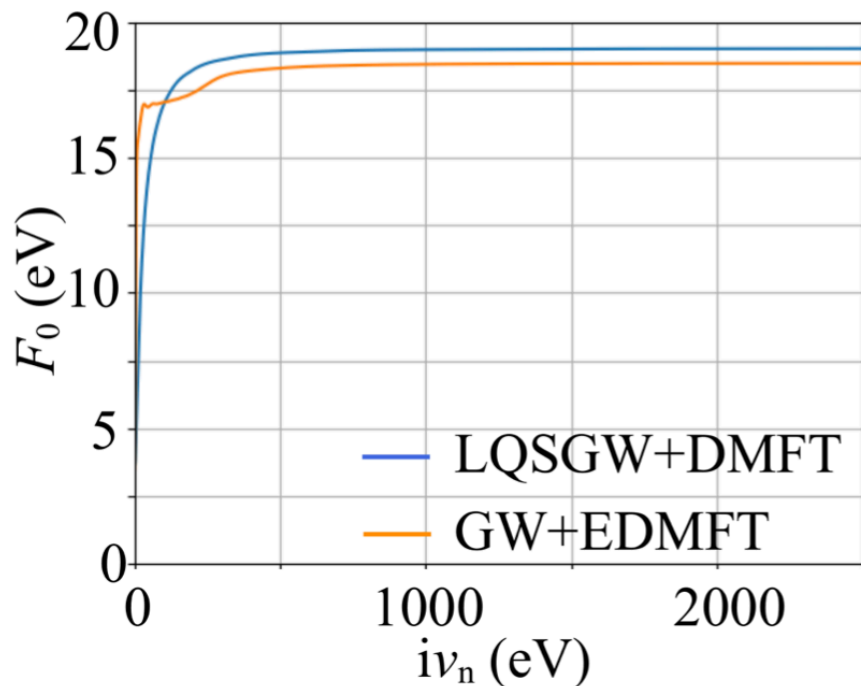
Hybridization functions



Within GW+EDMFT, Δ is nonzero until $E > 2.5\text{KeV}$.

In contrast, Δ within LQSGW+DMFT goes to 0 at $\sim 200\text{eV}$

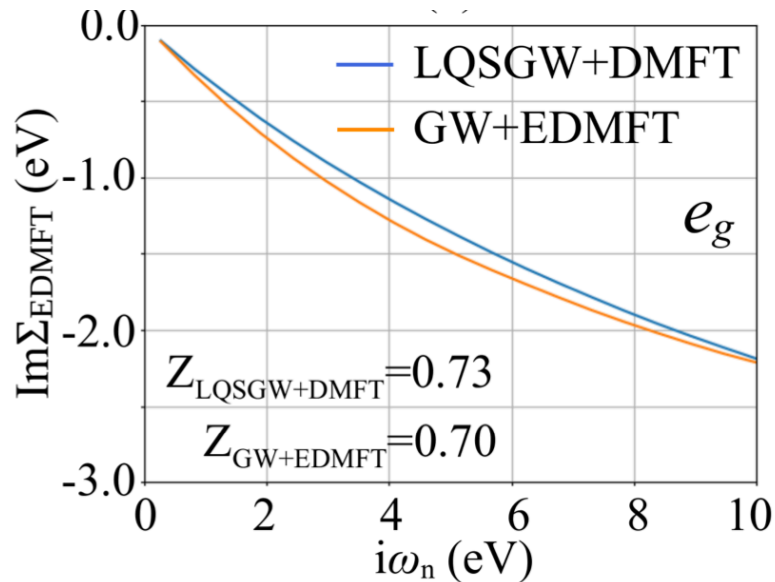
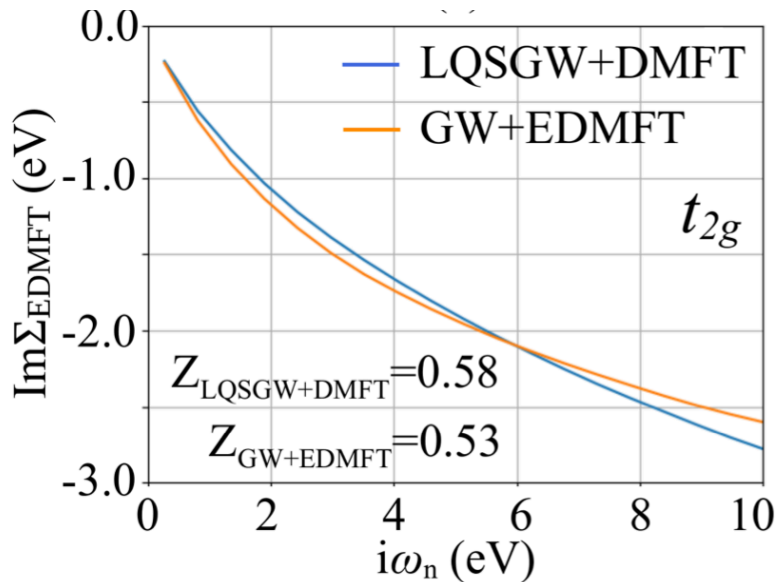
Bosonic Weiss field



Within GW+EDMFT, $U(i\omega_n)$ reaches to bare value at ~ 10 eV.

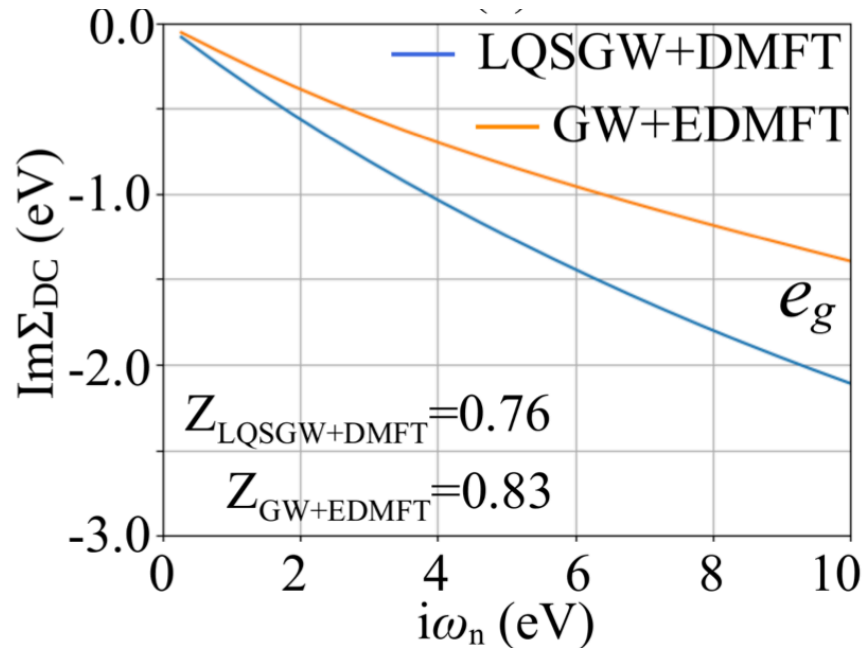
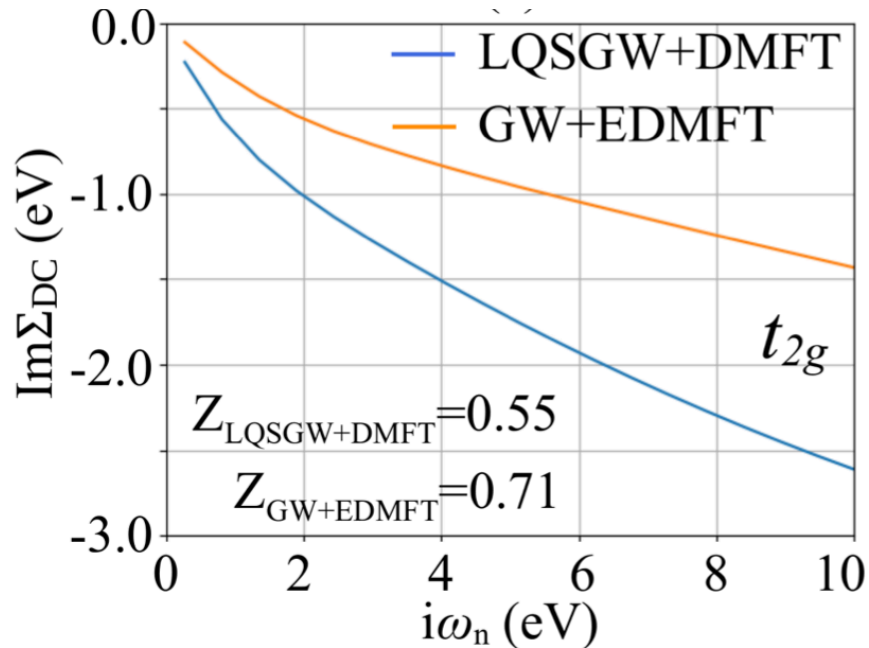
In contrast, $U(i\omega_n)$ within cRPA@LQSGW increases much slowly.

Impurity self-energy



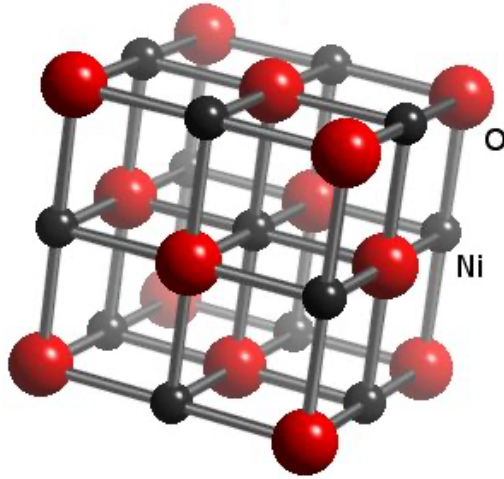
- LQSGW+DMFT as well as GW+EDMFT shows $Z=0.5$ for t_{2g} orbitals, consistent with Exp
- Despite the discrepancy in Δ and U between the two methods, their Z factor is surprisingly similar.

Double-counting self-energy

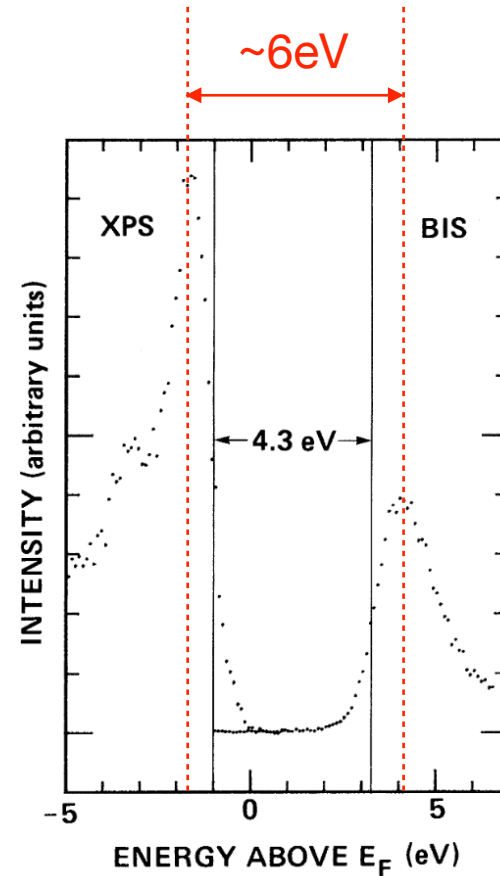


- Within LQSGW+DMFT as well as GW+EDMFT shows $Z_{\text{imp}} \sim Z_{\text{DC}}$, implying $\Sigma_{\text{imp}} \simeq \Sigma_{\text{DC}}$

Validation-II: charge transfer insulator NiO

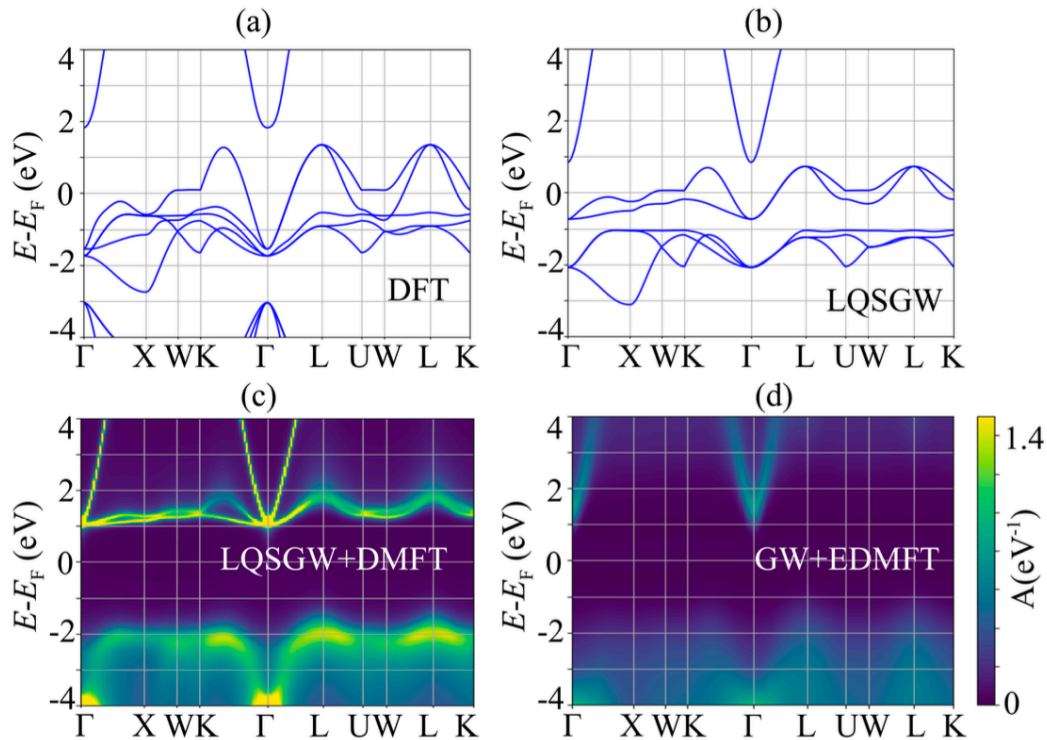


- Archetypical charge-transfer insulator
- Correlated orbitals: 5 Ni-d orbitals
- Simulation temperature: 1000K



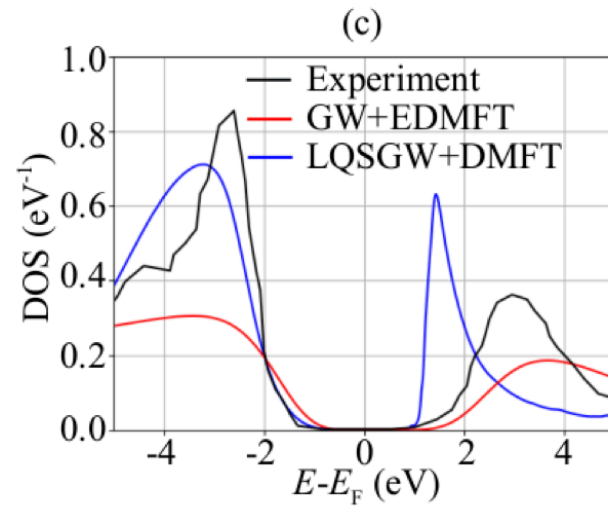
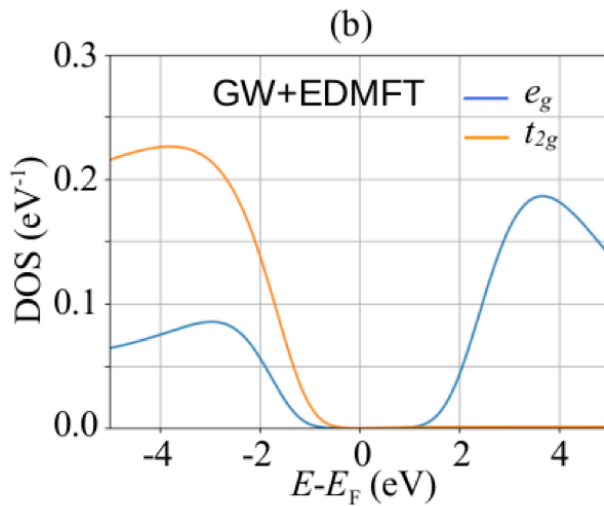
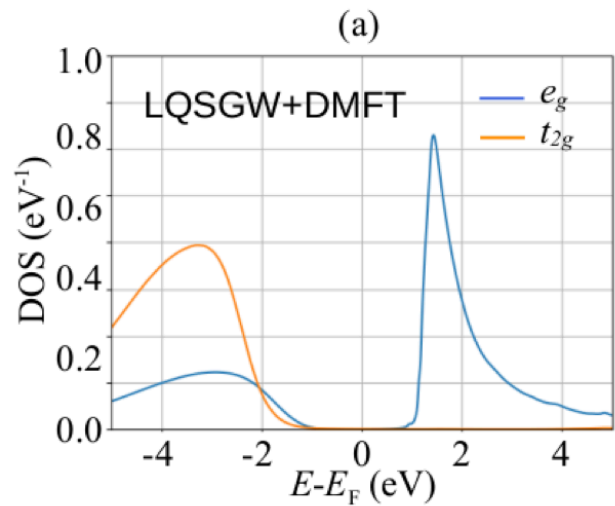
[1] G. A. Sawatzky and J. W. Allen, Phys. Rev. Lett. **53**, 2339 (1984).

Validation-I: spectral functions



- Charge transfer gap opening

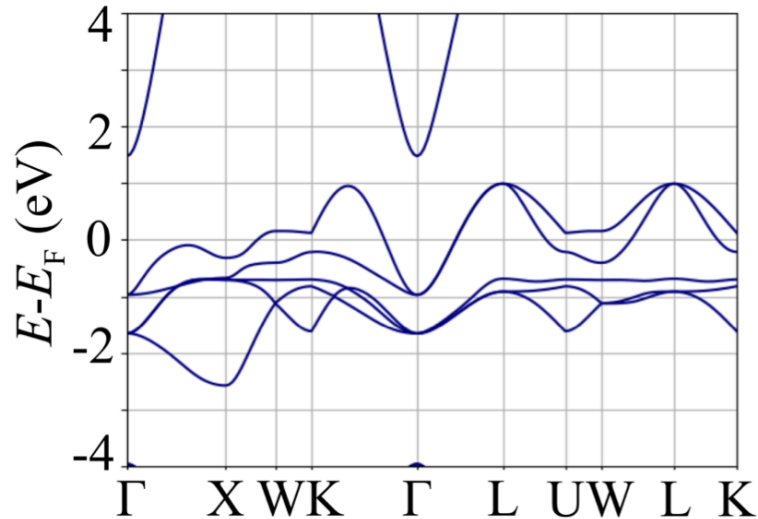
Validation-I: PDOS



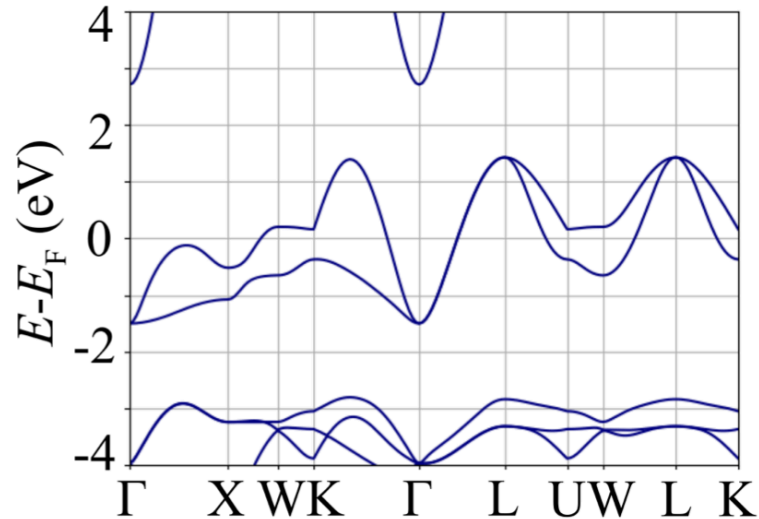
- Peak separation of $\sim 6\text{eV}$
- Mott gap

Effect of GW self-consistency

GW band at 1st iteration



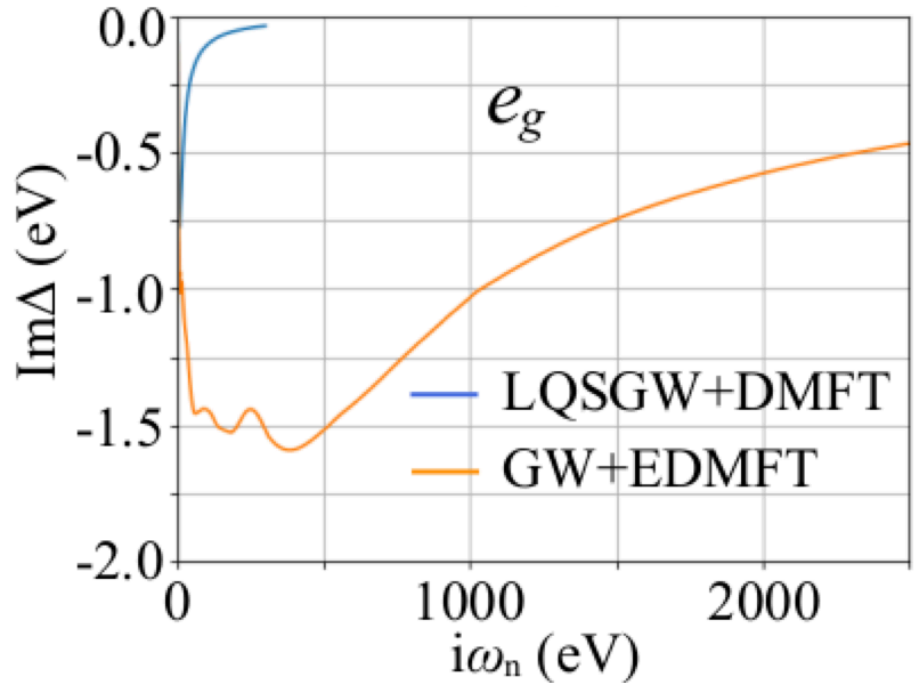
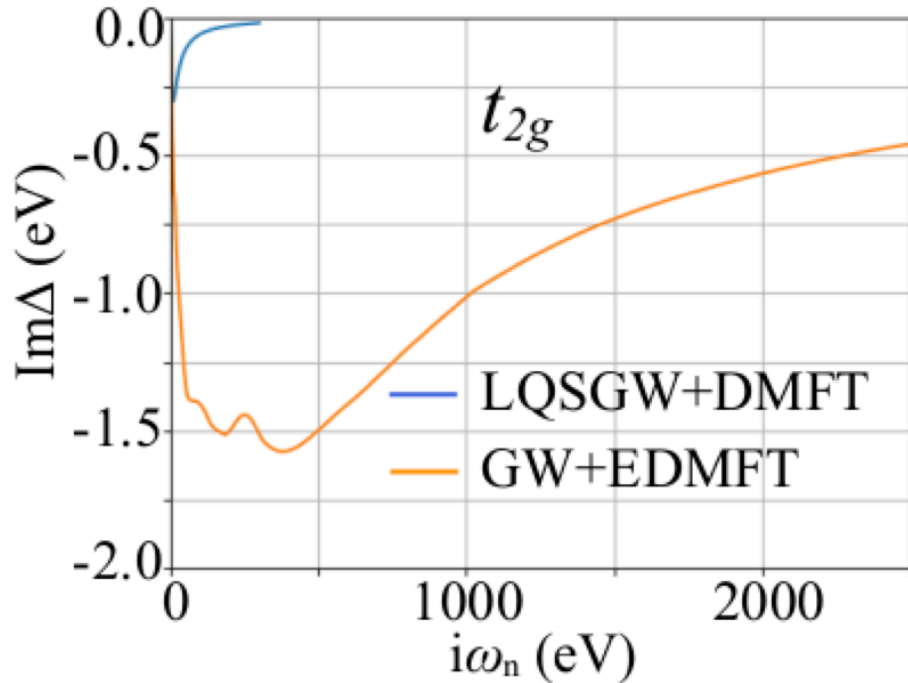
GW band at the last iteration



$$H^{QP}(\mathbf{k}^c) = Z^{1/2}(\mathbf{k}^c) (H_0(\mathbf{k}^c) + \Sigma_{GW}(\mathbf{k}^c, \omega = 0)) Z^{1/2}(\mathbf{k}^c)$$

G^{GW} has been changed substantially

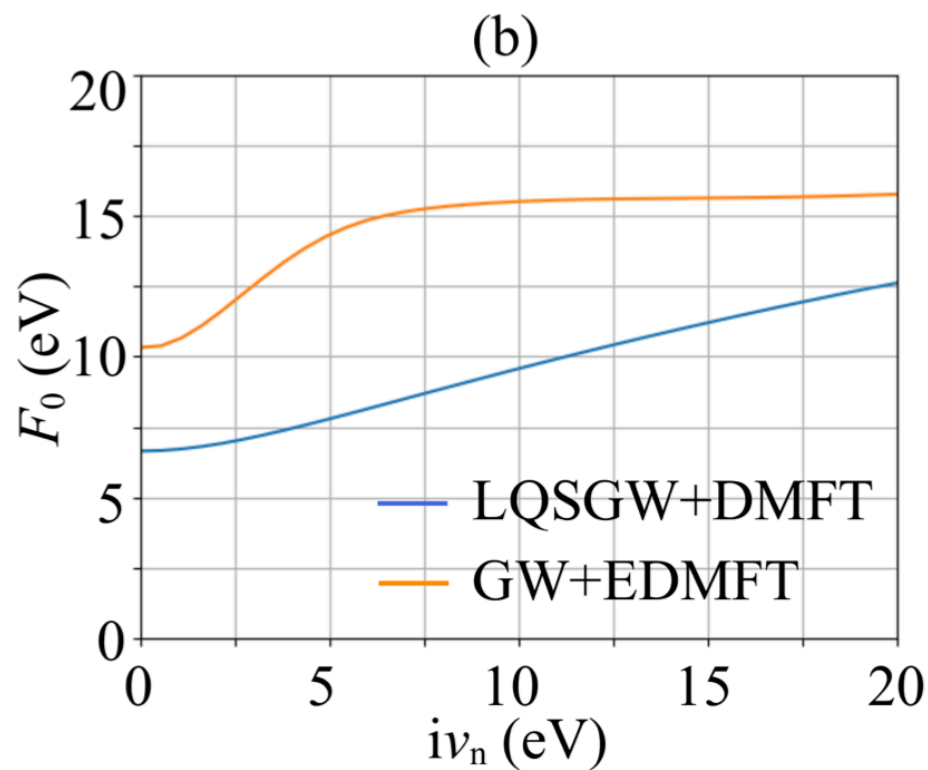
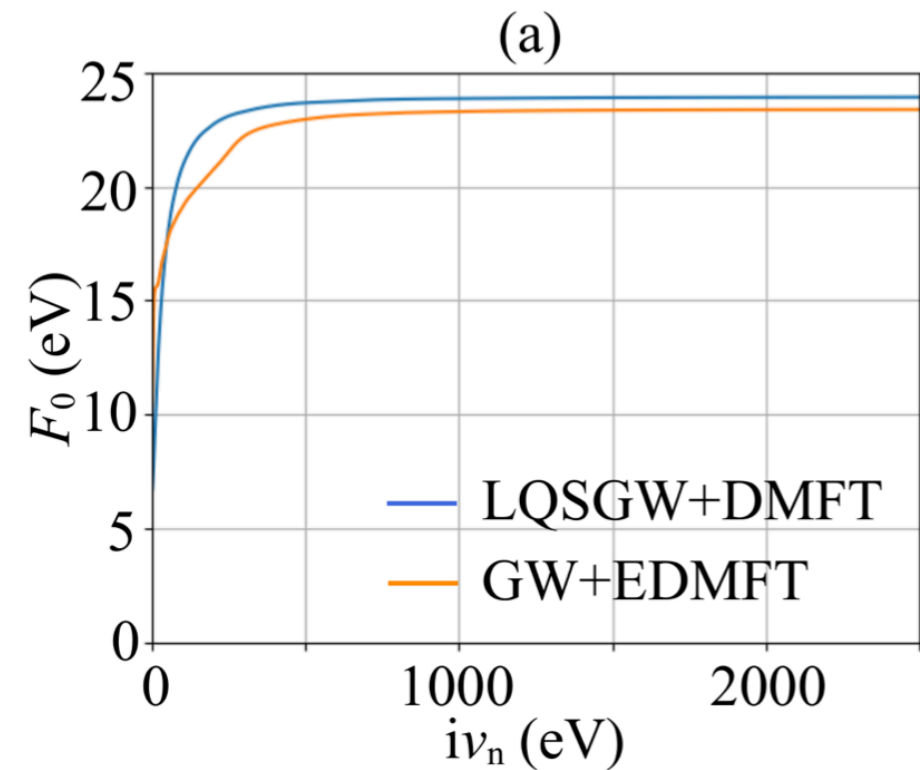
Hybridization functions



Within GW+EDMFT, Δ is nonzero until $E > 2.5\text{KeV}$.

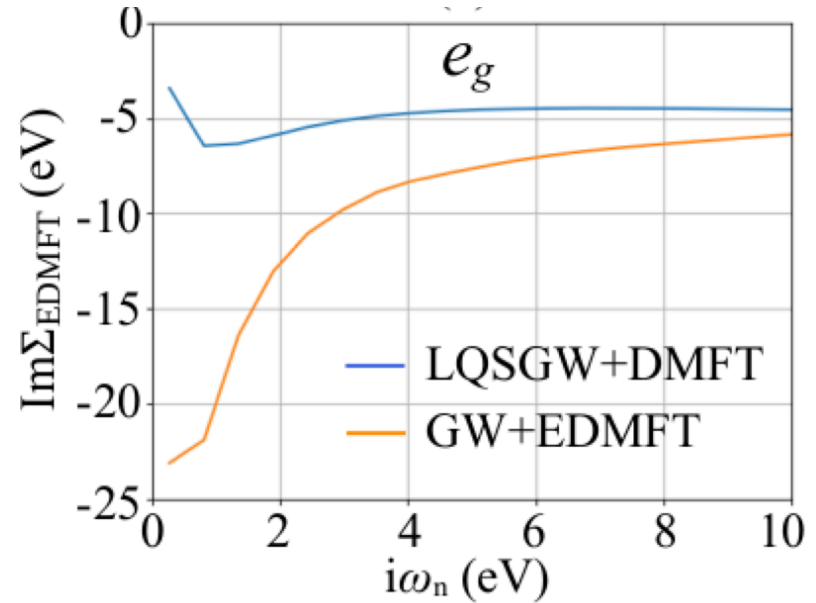
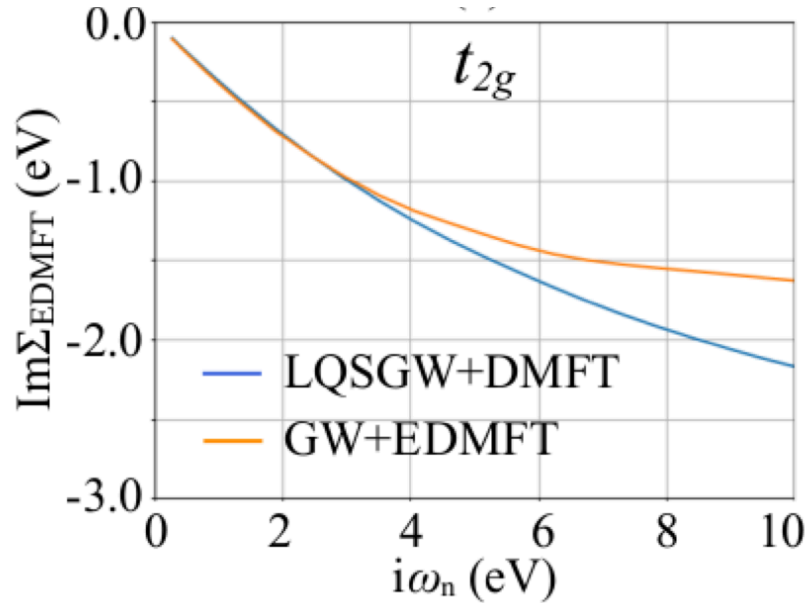
In contrast, Δ within LQSGW+DMFT goes to 0 at $\sim 200\text{eV}$

Bosonic Weiss field



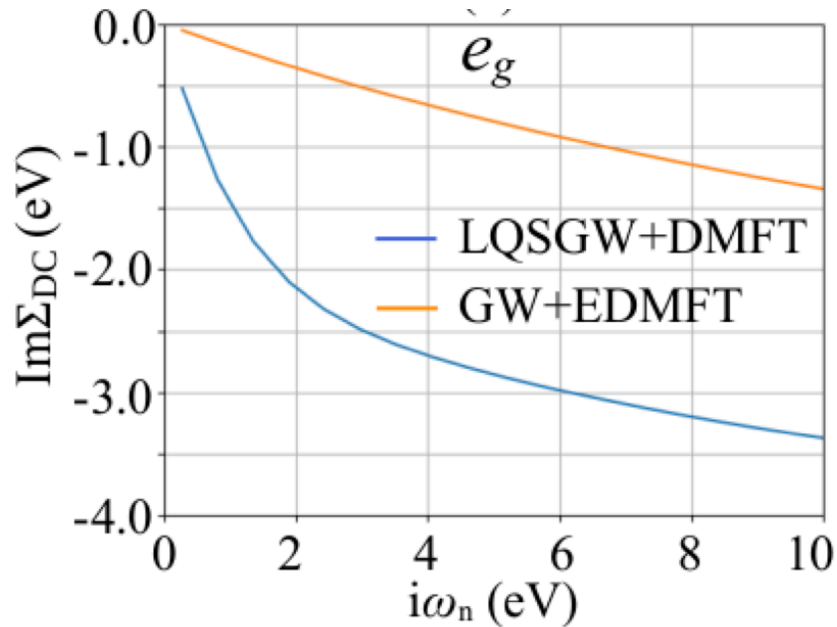
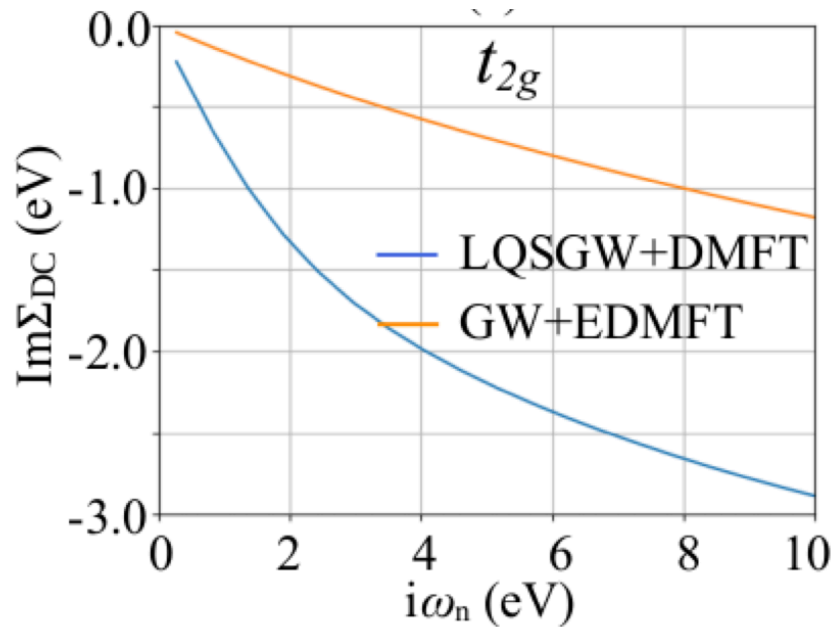
Within GW+EDMFT, $U(i\omega_n)$ changes more rapidly

Impurity self-energy



- LQSGW+DMFT as well as GW+EDMFT shows $Z=0.7$ for t_{2g} orbitals
- Mott gap

Double-counting self-energy



Conclusion

- Full GW+EDMFT is a promising method to understand and predict the properties of correlated quantum materials from first principles.
- ComDMFT package is an *ab initio* package to support ab initio DMFT methodologies and is constantly evolving.

If you are interested in this research direction, feel free to contact me:)

sangkookchoi@kias.re.kr

<https://sites.google.com/view/sangkookchoi>